

FORM PTO-1595

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(Rev. 06/93) **PATENTS ONLY**

OMB No. 0651-0011 (Exp. 04/94)

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U.S. Department of Commerce

Patent and Trademark Office

Attorney Docket No.: AUB-05302

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Christopher B. Roberts
Marshall Chandler McLeod
Madhu Anand

Additional name(s) of conveying party(ies) attached.

2. Name and address of receiving party(ies):

Auburn University
215 East Thach Avenue
Auburn, Alabama 36830

Additional name(s) and address(es) attached.

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: Christopher B. Roberts and Madhu Anand signed
on May 12, 2006; and Marshall Chandler McLeod signed on
June 28, 2006.

4. Application number(s) or patent number(s):

☒ This document is being filed together with a new application, the execution date of the application is: September 27, 2005.
☐ Patent Application Serial No.(s): filed on .
☐ Patent No.(s): .
☐ Additional numbers attached.

5. Name and address of party to whom correspondence concerning document should be mailed:

Jonathan O. Owens
HAVERSTOCK & OWENS LLP
162 North Wolfe Road
Sunnyvale, CA 94086

6. Total number of applications and patents involved: 1.

7. Total fee(s) [37 CFR §§ 1.21(h) and 3.41]:

☒ Check(s) in the amount of \$ 555.00 (\$515.00 Basic
Filing Fee plus \$40.00 Assignment Recordation Fee) enclosed.

8. Authorization to Charge Additional Fees:

☒ The Commissioner is hereby authorized to charge any
additional fees or credit any overpayment associated with this
communication and which may be required under 37 CFR
§§ 1.21(h) and 3.41 to Deposit Account No. 08-1275.

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dated: April 8, 2008

Signature:

Name: Jonathan O. Owens
Reg. No.: 37,902

Total number of pages including cover sheet, attachments, and document: 5

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PATENT

REEL: 020823 FRAME: 0825

Attorney Docket No.: 2A09.1-143
PATENT**ASSIGNMENT**

WHEREAS, We, **Christopher B. ROBERTS** residing at 725 Madeline Lane, Auburn, Alabama 36832, **Marshall Chandler McLEOD** residing at 19575 Northwest Decora Lane, Apartment 427, Hillsboro, Oregon 97124, and **Madhu ANAND** residing at 141 Wright Street, Apartment 53, Auburn, Alabama 36830, hereinafter "Assignors", have invented new and useful improvements in a "SELECTION AND DEPOSITION OF NANOPARTICLES USING CO₂-EXPANDED LIQUIDS" for which U.S. Provisional Patent Application Serial No. 60/613,249 was filed on September 27, 2004, U.S. Provisional Patent Application Serial No. 60/613,562 was filed on September 27, 2004, U.S. Provisional Patent Application Serial No. 60/708,685 was filed on August 15, 2005, and U.S. Non-Provisional Patent Application Serial No. 11/237,601 was filed on September 27, 2005, and;

WHEREAS, **AUBURN UNIVERSITY**, a non-profit organization whose post-office address is 215 East Thach Avenue, Auburn, Alabama 36830, hereinafter "Assignee", is desirous of acquiring the entire interest in the same;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), the receipt and sufficiency of which is hereby acknowledged, and for other good and valuable consideration, Assignors hereby do sell, assign and transfer unto Assignee the entire right, title and interest in and to said inventions and applications in the United States and throughout the world, including without limitation, any letters patent which may issue thereon or therefor, and any subsequent patent(s) or application(s) claiming

priority to the above-identified applications, including any non-provisional U.S. patent application(s) claiming priority under 35 U.S.C. § 119(e), any foreign or international counterpart application(s) for patent or utility model, any reissue, reexamination, division, continuation-in-part, extension or continuation application(s), all rights of priority under any international treaty or convention arising from said applications, and the right to sue and collect damages for any past, present, or future infringement; the same for Assignee's legal representatives and assigns, as fully and entirely as the same would have been held by Assignors had this assignment and sale not been made;

AND, we hereby bind ourselves, our heirs, legal representatives, administrators and assigns properly to execute without further consideration any and all applications, petitions, oaths, declaration and assignments or other papers and instruments which may be necessary in order to carry into full force and effect the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid Assignee, its legal representatives and assigns to obtain and enforce proper protection for said invention in all countries throughout the world.

(signatures on next page)

IN WITNESS WHEREOF, I have executed this assignment this 12 day of
May, 2006.

Signed: [Signature]

Print Name: Christopher B. ROBERTS

State of Alabama
County of Lee

On this 12th day of May, 2006, before me, a Notary Public, came Christopher B. ROBERTS, to me known and known to be the individual described herein and who executed the foregoing assignment, and duly acknowledged the same to be his/her free act and deed.

Notary Public: [Signature]

NOTARY PUBLIC STATE OF ALABAMA AT LARGE
MY COMMISSION EXPIRES: Oct 26, 2008
BONDED THRU NOTARY PUBLIC UNDERWRITERS

My Commission Expires: Oct 26, 2008

IN WITNESS WHEREOF, I have executed this assignment this 28th day of
June, 2006.

Signed: [Signature]

Print Name: Marshall Chandler McLEOD

State of Oregon
County of Washington

On this 28th day of June, 2006, before me, a Notary Public, came Marshall Chandler McLEOD, to me known and known to be the individual described herein and who executed the foregoing assignment, and duly acknowledged the same to be his/her free act and deed.

Notary Public: [Signature]

My Commission Expires: NOV 13th 2007



IN WITNESS WHEREOF, I have executed this assignment this 05/12/06 day of _____, 2006.

Signed: Madhu Anand

Print Name: **Madhu ANAND**

State of Alabama
County of Lee

On this 12th day of May, 2006, before me, a Notary Public, came **Madhu ANAND**, to me known and known to be the individual described herein and who executed the foregoing assignment, and duly acknowledged the same to be his/her free act and deed.

Notary Public: Mindy L. Richa Bond
NOTARY PUBLIC STATE OF ALABAMA AT LARGE
MY COMMISSION EXPIRES: Oct 26, 2008
My Commission Expires: BONDED THRU NOTARY PUBLIC UNDERWRITERS