

Form PTO-1595 (Rev. 07/05)
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P-235-US1

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

1. Sean M. Dalziel
2. Leticia Maria Preza
3. Miroslav Raptal
4. Pierre-Jean Colson

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 1,3 & 4) May 12, 2008 2) June 3, 2008

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Theravance, Inc.

Internal Address: _____

Street Address: 901 Gateway Boulevard

City: South San Francisco

State: California

Country: USA Zip: 94080

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

12/072,534

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Jeffrey A. Hagenah, Reg. No. 35,175

Internal Address: _____

Street Address: 901 Gateway Boulevard

City: South San Francisco

State: California Zip: 94080

Phone Number: (650) 808-6000

Fax Number: (650) 808-6078

Email Address: jhagenah@theravance.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
 Expiration Date _____

b. Deposit Account Number 50-0344

Authorized User Name Roberta P. Saxon

9. Signature:

Roberta P. Saxon
 Signature

June 3, 2008

Date

Roberta P. Saxon, Reg. No. 43,087

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 500344 12072534

700373299

PATENT
 REEL: 021036 FRAME: 0452

Assignment

This **Assignment**, by **Sean M. Dalziel**, residing at 400 Beale Street Apt. 1901, San Francisco, CA 94105; **Leticia Maria Preza**, residing at 69 Nordhoff Street, San Francisco, CA 94131; **Miroslav Rapt**, residing at 411 E. Duane Avenue, Sunnyvale, CA 94085; and **Pierre-Jean Colson**, residing at 301 Bryant Street #403, San Francisco, CA 94107 (hereinafter referred to as "the **Assignors**"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in **Crystalline Forms of an 8-azabicyclo[3.2.1]octane Compound** set forth in an application for Letters Patent of the United States,

- ☐ which is a provisional application
 - ☐ to be filed herewith; or
 - ☐ bearing Application No. _____, and filed on _____; or
- ☒ which is a non-provisional application
 - ☐ to be filed herewith; or
 - ☒ bearing Application No. 12/072,534, and filed on February 27, 2008;

and

WHEREAS, **Theravance, Inc.**, a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 901 Gateway Boulevard, South San Francisco, California 94080 (hereinafter referred to as "the **Assignee**"), is desirous of acquiring the entire right, title and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignor has sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and Assignment not been made;

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the Assignor is the sole and lawful owner of the entire right, title and interest in and to the inventions set forth in said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives and assigns that the Assignor will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent or Patents for said inventions, without charge to the Assignor, its successors, legal representatives and assigns, but at the cost and expense of the Assignee, its successors, legal representatives and assigns;

AND the Assignor hereby requests the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee, as the Assignee of said inventions and the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives and assigns.

Date 12 MAY 2008

Signature of Assignor

Sean M. Dalziel
Sean M. DALZIEL

State of California

County of San Mateo

On 12 May 2008 before me, Maria Chuakay, Notary Public,
personally appeared Sean M. Dalziel

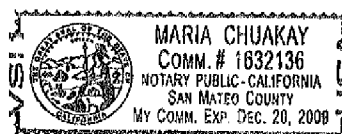
who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Maria Chuakay

Signature of Notary Public



Date 03 June 08

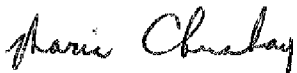
Signature of Assignor


Leticia Maria PREZAState of CaliforniaCounty of San MateoOn 03 June 2008 before me, Maria Chuakay, Notary Public,
personally appeared Leticia Maria Preza

who proved to me on the basis of satisfactory evidence to be the person whose name is
subscribed to the within instrument and acknowledged to me that she executed the same in her
authorized capacity, and that by her signature on the instrument the person, or the entity upon
behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature of Notary Public



Date 12 May 2008

Signature of Assignor

Miroslav RAPTAState of CaliforniaCounty of San MateoOn 12 May 2008 before me, Maria Chuakay, Notary Public,
personally appeared Miroslav Rapta

who proved to me on the basis of satisfactory evidence to be the person whose name is
subscribed to the within instrument and acknowledged to me that he executed the same in his
authorized capacity, and that by his signature on the instrument the person, or the entity upon
behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Maria Chuakay
Signature of Notary Public

Date 12 May 2008

Signature of Assignor


Pierre-Jean COLSONState of CaliforniaCounty of San Mateo

On 12 May 2008 before me, Maria Chuakay, Notary Public,
personally appeared Pierre-Jean Colson

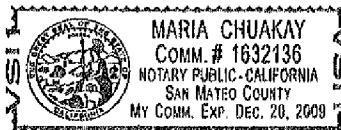
who proved to me on the basis of satisfactory evidence to be the person whose name is
subscribed to the within instrument and acknowledged to me that he executed the same in his
authorized capacity, and that by his signature on the instrument the person, or the entity upon
behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

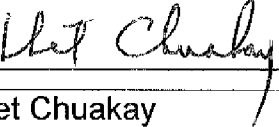


Signature of Notary Public



Facsimile Cover Sheet

For Transmission to the United States Patent and Trademark Office

To:	Commissioner for Patents	
	Fax. No.	(571) 273-0140
	Application No.	12/072,534
	Filing Date:	February 27, 2008
	Confirmation No.:	4813
	Attorney Docket No.	P-235-US1
From:	Theravance, Inc.	
	Attorney:	Roberta P. Saxon
	Reg. No. :	43,087
	Customer No.:	27038
	Address:	901 Gateway Boulevard South San Francisco, California 94080
	Telephone No.:	(650) 808-3764
	Fax. No.:	(650) 808-6078
Date:	June 3, 2008	
No. of Pages :	<u>7</u> (including this page)	
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Signature :		
Printed Name :	Lhet Chuakay	

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