

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

RELEASE BY SECURED PARTY

CONVEYING PARTY DATA

Name	Execution Date
Philip S. Sassower 1996 Charitable Remainder Annuity Trust	06/04/2008

RECEIVING PARTY DATA

Name:	CIC Acquisition Corp.
Street Address:	275 Shoreline Drive, Suite 500
City:	Redwood Shores
State/Country:	CALIFORNIA
Postal Code:	94065-1413

PROPERTY NUMBERS Total: 6

Property Type	Number
Patent Number:	5647017
Patent Number:	5818955
Patent Number:	6064751
Patent Number:	6091835
Patent Number:	5544255
Patent Number:	6381344

CORRESPONDENCE DATA

Fax Number: (212)829-2315

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 212-603-2179

Email: equally@thelen.com

Correspondent Name: Elizabeth A. Qually

Address Line 1: 875 Third Avenue, 10th Floor

Address Line 4: New York, NEW YORK 10022-6225

ATTORNEY DOCKET NUMBER:

704273-22

PATENT

REEL: 021040 FRAME: 0804

500558107

CH \$240.00 5647017

NAME OF SUBMITTER:

Elizabeth A. Qually

Total Attachments: 28

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TERMINATION OF SECURITY AGREEMENT AND RELEASE OF COLLATERAL

THIS TERMINATION OF SECURITY AGREEMENT AND RELEASE OF COLLATERAL, dated as of June 4, 2008 (this "Release") is:

- (i) executed and delivered by The Philip S. Sassower 1996 Charitable Remainder Annuity Trust ("**Secured Party**") for itself as the payee under the Convertible Note (hereinafter defined); and
- (ii) for the benefit of Communication Intelligence Corporation, a Delaware corporation ("**Communication Intelligence Corporation**"), CICI Limited, a corporation organized under the laws of Bermuda ("**CICI Limited**") and CIC Acquisition Corp., a Delaware corporation ("**CIC Acquisition Corp.**") as grantors ("**Grantors**") and their successors and assigns (collectively, the "**Beneficiaries**").

Recitals

A. Pursuant to the Second Amended and Restated Security Agreement, dated as of June 19, 2001 (as amended, supplemented, restated or otherwise modified from time to time, the "**Security Agreement**"), executed and delivered by Communication Intelligence Corporation ("**Borrower**"), CICI Limited and CIC Acquisition Corp. (each of Borrower, CICI Limited and CIC Acquisition Corp., a "**Grantor**" and collectively, the "**Grantors**"), in favor of the Secured Party, the Grantors granted to the Secured Party for its benefit and each of its respective successors, transferees and assigns, a security interest in the "**Collateral**" (as defined therein).

B. The Security Agreement, the original recordation cover sheets with a listing of patent applications and patents, attached as **Exhibit A**, were recorded on Reel: 011923, Frames: 0210 and 0245, in the United States Patent and Trademark Office (the "**USPTO**") on June 22, 2001, and the original recordation cover sheet with a listing of trademark applications and trademarks, attached as **Exhibit B**, were recorded on Reel: 002387, Frame: 00727, in the USPTO on October 19, 2001.

C. The security interest granted under the Security Agreement secured the "**Secured Indebtedness**" (as defined in the Security Agreement), including without limitation payments by Borrower under the Convertible Note, dated as of June 19, 2001 (together with all amendments, supplements, restatements, and other modifications, if any, from time to time thereafter made thereto, the "**Convertible Note**"), made by Borrower to Secured Party.

D. The Convertible Note and all other Secured Indebtedness have been paid in full. Borrower has requested that the Secured Party execute and deliver this Release

to evidence termination of the Security Agreement and release of its security interest in and other rights with respect to the Collateral.

Acknowledgement of Termination and Release

NOW THEREFORE, in consideration of the facts described above and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Secured Party hereby acknowledges and agrees as follows:

