

Form PTO-1595 (Rev. 08/05)
OMB No. 0651-0027 (exp. 6/30/2008)

CORRECTIVE ASSIGNMENT

U.S. DEPARTMENT OF COMMERCE
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PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

1. Gary L. Gastineau
2. Clifford Weber

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: the American Stock Exchange LLC

Internal Address: _____

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 1. 3/17/00; 2. 3/20/00

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Corrective Assignment previously recorded
on September 29, 2006 at Reel 018374,
Frame 0923

Street Address: 86 Trinity PlaceCity: New YorkState: NYCountry: USA Zip: 10006-1872Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/529,812Additional numbers attached? ☐ Yes ☒ NO

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael J. StimsonInternal Address: HOWREY LLPAtty. Dkt.: 00322.0007.CNUS02Street Address: 2941 Fairview Park Drive, Suite 200City: Falls ChurchState: VA Zip: 22042-9022Phone Number: (949) 721-6900Fax Number: (703) 336-6950Email Address: stimsonm@howrey.com6. Total number of applications and patents involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 08-3038

Authorized User Name _____

9. Signature: Michael J. StimsonMay 21, 2008

Signature

Date

Michael J. Stimson, Reg. No. 45,429

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 5Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450American LegalNet, Inc.
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PATENT

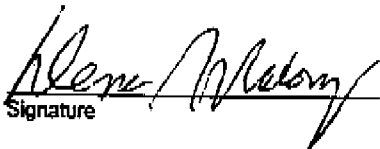
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Substitute Form PTO-1595
Attorney Docket No.: 11657-003001

RECORDATION FORM COVER SHEET PATENTS ONLY

Assistant Commissioner for Patents: Please record the attached original document(s) or copy(ies).	
1. Name of conveying party(ies): Gary L. Gastineau and Clifford Weber Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): The American Stock Exchange, LLC 86 Trinity Place New York, NY 10006-1872 Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 3/17/00; 3/20/00	
4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: 3/16/00; 3/17/00 A. Patent Application No(s): B. Patent No(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name/address of party to whom correspondence concerning document should be mailed: DENIS G. MALONEY Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804	6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
DO NOT USE THIS SPACE	
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>	
Denis G. Maloney Reg. No. 29,670 Name of Person Signing	<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;">  Signature </div> <div style="text-align: center;"> <u>3/27/2000</u> Date </div> </div>
Total number of pages including coversheet, attachments and document: 4	

20042726.doc

CERTIFICATE OF MAILING BY EXPRESS MAIL

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I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

March 27, 2000
Date of Deposit

Samantha Bell
Signature

Samantha Bell
Typed Name of Person Signing Certificate

REEL: 020994 FRAME: 0810

Attorney's Docket No.:

ASSIGNMENT

For valuable consideration, we, Gary L. Gastineau, of Short Hills, New Jersey, Clifford Weber, of Denville, New Jersey, hereby assign to The American Stock Exchange, LLC, a Delaware corporation having a place of business at: 86 Trinity Place, New York, NY 10006-1872, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us this day, entitled HEDGING EXCHANGE TRADED MUTUAL FUNDS OR OTHER PORTFOLIO BASKET PRODUCTS, filed March 27, 2000, and assigned U.S. Serial Number 09/536,258, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known: this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Attorney's Docket No.:

IN WITNESS WHEREOF, I hereto set my hand and seal at _____

this 17th day March of, 2000Gary L. Gastineau
Gary L. Gastineau

L.S.

State of NEW YORK :

: ss.

County of NEW YORK :Before me this 17th day of March, 2000, personallyappeared Gary L. Gastineau known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.GERALDINE BRINDISI
Notary Public, State of New York
No. 24-4628670
Qualified in Nassau County
Commission ExpiresGeraldine Brindisi
Notary PublicMy Commission Expires: 12/21/2000

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at _____

this 20th day March of, 2000Clifford Weber
Clifford Weber

L.S.

State of NEW YORK :

: ss.

County of NEW YORK :Before me this 20th day of March, 2000, personallyappeared Clifford Weber known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Attorney's Docket No.:

GERALDINE BRINDISI
Notary Public, State of New York
No. 24-4628670
Qualified in Nassau County
Commission Expires 12/31/2000

[Notary's Seal Here]

Geraldine Brindisi
Notary Public
My Commission Expires: 12/31/2000