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U.S. PTO

11/884146

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FORM PTO-1595 (modified)

ER SHEET

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To the Honorable Assistant Secretary and Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies) thereof

1. Name of conveying party(ies):

(1) Kazunori KATAOKA, (2) Keiji ITAKA, (3) Nobuhiro NISHIYAMA, (4) Shigeto FUKUSHIMA, (5) Woo-Dong JANG, (6) Kanjiro MIYATA, (7) Masataka NAKANISHI, (8) Shunsaku ASANO and (9) Naoki KANAYAMA

Additional name(s) of conveying party(ies) attached? No

2. Name and address of receiving party(ies):

Name: THE UNIVERSITY OF TOKYOAddress: 3-1, Hongo 7-chome, Bunkyo-ku, Tokyo 113-8654, Japan

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3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: (1), (3), (4) and (7) September 8, 2007, (2) and (6) September 10, 2007, (5) August 28, 2007, (8) September 5, 2007 and (9) September 3, 2007

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
11/884,146, filed August 10, 2007

B. Patent No.(s)

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: WENDEROTH, LIND & PONACK, L.L.P.
Attn: Michael R. Davis, Esq.Street Address: 2033 K Street, N.W., Suite 800City: Washington, State: DC ZIP: 20006-10216. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41). \$40.00☒ Enclosed (Check No. 855 de)
Authorized to be charged to deposit account8. Deposit account number: 23-0975

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael R. Davis, Reg. No. 25,134
Name of Person Signing
SignatureApril 11, 2008
Date

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ATTORNEY DOCKET NO. 2007_1291

PATENT

REEL: 020847 FRAME: 0223

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned

Insert Name(s)
of Inventor(s)

Kazunori KATAOKA, Keiji ITAKA, Nobuhiro NISHIYAMA, Shigeto FUKUSHIMA,
Woo-Dong JANG, Kanjiro MIYATA, Masataka NAKANISHI, Shunsaku ASANO
and Naoki KANAYAMA

the undersigned hereby sell(s) and assign(s) to

Insert Name(s)
of Assignee(s)
Address

The University of Tokyo, a Japanese national university, of 3-1, Hongo 7-chome,
Bunkyo-ku, TOKYO 113-8654 JAPAN

(hereinafter designated as the Assignee) the entire right, title and interest for the United States as defined in 35 USC 100, in the invention known as

Title of
Invention

POLYCATIONICALLY CHARGED POLYMER AND THE USE OF THE SAME AS A
CARRIER FOR NUCLEIC ACID

Date of Signing
of Application

for which an application for patent in the United States has been executed by the undersigned

August 28, 2007, September 3, 2007, September 5, 2007,
on ~~September 8, 2007 and September 10, 2007~~

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

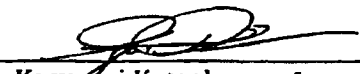
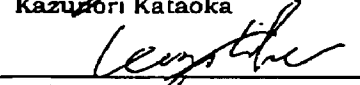
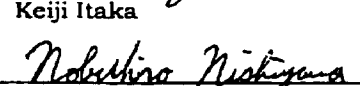
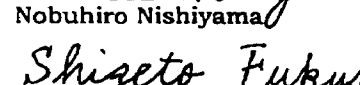
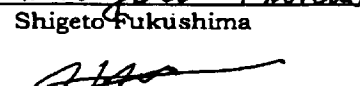
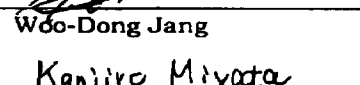
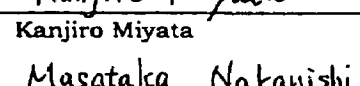
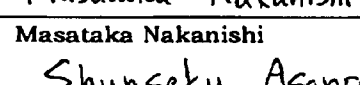
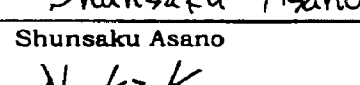
The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.



The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of WENDEROTH, LIND & PONACK, L.L.P., 2033 K Street, N.W., Suite 800, Washington, D.C. 20006-1021, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date <u>September 8, 2007,</u>	Name of the Inventor <u></u> Kazuori Kataoka
Date <u>September 10, 2007,</u>	Name of the Inventor <u></u> Keiji Itaka
Date <u>September 8, 2007,</u>	Name of the Inventor <u></u> Nobuhiro Nishiyama
Date <u>September 8, 2007,</u>	Name of the Inventor <u></u> Shigeto Fukushima
Date <u>August 28, 2007,</u>	Name of the Inventor <u></u> Woo-Dong Jang
Date <u>September 10, 2007,</u>	Name of the Inventor <u></u> Kanjiro Miyata
Date <u>September 8, 2007,</u>	Name of the Inventor <u></u> Masataka Nakanishi
Date <u>September 5, 2007,</u>	Name of the Inventor <u></u> Shunsaku Asano
Date <u>September 3, 2007,</u>	Name of the Inventor <u></u> Naoki Kanayama

(This assignment should preferably be acknowledged before a United States Consul. If not, then the execution by the Inventor(s) should be witnessed by at least two witnesses who sign here.)

Witness <u></u>	Tetsuo OGAMA
Witness <u></u>	Kazuki SHIGEMORI

The above application may be more particularly identified as follows:

U.S. Application Serial No. 11/884,146 Filing Date _____
Applicant Reference Number _____ Atty Docket No. _____
Title of Invention POLYCATIONICALLY CHARGED POLYMER AND THE USE OF THE SAME AS A CARRIER FOR NUCLEIC ACID