Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
RINO RAPPUOLI	05/26/2008
GIUSEPPE DEL GIUDICE	05/26/2008

RECEIVING PARTY DATA

Name:	NOVARTIS VACCINES AND DIAGNOSTICS SRL
Street Address:	Via Fiorentina 1
City:	Siena
State/Country:	ITALY
Postal Code:	I-53100

PROPERTY NUMBERS Total: 3

Property Type	Number
Application Number:	60734026
Application Number:	60735468
PCT Number:	GB0604133

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ATTORNEY DOCKET NUMBER: PP028192.0001

NAME OF SUBMITTER: Kara Shure

Total Attachments: 3

PATENT REEL: 021026 FRAME: 0701

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Form PTO-1595	RECORDATION FORM COVER SHEET		U.S. DEPARTMENT OF COMMERCE		
(Rev. 07/05)	PATENTS ONLY		U.S. Pate	nt and Trademark Office	
OMB No. 0651-0027 (exp. 6/30/2008)	·		Atty Docket No.:	PP028192.0001	
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Name of conveying party(ies):		Name and address of Name:	of receiving party(ies	3)	
RINO RAPPUOLI GIUSEPPE DEL GIUDICE		NOVARTIS VAC Via Fiorentina, 1 I-53100 Siena	CINES AND DI	AGNOSTICS SRL	
Additional name(s) of conveying party(ie	es) attached? Yes No	Italy			
3. Nature of conveyance:		•			
☑ Assignment☑ Security Agreement☑ Joint Research Agreement☑ Government Interest Assignment	☐ Merger ☐ Change of Name ☐ Other				
☐ Executive Order 9424, Confirmatory	License	☐ Other			
Execution Date(s): 05/26/2008, 05/2	26/2008	Additional name(s) 8	address(es) attach	ned? ☐ Yes ☒ No	
Application number(s) or patent num If this document is being filed together A. Patent Application No.(s)					
US 60/734,026 filed November 4, 2005					
US 60/735,468 filed November 11, 2005					
PCT/GB2006/004133 filed November 6, 2006	Additional				
Name and address of party to whom	Additional number(s) a	tached Yes No			
concerning document should be mai		6. Total number of application	ons and patents involve	ed 3	
·		7. Total fee (37 CFR 3.4	¥1)	\$120.00	
NOVARTIS VACCINES AND I		☐ Enclosed			
Corporate Intellectual Property – R338 P.O. Box 8097 Emeryville, CA 94662-8097	r – R338	Authorized to be	charged to deposit a	account,	
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To the best of my knowledge and a true copy of the original docum		mation is true and corre	ect and any attach	ned copy is	
Helen Lee, Reg. No. 39,270 A June 2, 2038					
	Signature		_		
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Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I

RINO RAPPUOLI and GIUSEPPE DEL GIUDICE

do hereby sell, assign and transfer to Novartis Vaccines and Diagnostics SRL, Via Fiorentina 1, I-53100, Siena, Italy, (hereinafter referred to as the "**ASSIGNEE**") and its successors, assigns and legal representatives, all of my right, title and interest for all countries of the world in and to (1) all of my inventions and discoveries described in the patent application(s) titled

INFLUENZA VACCINES INCLUDING COMBINATIONS OF PARTICULATE ADJUVANTS AND IMMUNOPOTENTIATORS

and filed in the RO/DO/EO/GB on November 6, 2006 and accorded International Patent Application Number PCT/GB2006/004133, (2) the patent application(s) identified in (1), (3) all national stages of any international patent application identified in (1), (4) all other patent applications in all countries and regions claiming the priority of the provisional patent application filed in the United States Patent and Trademark Office on November 4, 2005 and accorded Application Number 60/734,026; and provisional patent application filed in the United States Patent and Trademark Office on November 11, 2005 and accorded Application Number 60/735,468, (5) for all patent applications, all rights of priority based upon the United States patent application identified in (4) in all countries and regions under the Paris Convention for the Protection of Industrial Property, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application identified in (4) is a provisional patent application, under 35 USC 119(e) (including the right to file patent applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our names, at their election and in accordance with applicable law in all countries and regions), (6) all continuations and divisions of any United States patent application or international patent application designating the United States identified in (1), any national stages of any international application identified in (1) and any patent applications within the scope of (4) (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(4) and (6) hereinafter referred to collectively as "patent applications"), (7) all patents that are granted on any of said patent applications, (8) all registrations and confirmations of, and importation certificates based upon, one or more of said patents and applications for such registrations, confirmations and importation certificates and (9) all reissues, renewals and extensions of said patents, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said **ASSIGNEE** and their successors, assigns and legal representatives to the full ends of the terms for which said patents, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made.

And we hereby covenant and agree that we will, at any time, (i) upon the request, but at the expense, of **ASSIGNEE** or their successors, assigns or legal representatives execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates and applications within the scope of (8) and (9) in **ASSIGNEE** or their successors, assigns or legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of **ASSIGNEE** or their successors, assigns or legal representatives execute all additional patent applications within the scope of (3), (4) and (6) and all applications within the scope of (8) or (9) and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of **ASSIGNEE** or their successors, assigns or legal representatives.

PATENT REEL: 021026 FRAME: 0704

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RECORDED: 06/02/2008

PATENT REEL: 021026 FRAME: 0705