

Form PTO-1595 (Rev. 08/05)
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04-22-2008

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

Docket No: 08-006

To the Director of the U.S. Patent and

103498015

documents or the new address(es) below.

1. Name of conveying party(ies)

Blount, Inc.

2. Name and address of receiving party(ies)Caterpillar Forest Products Inc.
100 N.E. Adams Street
Peoria IL 61629-6490
USAAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 11/5/2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/874,021

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**

Name: David E. Roberts

Internal Address: Caterpillar Inc.

Intellectual Property Dept, AB6490

Street Address: 100 N. E. Adams Street

City: Peoria

State: IL Zip: 61629-6490

Phone Number: (309) 675-4280

Fax Number: (309) 675-1236

Email Address: _____

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Informationa. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 03-1129

Authorized User Name David E. Roberts

9. Signature:

Signature

March 6, 2008

Date

David E. Roberts

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT, effective as of November 5, 2007 ("Effective Date"), between CATERPILLAR FOREST PRODUCTS INC, a Delaware corporation and a wholly owned subsidiary of Caterpillar Inc. ("Assignee"), and BLOUNT, INC., a Delaware corporation ("Assignor").

WHEREAS, Assignor desires to assign to Assignee all of its right, title and interest in and to certain United States and foreign patents and patent applications as hereinafter defined; and

WHEREAS, pursuant to the Asset Purchase Agreement dated on even date herewith, among Assignor, Assignee and certain of their respective affiliates (the "Asset Purchase Agreement"), Assignor and Assignee have agreed to finalize this Assignment, pursuant to which Assignee shall be assigned the entirety of Assignor's right, title and interest in and to patents and patent applications described more fully below.

All capitalized terms used herein and not otherwise defined shall have the respective meanings assigned to them in Section 1 of the Asset Purchase Agreement.

NOW, THEREFORE, for good and valuable consideration (including that recited in the Asset Purchase Agreement), the receipt and adequacy of which is hereby acknowledged, Assignor does hereby assign, transfer and convey to Assignee and its successors, assigns and nominees forever, Assignor's entire right, title and interest in and to the following:

(i) all patents (including all foreign counterparts, reissues, divisions, continuations, continuations in part, and extensions thereof) and patent applications listed on Exhibit I attached hereto (collectively, the "Assigned Patents"); and

(ii) remedies against infringements thereof, and rights to protection of interests therein under the laws of any applicable jurisdictions.

Assignee, its successors and assigns shall hold the rights to the foregoing for and during the existence of such Assigned Patents as fully and as entirely as the same would have been held and enjoyed by Assignor had this Assignment not been made.

This Assignment is effective as of the Effective Date. Assignor shall, without further consideration, comply with a reasonable request by Assignee, at Assignee's expense, to execute promptly any additional documents provided by Assignee and to take promptly any further action necessary to protect, secure and vest good, valid and marketable title to the Assigned Patents in Assignee in all relevant nations.

The parties agree that the assignment of each patent on Exhibit 1 shall be construed as separable and divisible from the assignment of every other patent. The unenforceability or invalidity of this Assignment with respect to any one patent shall not limit its enforceability or validity, in whole or in part, with respect to any other patent.

This Assignment may be signed in one or more counterparts, each of which shall be an original and all of which shall be considered one and the same agreement, and shall become effective when one or more such counterparts have been signed by each party and received by the other party.

[Remainder of Page Intentionally Left Blank]

IN WITNESS WHEREOF, the undersigned have caused this Assignment to be duly executed and delivered as of the date first above written.

CATERPILLAR FOREST PRODUCTS INC.

By: 

Name: John T. Carpenter

Title: President

Date: November 5, 2007

BLOUNT INC.

By: _____

Name: Calvin E. Jenness

Title: Senior Vice President and
Chief Financial Officer

Date: November 5, 2007

[Signature Page to Patent Assignment]

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CATERPILLAR FOREST PRODUCTS INC.

By: _____
Name: John T. Carpenter
Title: President
Date: November 5, 2007

BLOUNT INC.

