

**PATENT ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
Marc Thomas Edgar	03/27/2001
Christopher Donald Johnson	03/26/2001
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	General Electric Company
<b>Street Address:</b>	One River Road
<b>City:</b>	Schenectady
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	12345
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	12129558
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(314)612-2307
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
<b>Phone:</b>	314-621-5070
<b>Email:</b>	gmaloney@armstrongteasdale.com
<b>Correspondent Name:</b>	John S. Beulick
<b>Address Line 1:</b>	One Metropolitan Square, Suite 2600
<b>Address Line 2:</b>	Armstrong Teasdale LLP
<b>Address Line 4:</b>	St. Louis, MISSOURI 63102
<b>ATTORNEY DOCKET NUMBER:</b>	85CF-00109 (17243-57)
<b>NAME OF SUBMITTER:</b>	Daniel M. Fitzgerald

CH \$40.00 12129558

Total Attachments: 4  
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**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
 ASSISTANT SECRETARY AND COMMISSIONER  
 OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

JULY 27, 2001

PTAS



\*101715921A\*

ARMSTRONG TEASDALE, LLP  
 JOHN S. BEULICK  
 ONE METROPOLITAN SQ. SUITE 2600  
 ST. LOUIS, MO 63102

UNITED STATES PATENT AND TRADEMARK OFFICE  
 NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/07/2001

REEL/FRAME: 011785/0919  
 NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

EDGAR, MARC THOMAS

DOC DATE: 03/27/2001

ASSIGNOR:

JOHNSON, CHRISTOPHER DONALD

DOC DATE: 03/26/2001

ASSIGNEE:

GENERAL ELECTRIC COMPANY  
 ONE RIVER ROAD  
 SCHENECTADY, NEW YORK 12065

SERIAL NUMBER: 09746171

FILING DATE: 12/21/2000

PATENT NUMBER:

ISSUE DATE:

KIMBERLY WHITE, EXAMINER  
 ASSIGNMENT DIVISION  
 OFFICE OF PUBLIC RECORDS

ENTERED  
 Date: 8-2-01  
 By: clm  
 17243-12  
 PATENT

REEL: 021022 FRAME: 0395

05-14-2001



COVER SHEET

5701

101715921

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
 Marc Thomas Edgar; Christopher Donald Johnson

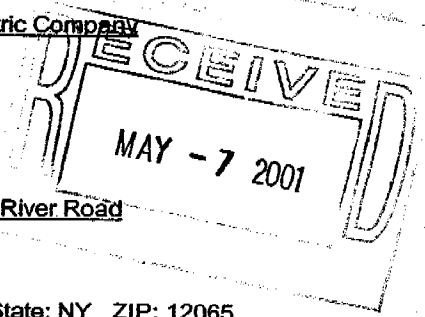
Additional name(s) of conveying party(ies) attached  Yes  No

2. Name and address of receiving party(ies)  
 Name: General Electric Company

Internal Address:  
 Street Address: One River Road

City: Schenectady State: NY ZIP: 12065

Additional name(s) & address(es) attached?  Yes  No



3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other

Execution Date: March 27, 2001; March 26, 2001, respectively.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/746,171 filed December 21, 2000

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John S. Beulick

Internal Address: Armstrong Teasdale, LLP.

Street Address: One Metropolitan Sq. Suite 2600

City: St. Louis State: MO ZIP: 63102

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number:  
01-2384

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Robert E. Slenker  
Name of Person Signing

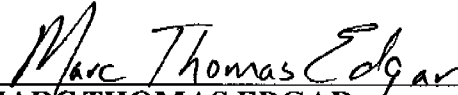
May 4, 2001  
Date

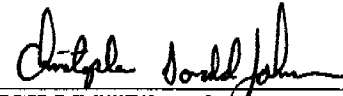
Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

ASSIGNMENT	Attorney's Docket No. <b>85CF-00109</b>
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In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **MARC THOMAS EDGAR** of 11A Foxfire Lane, Glenmont, New York 12077 and **CHRISTOPHER DONALD JOHNSON**, of 17 Berkshire Drive West, Clifton Park, New York 12065, sell and assign to General Electric Company, One River Road, Schenectady, New York 12345, a New York Corporation, (hereinafter referred to as "Company") its successors and assigns the entire right, title and interest in and to the improvements in **CROSS CORRELATION TOOL FOR AUTOMATED PORTFOLIO DESCRIPTIVE STATISTICS**, invented by us, as described in the United States provisional application filed December 30, 1999, S.N. 60/173,794; as described in the application for the United States patent filed December 21, 2000, S.N. 09/746,171, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States provisional application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

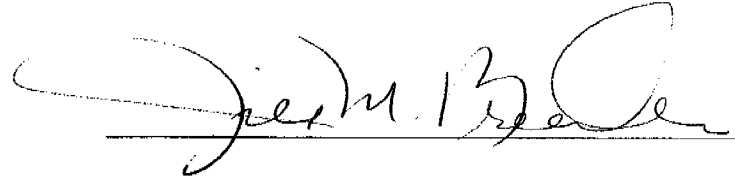
27 March, 2001   
MARC THOMAS EDGAR

26 March, 2001   
CHRISTOPHER DONALD JOHNSON

STATE OF NY )  
County of Schenectady ) ss.

This 27th day of March, 2001, before me personally came the above-named **MARC THOMAS EDGAR** to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

**JILL M. BREEDLOVE**  
Notary Public in the State of New York  
Residing in Albany County  
No. 02BR4940840  
My Commission Expires July 25, 2002



ASSIGNMENT	Attorney's Docket No. <b>85CF-00109</b>
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STATE OF NY )  
) ss.  
County of Schenectady )

This 26<sup>th</sup> day of March, 2001, before me personally came the above-named CHRISTOPHER DONALD JOHNSON, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

JOANNE A. SMITH  
Notary Public in the State of New York  
Qualified in Schenectady Co.  
No. 01SM5068633  
My Commission Expires Nov. 4, 2002

Joanne A. Smith