

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
NICHOLAS VALIANTE	04/17/2008
RECEIVING PARTY DATA	
Name:	NOVARTIS VACCINES AND DIAGNOSTICS SRL
Street Address:	Via Fiorentina 1
City:	Siena
State/Country:	ITALY
Postal Code:	I-53100
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	IB0203105
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Address Line 4:	Emeryville, CALIFORNIA 94662-8097
ATTORNEY DOCKET NUMBER:	PP016226.0003
NAME OF SUBMITTER:	Kara Shure
Total Attachments: 3 source=ASSG Cov Sheet SRL#page1.tif source=ASSG SRL#page1.tif source=ASSG SRL#page2.tif	

CH \$40.00 IB0203105

RECORDATION FORM COVER SHEET PATENTS ONLY

Atty Docket No.: PP016226.0003

To the Director of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

NICHOLAS VALIANTE

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name:

NOVARTIS VACCINES AND DIAGNOSTICS SRL
Via Fiorentina, 1
I-53100 Siena
Italy

Other

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement Other _____
 Government Interest Assignment
 Executive Order 9424, Confirmatory License

Execution Date(s): 04/17/2008

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: _____.

A. Patent Application No.(s)

PCT/IB2002/003105 (now US 10/479,649)

Additional number(s) attached Yes No

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

NOVARTIS VACCINES AND DIAGNOSTICS, INC.
Corporate Intellectual Property – R338
P.O. Box 8097
Emeryville, CA 94662-8097

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41)..... \$40.00

Enclosed

Authorized to be charged to deposit account,

8. Deposit account number: **03-1664**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Helen Lee, Reg. No. 39,270

Name of Person Signing

Signature

May 21, 2008

Date

Total number of pages including cover sheet, attachments, and document: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT

WHEREAS, I, NICHOLAS VALIANTE, hereinafter referred to as *ASSIGNOR*, have invented certain new and useful improvements as described and set forth in the below-identified United Kingdom application

Title: ANTIGENS AND VECTORS FOR VACCINATION

Date(s) of Execution: 04/17/2008

Priority Data:	<u>Application No.</u>	<u>Filing Date</u>
	GB 0113798.3	June 6, 2001
	PCT/IB02/03105	May 30, 2002
	10/479,649	May 30, 2002

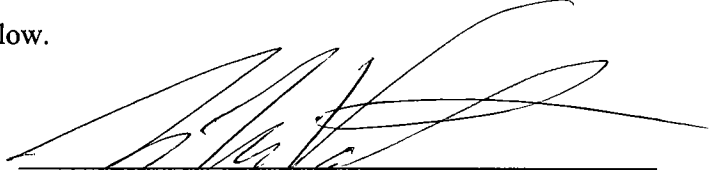
WHEREAS, NOVARTIS VACCINES AND DIAGNOSTICS SRL, with a place of business at Via Fiorentina 1, I-53100, Siena, Italy, hereinafter referred to as *ASSIGNEE*, is desirous of acquiring the entire right, title and interest in the above invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to *ASSIGNOR* by *ASSIGNEE*, receipt of which is hereby acknowledged, *ASSIGNOR* has sold, assigned and transferred, and by these presents do sell, assign and transfer unto said *ASSIGNEE*, and *ASSIGNEE'S* successors and assigns, all right, title and interest in and to the said invention, said application(s) and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisionals, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. §119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said *ASSIGNEE* as fully and exclusively as it would have been held and enjoyed by said *ASSIGNOR* had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNOR further agrees that he will, without charge to said *ASSIGNEE*, but at *ASSIGNEE'S* expense, cooperate with *ASSIGNEE* in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as *ASSIGNEE* lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said *ASSIGNEE*, or *ASSIGNEE'S* successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed his name to this assignment on the date indicated below.

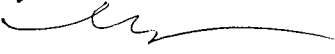
Date 4/17/08



NICHOLAS VALIANTE



Witness



Witness