- 1. Termination of Security Agreement and Release of All Collateral Thereunder. The Secured Party acknowledges and agrees that the Secured Indebtedness has been discharged and paid in full and the Security Agreement has been or hereby is terminated. In connection therewith, the Secured Party has released its claim to, and/or any interest it may have, in **Exhibit A** and **Exhibit B** hereto.
- 2. Supplemental Filings, Recordations, Documents, and Instruments. Secured Party authorizes Communication Intelligence Corporation to prepare and cause to be filed and recorded with applicable governmental authorities or the USPTO, as applicable, such amendments to UCC financing statements, releases, and other documents and instruments as may be necessary or appropriate to evidence the release and extinguishment of the Security Agreement and the Released Interest in the Collateral.
- 3. Reliance. This Release may be relied upon by each Grantor and its successors and assigns.
- 4. Miscellaneous.
 - (a) Governing Law. This Release shall be governed by and construed in accordance with the internal laws of the State of New York (without giving effect to conflicts of laws principles thereof other than Section 5-1401 and Section 5-1402 of the New York General Obligations Law).
 - (b) Headings. Any headings or captions preceding the text of the sections of this Release are intended solely for convenience of reference and shall not constitute a part of this Release or affect its meaning, construction or effect.
 - (c) Electronic Transmission. Delivery of an executed counterpart of a signature page to this Release by electronic means (including by facsimile transmission or by e-mail of a scanned file in pdf format) will be as effective as delivery of a manually executed counterpart of this Release.
 - (d) Further Assurances. Each person or entity that executes and delivers this Release hereby agrees that at any time and from time to time upon the written request of any Beneficiary, it will execute and deliver such further documents and instruments, and

do and perform such further acts and things as may be reasonably requested to effectuate the purposes of this Release.

(c) Benefit. This Release shall be binding upon each signatory hereto and its successors and assigns. Each Beneficiary and its successors and assigns shall be a third party beneficiary of this Release.

(f) Integration. This Release represents the agreement of each signatory hereto with respect to the subject matter hereof and there are no other promises, undertakings, representations or warranties relative to the release and extinguishment contemplated hereby not expressly set forth or referred to herein.

[Signature page follows.]

IN WITNESS WHEREOF, the undersigned has caused this Release to be executed and delivered as of the date first above written.

SECURED PARTY:

The Philip S. Sassower 1996 Charitable
Remainder Annuity Trust,
as Secured Party

By: 

Name: Philip S. Sassower

Title: Trustee

EXHIBIT A

**LISTING OF PATENT APPLICATIONS AND PATENTS RECORDED ON
REEL: 011923, FRAMES 0210 AND 0245 IN THE USPTO ON JUNE 22, 2001**

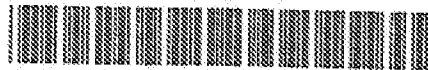


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 05, 2001

PTAS

BAER, MARKS & UPHAM, LLP
DAVID MONASSEBIAN
805 THIRD AVENUE, 20TH FLOOR
NEW YORK, NY 10021



101763128A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/22/2001

REEL/FRAME: 011923/0245
NUMBER OF PAGES: 27

BRIEF: SECURITY AGREEMENT

ASSIGNOR:

COMMUNICATION INTELLIGENCE
CORPORATION

DOC DATE: 06/19/2001

ASSIGNEE:

PHILIP S. SASSOWER 1996 CHARITABLE
REMINDER ANNUITY TRUST, THE
135 EAST 57TH STREET
NEW YORK, NEW YORK 10022

SERIAL NUMBER: 08605972
PATENT NUMBER: 5933514

FILING DATE: 02/23/1996
ISSUE DATE: 08/03/1999

SERIAL NUMBER: 07418009
PATENT NUMBER: 5049862

FILING DATE: 10/06/1989
ISSUE DATE: 09/17/1991

SERIAL NUMBER: 06459283
PATENT NUMBER: 4531231

FILING DATE: 01/19/1983
ISSUE DATE: 07/23/1985

SERIAL NUMBER: 06459180
PATENT NUMBER: 4573196

FILING DATE: 01/19/1983
ISSUE DATE: 02/25/1986

011923/0245 PAGE 2

SERIAL NUMBER: 07035278
PATENT NUMBER: 4718102

FILING DATE: 04/03/1987
ISSUE DATE: 01/05/1988

SERIAL NUMBER: 06459282
PATENT NUMBER: 4561105

FILING DATE: 01/19/1983
ISSUE DATE: 12/24/1985

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

MMO
6-22-01



06-28-2001



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Form PTO-1595
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)
Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Communication Intelligence Corporation
275 Shoreline Drive
Redwood Shores, CA 94065-1413

2. Name and address of receiving party(ies)
Name: The Philip S. Sassower 1996
Charitable Reminder Annuity Trust
Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:
☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Other

Street Address: 135 East 57th Street

City: New York State: NY Zip: 10022

Execution Date: June 19, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

See attached.

See attached.

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David Monassebian

Internal Address:

Baer Marks & Upham LLP

Street Address: 805 Third Ave.

