

05-27-2008

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



103504836

To the Director of the U.S. Patent and Trademark Office. Please record the documents or the new address(es) below.

1. Name of conveying party(ies)

Lawrence R. Carmen, Jr.
Thomas Warren Brenner
Stephen Lundy

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) April 8, 2008

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Lutron Electronics Co., Inc.

Internal Address:

Street Address: 7200 Suter Road

City: Coopersburg

State: PA

Country: U.S. Zip: 18036

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

12/098,088

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Mark E. Rose, Sr. Patent Counsel

Internal Address: Lutron Electronics Co., Inc.

Street Address: 7200 Suter Road

City: Coopersburg

State: PA Zip: 18036

Phone Number: 610-282-6547

Fax Number: 610-282-6354

Email Address: mrose@lutron.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date

b. Deposit Account Number 122421

Authorized User Name Mark E. Rose

9. Signature:

Mark E. Rose

Signature

Mark E. Rose, Senior Patent Counsel

Name of Person Signing

05/23/2008 NJANA1 00000073 122421 12098088
01 FC:8021 5/20/2008
Date

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 021020 FRAME: 0220


ASSIGNMENT

WHEREAS, we, Lawrence R. Carmen, Jr. of 502 Bauer Road, Bath, Pennsylvania 18014; Thomas Warren Brenner of 1170 Grange Road R5, Wescosville, Pennsylvania 18106; and Stephen Lundy of 712 W. Oxford Street, Coopersburg, Pennsylvania 18036; have invented a certain new and useful METHOD OF CONTROLLING A MOTORIZED WINDOW TREATMENT for which we filed an application for letters patent of the United States on April 4, 2008.

AND WHEREAS, Lutron Electronics Company, Inc., a corporation of the State of Pennsylvania, with a place of business at 7200 Suter Road, Coopersburg, Pennsylvania 18036-1299, hereinafter called the Assignee, is desirous of acquiring the entire right, title, interest and priority rights in and to said application, and the inventions therein disclosed, and any letters patent that may issue thereon;

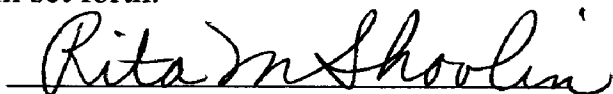
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, receipt whereof is hereby acknowledged, we hereby sell, assign, and transfer unto said Assignee, its successors, assigns and designees, our entire right, title, and interest in and to said application and any divisions or continuations thereof, and the inventions therein disclosed, and any improvements thereon, and any patent or patents that may be issued or reissued thereon and similar protective rights granted on said applications, as well as the right to claim any applicable priority rights arising from said application under the terms of any applicable conventions, treaties, statutes or regulations; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue any letters patent thereon, and reissues thereof, to said Assignee, its successors, assigns and designees; and we hereby authorize said Assignee, its successors, assigns and designees, to file in its own name applications for patent in foreign countries in connection with the inventions hereby transferred, under any applicable conventions, treaties, statutes or regulations, including, without limitation, the International Convention, the European Patent Convention, and the Patent Cooperation Treaty, claiming the priority of said United States application, or otherwise, and to secure in its own name the patent or patents issued thereon; and we hereby agree that, upon request, but without expense to us, we shall sign all papers, and make all rightful oaths, and do all acts which said Assignee, its successors, assigns or designees may consider necessary in connection with said United States application, and in connection with any other United States or foreign applications that may be filed in connection with said inventions, and with any improvements thereon, and in connection with any patents issued or reissued thereon, and shall furnish to Assignee, its successors, assigns, or designees any data, information, exhibits, memoranda, or other evidence in our possession relating to any of said inventions, discoveries or improvements, and shall testify in any ex parte or inter partes legal or administrative proceedings relating to any of said inventions, discoveries, improvements, applications, patents, or similar protective rights.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 4/8/08 day of April, 2008.


Lawrence R. Carmen, Jr.

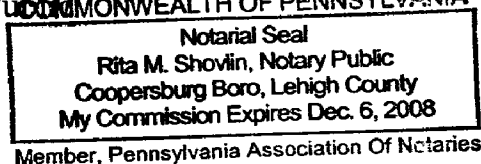
State of Penna.)
County of Lehigh) SS:

On this 8th day of April, 2008, before me a Notary Public in and for the above County and State, personally appeared the above named Lawrence R. Carmen, Jr., and acknowledged the execution of the foregoing assignment as a free act and deed for the purpose herein set forth.



Notary Public, COMMONWEALTH OF PENNSYLVANIA

Seal

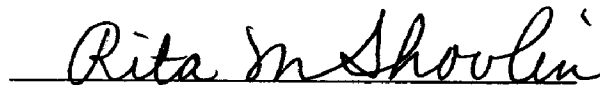


IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 8th day of April, 2008.


Thomas Warren Brenner

State of Pennna.)
County of Lehigh) SS:

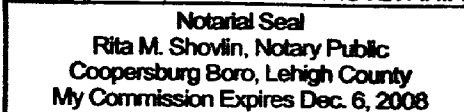
On this 8th day of April, 2008, before me a Notary Public in and for the above County and State, personally appeared the above named Thomas Warren Brenner, and acknowledged the execution of the foregoing assignment as a free act and deed for the purpose herein set forth.



Notary Public


Seal

COMMONWEALTH OF PENNSYLVANIA



Member, Pennsylvania Association Of Notaries

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 8th day of April, 2008.


Stephen Lundy

State of Penna.)
County of Lehigh) SS:

On this 8th day of April, 2008, before me a Notary Public in and for the above County and State, personally appeared the above named Stephen Lundy, and acknowledged the execution of the foregoing assignment as a free act and deed for the purpose herein set forth.


Notary Public

Seal

