

Docket No. 7075/218

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

David S. Kalt

Additional name(s) of conveying party(ies) attached? [] Yes [] No

2. Name and address of receiving party(ies)

Name: optionsXpress Holdings, Inc.

Internal Address:

Street Address: 311 West Monroe Street

Suite 1000

City: Chicago State: Illinois

Country: U.S. Zip: 60606

Additional name(s) & address(es) attached? [] Yes [x] No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 22, 2008

- [x] Assignment [] Merger
[] Security Agreement [] Change of Name
[] Joint Research Agreement
[] Government Interest Assignment
[] Executive Order 9424, Confirmatory License
[] Other

4. Application or patent number(s):

A. Patent Application No.(s)

U.S. Serial No. 12/074,265 filed February 28, 2008

- [] This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? [] Yes [] No

5. Name and address to whom correspondence concerning document should be mailed:

VALAUSKAS & PINE, LLC
150 North Wacker Drive
Suite 1825
Chicago, IL 60606
(312) 673-0360
(312) 673-0361 Facsimile

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- [] Authorized to be charged by credit card
[x] Authorized to be charged to Deposit Account No. 220125
[] Please charge any deficiencies in fee or credit any overpayment to Deposit Account No. 220125.
[] Enclosed
[] None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date

b. Deposit Account Number
Authorized User Name

9. Signature: Charles C. Valauskas

May 22, 2008

Date

Charles C. Valauskas
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

GH \$40.00 220125 12074265

Attorney Docket No. 7075/218

**ASSIGNMENT OF PATENT APPLICATION
FOR GOOD AND VALUABLE CONSIDERATION,**

I, the undersigned, in consideration of the sum of One Dollar (\$1.00) to me in hand paid by the Assignee and other good and valuable consideration, receipt of which is hereby expressly acknowledged, hereby sell, assign and transfer unto optionsXpress Holdings, Inc., a corporation organized and existing under the laws of the State of Delaware and having an address of 311 West Monroe Street, Suite 1000, Chicago, Illinois 60606, as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to TRADING SYSTEM AND METHODS described in an application for Letters Patent of the United States, identified as Attorney Docket No. 7075/218, for a full description of which reference is here made to the application for Letters Patent of the United States and Declaration filed on February 28, 2008 identified as U.S. Serial No. 12/074,265, and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. I request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

I agree that, when requested, I will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

I authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

I covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.

David S. Kalt
David S. Kalt

5-22-08
Date

STATE OF Illinois)
) SS
COUNTY OF Cook)

On this 22nd day of May, 2008, before me personally came the above named David S. Kalt who is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he executed the same of his own free will for the use and purposes therein set forth.



Rumi Kuli
Notary Public
My Commission Expires: 8/30/08