By: 
Name: Calvin E. Jenness
Title: Senior Vice President and
Chief Financial Officer
Date: November 5, 2007

[Signature Page to Patent Assignment]

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EXHIBIT I**Patent List (in each case of Blount)**

Country	Title	Filed	App No.	Issuance	Patent No	Status
USA	Tree Delimbing Device with Self-Aligning Cutter Head Assembly	3/7/1995	08/399,432	5/14/96	5,515,895	Issued
USA	CTR - Self-Aligning Cutter Head Assembly for Delimbing Trees	6/8/1987	07/059,604	6/7/88	4,749,012	Issued
USA	Tree Delimber Roller System with Automatic Roller Adjustment	3/21/2005	11,085,787			Pending
USA	Tree Delimber Roller System	1/10/2006	11/328,906	9/25/07	7,273,078B2	Pending
USA	Saw Assembly and Lubrication system	10/14/1999	09/418,094	12/4/01	6,324,952	Issued
Brazil	Tree Transport Machine and Method of Transporting Felled	5/24/2000	P10001905-4	12/19/06	P10001905-4	Issued
Canada	Tree Transport Machine and Method of Transporting Felled	5/24/2000	2309230	6/14/05	2309230	Issued
Chile	Tree Transport Machine and Method of Transporting Felled Trees	5/17/2000	2000-1232			Pending
Russian Federation	Tree Transport Machine and Method of Transporting Felled	5/24/2000	2000112887	4/20/03	2202483	Issued
Malaysia	Boom Assembly and Platform for Tracked Feller Buncher	3/10/2000	P1200000948	11/30/06	MY-126989-A	Issued
USA	System and Method for Restricting Gear Shift Operation In	1/6/1999	09/226,003	5/30/00	6,067,878	Issued
USA	Tree Transport Machine and Method of Transporting Felled Trees	5/25/1999	09/318,523	7/10/01	6,257,818	Issued
USA	Boom Assembly and Platform for Tracked Feller Buncher	3/12/1999	09/267,868	7/11/00	6,085,811	Issued
USA	Tree Delimbing Device with Self-Aligning Cutter Head	6/11/1989	07/370,030	2/13/90	4,899,794	Issued
USA	Grapple Saw with Positive Pressure Grapple Arms	8/13/1992	07/929,095	12/7/93	5,267,594	Issued
Brazil	Wood Processing Apparatus with Impact Hammer	8/14/1995	P19505175-9	5/2/00	P19505175-9	Issued
Canada	Wood Processing Apparatus with Impact Hammer	10/11/1995	2160325	8/11/98	2160325	Issued
Chile	Wood Processing Apparatus with Impact Hammer	11/7/1995	1707-95			Pending
Finland	Wood Processing Apparatus with Impact Hammer	11/14/1995	955,483	9/30/04	114279	Issued
Norway	Wood Processing Apparatus with Impact Hammer	11/10/1995	954532	5/11/98	302922	Issued
Brazil	Tree Harvesting Apparatus with Impact Hammer	11/14/1995	P19505174-0	11/14/00	P19505174-0	Issued
Canada	Tree Harvesting Apparatus with Impact Hammer	10/11/1995	2160327	2/2/99	2160327	Issued
Chile	Tree Harvesting Apparatus with Impact Hammer	11/7/1995	1708-95	12/6/06	CL42939	Issued
Finland	Tree Harvesting Apparatus with Impact Hammer	11/14/1995	955484	9/30/04	114278	Issued
Norway	Tree Harvesting Apparatus with Impact Hammer	11/10/1995	19954531	7/26/99	305781	Issued
USA	Tree Harvesting Apparatus with Impact Hammer	11/15/1994	08/341,905	11/14/95	5,465,770	Issued
USA	Wood Processing Apparatus with Impact Hammer	11/15/1994	03/341,904	11/14/95	5,465,771	Issued
France	Wood Processing Apparatus with	10/18/1995	95850179.3	12/16/98	712571	Issued

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	Impact Hammer					
Germany	Wood Processing Apparatus with Impact Hammer	10/18/1995	95850179.3	12/16/98	69506677.3	Issued
Sweden	Wood Processing Apparatus with Impact Hammer	10/18/1995	95850179.3	12/16/98	712571	Issued
France	Tree Harvesting Apparatus with Impact Hammer	10/18/1995	95850180.1	12/16/98	712570	Issued
Germany	Tree Harvesting Apparatus with Impact Hammer	10/18/1995	95850180.1	12/16/98	69506676.5	Issued
Sweden	Tree Harvesting Apparatus with Impact Hammer	10/18/1995	95850180.1	12/16/98	712570	Issued
USA	Forest Harvester Methods and Apparatus	11/26/1997	08/978,680	8/3/99	5,931,247	Issued
USA	Methods and Apparatus for Adjusting Chain Saw Tension	9/4/1997	08/923,515	3/7/00	6,032,373	Issued
USA	Tree Felling Head	10/26/2006	60/863,096			Pending
	Delimber Measuring Method and Apparatus					Unfiled

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PATENT

RECORDED: 04/16/2008

REEL: 020838 FRAME: 0127