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U.S. DEPARTMENT OF COMMERC

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office Attorney Docket No. 47233-0053-00-US

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

T

1. Name of conveying parties:  Masaaki NAKAI (August 1, 2007) Yuko FUKUI (August 1, 2007) Sumio ASAMI (August 1, 2007	<ol> <li>Name and address of receiving party(ies):</li> <li>Name: SUNTORY LIMITED</li> <li>Street Address: 1-40, Dojimahama 2-chome, Kita-ku, Osaka-shi</li> </ol>			
Additional name(s) of conveying party(ies) attached? □ Yes ■ No				
3. Nature of Conveyance:  ■ Assignment □ Merger	City: Osaka 530-8203 JAPAN			
■ Assignment □ Merger □ Security Agreement □ Change of Name □ Other:				
Execution date(s): August 1, 2007	Additional name(s) and address(es) attached? □ Yes ■ No			
4. Application number(s) or patent number(s):				
A. Patent Application No.(s): 11/597,291	B. Patent No.(s):			
Additional numbers attached? □ Yes ■ No				
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1			
	7. Total fee (37 CFR 3.41) <b>\$40.00</b>			
Ronald L. Grudziecki, Esq. Drinker Biddle & Reath LLP	■ Enclosed			
1500 K Street, NW – Suite 1100 Washington, DC 20005	☐ Authorized to be charged to deposit account			
	8. Deposit Account No. <u>50-0573</u>			
DO NOT USE THIS SPACE				
9. Signature  9. Signature  05/02/2008 MJAMA1 00000042 11597291				

Total number of pages including cover sheet, attachments and documents: 3

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Mercedes K. Meyer, Ph.D., Esq.

Reg. No 44,939
Name of Person Signing/Registration No.

PATENT REEL: 020906 FRAME: 0996

## ATTORNEY DOCKET NO.: SOLE/JOINT INVENTION (U.S. Rights Only)

## **ASSIGNMENT**

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

EPIGALLOCATECHIN DIMERS OR TRIMERS HAVING LIPASE INHIBITORY ACTIVITY AND/OR ANTIOXIDANT ACTIVITY

for which I/WE executed an application for United States Letters Patent concurrently herewith; or filed a National Stage application for United States Letters Patent on November 22, 2006, (Serial No. 11/597,291) based on PCT/JP2005/009666; and

WHEREAS, <u>SUNTORY LIMITED</u>, a corporation of <u>Japan</u>, whose post office address is <u>1-40</u>, <u>Dojimahama 2-chome</u>, <u>Kita-ku</u>, <u>Osaka-shi</u>, <u>Osaka 530-8203 Japan</u>, (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to issue upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

AND, I/WE HEREBY authorize and request the attorneys I/WE have empowered in the Declaration and Power of Attorney in this application, to insert here in parenthesis (Application No. 11/597,291 National Stage filed 2006/11/22) the filing date and application number of said application when known.

IN TESTIMONY WHEREOF, I/WE have hereunto set our hand(s)

Full Name of Sole or First Assignor Masaaki NAKAI	Assignor's Signature  Manaaki Nikai	Date August 1, 2007
Address 3-4-12, Sakura, Minoo-shi, Osaka 5		Citizenship Japan
Full Name of Second Assignor Yuko FUKUI	Assignor's Signature  Muko Fuku	Date August 1, 2007
Address 1-2-A-1007, Akutagawa-cho, Takatsuki-shi, Osaka 569-1123 Japan		Citizenship Japan
Names of additional inventors attached \( \)	Yes No	

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PATENT REEL: 020906 FRAME: 0997

	Attorney Docket No.:	
Full Name of Third Assignor Sumio ASAMI	Assignor's Signature	Date August 1, 2007
Address 12-18-303, Shimochujo-cho, Ibaraki-shi	, Osaka 567-0886 Japan	Citizenship Japan
Full Name of Fourth Assignor	Assignor's Signature	Date
Address		Citizenship
Full Name of Fifth Assignor	Assignor's Signature	Date
Address		Citizenship
Full Name of Sixth Assignor	Assignor's Signature	Date
Address		Citizenship
Full Name of Seventh Assignor	Assignor's Signature	Date
Address		Citizenship
Full Name of Eighth Assignor	Assignor's Signature	Date
Address		Citizenship
Full Name of Ninth Assignor	Assignor's Signature	Date
Address		Citizenship
Full Name of Tenth Assignor	Assignor's Signature	Date
Address	<u> </u>	Citizenship
Full Name of Eleventh Assignor	Assignor's Signature	Date
Address	1	Citizenship
Names of additional inventors attached Yes	s 🛮 No	

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**RECORDED: 04/28/2008** 

PATENT REEL: 020906 FRAME: 0998