20th Floor

City: New York State: NY Zip: 10021

6. Total number of applications and patents involved: 6

7. Total fee (37 CFR 3.41).....\$ 240

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Marjorie Bailey, CFO
Name of Person Signing

Marjorie Bailey
Signature

6/20/01
Date

Total number of pages including cover sheet, attachments, and documents: 23

06/27/2001 DBTRNE 00000063 5933514

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

01 FC:581

240.00 GP



Registration Form Cover Sheet
Patents Only

Communication Intelligence Corporation, as conveying party
The Philip S. Sassower 1996 Charitable Remainder Annuity Trust, as receiving party

4. PATENTS AND APPLICATIONS

<u>Patent</u>	<u>Inventor(s)</u>	<u>Application Issue No.</u>	<u>Application Issue Date</u>
Method for Dynamic Reconstruction of Handwritten Data	John S. Ostrem Norman A. Austin Hewitt D. Crane	5,933,514	08/03/1999
Keyless Flat Panel Portable Computer -- Computer Aided Notebook	James Dao David C. Foyt Jeffrey J. Dao Kenneth R. Allen	5,049,862	09/17/1991
Method for Distinguishing Between Complex Character Sets	Hewitt D. Crane John S. Ostrem Peter K. Edberg	4,531,231	07/23/1985
Confusion Grouping of Strokes in Pattern Recognition Method and System	Hewitt D. Crane John S. Ostrem	4,573,196	02/25/1986
Process and Apparatus Involving Pattern Recognition	Hewitt D. Crane John S. Ostrem	4,718,102	01/05/1988
Complex Pattern Recognition Method and System	Hewitt D. Crane John S. Ostrem	4,561,105	12/24/1985



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 05, 2001

PTAS



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BAER MARKS & UPHAM LLP
DAVID MONASSEBIAN
805 THIRD AVE.
20TH FLOOR
NEW YORK, NY 10021

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RECORDATION DATE: 06/22/2001

REEL/FRAME: 011923/0210
NUMBER OF PAGES: 27

BRIEF: SECURITY AGREEMENT

ASSIGNOR:
CIC ACQUISITION CORP.

DOC DATE: 06/19/2001

ASSIGNEE:
PHILIP S. SASSOWER 1996 CHARITABLE
REMINDER ANNUITY TRUST, THE
135 EAST 57TH STREET
NEW YORK, NEW YORK 10022

SERIAL NUMBER: 09477462
PATENT NUMBER:

FILING DATE: 01/04/2000
ISSUE DATE:

SERIAL NUMBER: 09505495
PATENT NUMBER:

FILING DATE: 02/17/2000
ISSUE DATE:

SERIAL NUMBER: 08298991
PATENT NUMBER: 5544255

FILING DATE: 08/31/1994
ISSUE DATE: 08/06/1996

SERIAL NUMBER: 08644084
PATENT NUMBER: 5647017

FILING DATE: 05/09/1996
ISSUE DATE: 07/08/1997

011923/0210 PAGE 2

SERIAL NUMBER: 08859626
PATENT NUMBER: 5818955

FILING DATE: 05/20/1997
ISSUE DATE: 10/06/1998

SERIAL NUMBER: 09024835
PATENT NUMBER: 6091835

FILING DATE: 02/17/1998
ISSUE DATE: 07/18/2000

SERIAL NUMBER: 09112224
PATENT NUMBER: 6064751

FILING DATE: 07/08/1998
ISSUE DATE: 05/16/2000

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

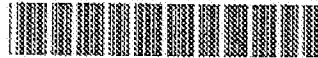
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(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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06-28-2001



U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
CIC Acquisition Corp.
275 Shoreline Drive
Redwood Shores, CA 94065-1413

2. Name and address of receiving party(ies)
Name: The Philip S. Sassower 1996
Charitable Reminder Annuity Trust
Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 135 East 57th Street

City: New York State: NY Zip: 10022

Execution Date: June 19, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

See attached.

B. Patent No.(s)

See attached.

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David Monassebian

Internal Address: _____

Baer Marks & Upham LLP

Street Address: 805 Third Ave.

