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U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

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103503338 To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 1. Name of conveying party(ies) 2. Name and address of receiving party(ies) John M. Spangler Name: Caterpillar Inc. Mark E. Dietz Internal Address: \_ Additional name(s) of conveying party(ies) attached? \_\_\_\_Yes 🗾 No 3. Nature of conveyance/Execution Date(s): Street Address: 100 NE Adams Street Execution Date(s) 05-02-08; 04-17-08 ✓ Assignment Merger City: Peoria Change of Name Joint Research Agreement State: Illinois Government Interest Assignment Zip: 61629 Country: US Executive Order 9424, Confirmatory License Other Additional name(s) & address(es) attached? Yes No 4. Application or patent number(s): ✓ This document is being filed together with a new application. B. Patent No.(s) A. Patent Application No.(s) Additional numbers attached? Yes V No 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: 1 Name: Michael B. McNeil 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 Internal Address:\_ Authorized to be charged by credit card Authorized to be charged to deposit account ✓ Enclosed Street Address: 511 S. Madison Street None required (government interest not affecting title) P.O. Box 2417 8. Payment Information City: Bloomington a. Credit Card Last 4 Numbers \_\_\_\_\_ Zip:47402 Expiration Date \_\_\_\_\_ State: Indiana Phone Number: 812-333-5355 b. Deposit Account Number 500226 Fax Number: 812-333-3173 Authorize @3/152/2008/mmAichael B. McNeil Email Address:\_ Kelly of Smith 9. Signature: Total number of pages including cover

> Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Kelly J. Smith

Name of Person Signing

07-1198

PATENT REEL: 020966 FRAME: 0853

sheet, attachments, and documents:

## **ASSIGNMENT**

WHEREAS, John M. Spangler, residing at Peoria, Illinois, hereinafter referred to as ASSIGNOR along with Mark E. Dietz has invented a new and useful

## MODULAR MANUFACTURING CHAIN INCLUDING A POSITION TRACKING DEVICE AND METHOD OF OPERATION THEREFOR

for which ASSIGNOR is about to file an internal	ational application and/o	r make application for Letters
Patent in the United States of America, such	h invention being desc	ribed and identified by said
application having an attached International Ap	plication Request and/o	r an attached Declaration and
Power of Attorney executed by ASSIGNOR on	5/2/08	; and
WHEREAS, Caterpillar Inc., a Delaws	are corporation having a	place of business at Peoria,
State of Illinois, United States of America, h	ereinafter referred to as	ASSIGNEE, is desirous of
acquiring the entire right, title and interest in :	and to said invention ar	nd any Letters Patent granted

thereon by the United States of America and any forcign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- 1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

07-1198

## **ASSIGNMENT**

WHEREAS, Mark E. Dietz, residing at Hanna City, Illinois, hereinafter referred to as ASSIGNOR along with John M. Spangler has invented a new and useful

## MODULAR MANUFACTURING CHAIN INCLUDING A POSITION TRACKING

DEVICE AND METHOD OF OPERATION THEREFOR
for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 4/1/1/08; and
WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;
1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.  2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caternillar Inc.
4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.
IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.
Mark E. DIETZ Date
IN WITNESS OF the execution hereof by MARK E. DIETZ we have hereunto set our hands.
Witness (1) Address: 1065 Strottove DR HOTH  Address: 1720 WR1e 150  BRIMFIELD TE 61517
EAST PEORTA, IL WILL BRIMFIELD, IL 6/5/1

07-1198

**PATENT REEL: 020966 FRAME: 0855** 

**RECORDED: 05/09/2008**