

PATENT ASSIGNMENT

Electronic Version v1.1

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SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Jason Scott	04/22/2008
Joshua David Schwab	05/06/2008
John G. Watson	04/22/2008
Wei-Yin Hu	04/22/2008
James William Shearer	04/22/2008

RECEIVING PARTY DATA

Name:	Financial Engines, Inc.
Street Address:	1804 Embarcadero Road
City:	Palo Alto
State/Country:	CALIFORNIA
Postal Code:	94303

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10404818

CORRESPONDENCE DATA

Fax Number: (303)856-7264
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.
Phone: 303-856-7155
Email: mdesanctis@hdciplaw.com
Correspondent Name: Hamilton, DeSanctis & Cha LLP
Address Line 1: Financial Plaza At Union Square
Address Line 2: 225 Union Boulevard, Suite 305
Address Line 4: Lakewood, COLORADO 80228

ATTORNEY DOCKET NUMBER: FINA.000710

NAME OF SUBMITTER: Michael A. DeSanctis

PATENT

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REEL: 021032 FRAME: 0197

OP \$40.00 10404818

Total Attachments: 5

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ASSIGNMENT

WHEREAS, we, **JASON SCOTT**, of 1700 Granger Avenue, Los Altos, CA 94024, **JOSHUA DAVID SCHWAB**, of 1351 Guerrero Street, San Francisco, CA 94110, **JOHN G. WATSON**, of 725 Magnolia Street, Menlo Park, CA 94025, **WEI-YIN HU**, of 10 Trudy Lane, Menlo Park, CA 94025 and **JAMES WILLIAM SHEARER**, of 1210 Sanchez #1, San Francisco, CA 94114, have invented certain new and useful improvements for which an application entitled "**TAX AWARE ASSET ALLOCATION**" is about to be made for Letters Patent of the United States, the application having been executed on even date herewith, and which may be identified in the United States Patent and Trademark Office by Application No. 10/404,818, filed March 31, 2003, and

WHEREAS, Financial Engines, Inc., a Corporation of the State of Delaware, and having its principal offices at 1804 Embarcadero Road, Palo Alto, California, 94303, USA (hereafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to the application, any inventions or discoveries described therein and in, to and under any and all Letters Patents to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold, assigned and transferred, and by these presents do hereby sell, assign and transfer unto the Assignee, its successors and assigns:

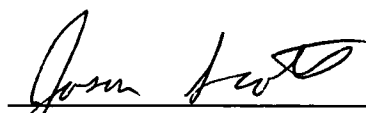
1. the entire right, title and interest in and to the application, any inventions or discoveries described therein and the Letters Patent(s), both foreign and domestic, that may or shall issue, including all rights under the International Convention, and
2. all proceeds of the application, any inventions or discoveries described therein and the Letters Patent(s) (including but not limited to, damages for pre-patent grant infringement, all license royalties and proceeds of infringement suits); and in and to any and all causes of action (excepting claims upon the United States of America) for past, present, and future infringement of any of the Letters Patent(s), or relating to any inventions or discoveries described therein, including the right to collect royalties and seek injunctive relief, for all such infringements and the right to sue on all such causes of action for their own use and benefit and the use and benefit of their successors, assigns and legal representatives,

We do hereby authorize and request the Commissioner of Patents to issue the Letters Patent(s) to the Assignee in accordance herewith.

We further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name, if desired, in any and all foreign countries, and additionally to claim the filing date of the United States application and/or otherwise take advantage of the provisions of the International Convention.

We do hereby covenant and agree with the Assignee, its successors and assigns, that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we or our executors or administrators will, at any time upon request, without further or additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, continuation, divisional, reissued, reexamined, substitute or extended Letters Patent(s) of the United States, or of any and all foreign countries on the invention, and in enforcing any rights, occurring as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents.

In Witness Whereof, we have hereunto set my hand and affixed my seal on the date written below.



JASON SCOTT

4/22/08

Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public or Witness

Wei-Yin Hu
WEI-YIN HU

4/22/2008
Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public

My commission expires: _____

Expiring


JAMES WILLIAM SHEARER

April 22, 200
Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public

My commission expires: _____

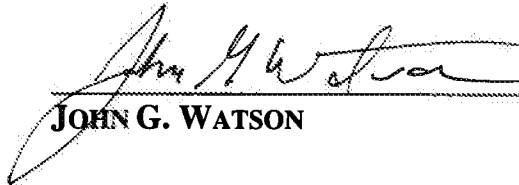
JOSHUA DAVID SCHWAB

Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public

My commission expires: _____



JOHN G. WATSON

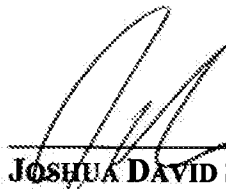
4-22-2008

Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public

My commission expires: _____



JOSHUA DAVID SCHWAB

May 6, 2004
Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public

My commission expires: _____

JOHN G. WATSON

Date

On this _____ day of _____, before me personally appeared _____, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his/her free act and deed.

Notary Public

My commission expires: _____