## PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
Toshihiko Makino	05/08/2008

## **RECEIVING PARTY DATA**

Name:	Applied Optoelectronics, Inc.	
Street Address:	13111 Jess Pirtle Blvd.	
City:	Sugar Land	
State/Country:	TEXAS	
Postal Code:	77478	

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12119586

## **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER:	PAT102US

NAME OF SUBMITTER: Kevin J. Carroll

**Total Attachments: 2** 

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\$40.00

PATENT REEL: 020938 FRAME: 0628

### ASSIGNMENT

WHEREAS, I, Makino, Toshihiko, made certain new and useful inventions and improvements for which I filed an application for Letters Patent of the United States, and is entitled:

# GAIN-COUPLED DISTRIBUTED FEEDBACK SEMICONDUCTOR LASER INCLUDING FIRST-ORDER AND SECOND-ORDER GRATINGS

AND, WHEREAS, **Applied Optoelectronics**, **Inc.**, a corporation organized and existing, and having an office and place of business at 13111 Jess Pirtle Blvd., Sugar Land, TX 77478, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefore;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, I have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed under the Patent Cooperation Treaty, any regional patent offices and in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted there from; and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letter Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

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AND, for the consideration aforesaid, I do hereby agree that I and my executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to me relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be;

AND, furthermore, I covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

IN TESTIMONY WHEREOF, I have hereunto set my ha	and this 8 day of _	Msy, 2008.
Makino, Toshil	hiko	·
State of: <u>California</u>	A programme of the state of the	
County of: Orange County	A.A.A. and the second s	
Before me personally appeared		
who acknowledged the foregoing instrument to be he or she is authorized to execute the same this	a free act and deed an day of	d also represented that, 2008.
	(Notary	<i>Public</i> )

**RECORDED: 05/13/2008**