20th Floor

City: New York State: NY Zip: 10021

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41).....\$ 280

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☐ Authorized to be charged to deposit account

8. Deposit account number: _____

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Marjorie Bailey, CFO
Name of Person Signing

Marjorie Bailey
Signature

6/20/01
Date

Total number of pages including cover sheet, attachments, and documents: 27

06/27/2001 DBYRNE 00000064 5544899 documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

PATENT
REEL: 021040 FRAME: 0817

Record Form Cover Sheet
Patents Only



CIC Acquisition Corp., as conveying party
The Philip S. Sassower 1996 Charitable Remainder Annuity Trust, as receiving party

4. PATENTS AND APPLICATIONS

<u>APPLICATION SERIAL NO.</u>	<u>U.S. PATENT NO.</u>	<u>FILING DATE</u>	<u>ISSUE DATE</u>	<u>TITLE</u>	<u>STATUS</u>
08/298,991	5,544,255	August 31, 1994	August 6, 1996	Method and System for the Capture, Storage, Transport and Authentication of Handwritten Signatures	Granted
08/644,084	5,647,017	May 9, 1996	July 8, 1997	Method and System for the Verification of Handwritten Signatures	Granted
08/859,626	5,818,955	May 20, 1997	October 6, 1998	Document and Signature Verification System and Method	Granted
09/024,835	6,091,835	February 17, 1998	July 18, 2000	Method and System for Transcribing Electronic Affirmations	Granted
09/112,224	6,064,751	July 8, 1998	May 16, 2000	Document and Signature Data Capture System and Method	Granted
09/477,462	-	January 4, 2000	-	Method and System for the Capture, Storage, Transport and Authentication of Handwritten Signatures	Pending
09/505,495	-	February 17, 2000	-	Method and System for Transcribing Electronic Affirmations	Pending

EXHIBIT B

**LISTING OF TRADEMARK APPLICATIONS AND TRADEMARKS
RECORDED ON REEL: 002387, FRAME: 0727 IN THE USPTO
ON OCTOBER 19, 2001**



JANUARY 02, 2002

PTAS

Chief Financial Officer and Chief Administrative Officer
Washington, DC 20231
www.uspto.gov

BAER MARKS & UPHAM LLP
DAVID MONASSEBIAN
805 THIRD AVENUE
20TH FLOOR
NEW YORK, N.Y. 10022



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RECORDATION DATE: 10/19/2001

REEL/FRAME: 002387/0727
NUMBER OF PAGES: 29

BRIEF: SECOND AMENDED AND RESTATED SECURITY AGREEMENT

ASSIGNOR:

COMMUNICATION INTELLIGENCE
CORPORATION

DOC DATE: 06/19/2001
CITIZENSHIP:
ENTITY: CORPORATION

ASSIGNEE:

PHILIP S. SASSOWER 1996 CHARITABLE
REMAINDER ANNUITY TRUST
135 EAST 57TH STREET
N.Y., NEW YORK 10022

CITIZENSHIP:
ENTITY: TRUST

APPLICATION NUMBER: 75470328
REGISTRATION NUMBER:

FILING DATE: 04/20/1998
ISSUE DATE:

MARK: CEREMONY

DRAWING TYPE: WORDS, LETTERS, OR NUMBERS IN TYPED FORM

002387/0727 PAGE 2

APPLICATION NUMBER: 78007746
REGISTRATION NUMBER:

FILING DATE: 05/11/2000
ISSUE DATE:

MARK: READY TO SIGN
DRAWING TYPE: WORDS, LETTERS, OR NUMBERS IN TYPED FORM

APPLICATION NUMBER: 78008994
REGISTRATION NUMBER:

FILING DATE: 05/19/2000
ISSUE DATE:

MARK: DIGITAL EVIDENCE
DRAWING TYPE: WORDS, LETTERS, OR NUMBERS IN TYPED FORM

APPLICATION NUMBER: 75708790
REGISTRATION NUMBER:

FILING DATE: 05/18/1999
ISSUE DATE:

MARK: SIGNATUREONE
DRAWING TYPE: WORDS, LETTERS, OR NUMBERS IN TYPED FORM

APPLICATION NUMBER: 75708537
REGISTRATION NUMBER:

FILING DATE: 05/18/1999
ISSUE DATE:

MARK: E-MERGE SYSTEMS
DRAWING TYPE: WORDS, LETTERS, OR NUMBERS IN TYPED FORM

APPLICATION NUMBER: 75030621
REGISTRATION NUMBER: 2231296

FILING DATE: 12/11/1995
ISSUE DATE: 03/16/1999

MARK: CHEQUEMATCH
DRAWING TYPE: WORDS, LETTERS, OR NUMBERS IN TYPED FORM

APPLICATION NUMBER: 75053287
REGISTRATION NUMBER: 2256203

FILING DATE: 02/05/1996
ISSUE DATE: 06/29/1999

MARK: GRAVITY PROMPT
DRAWING TYPE: WORDS, LETTERS, OR NUMBERS IN TYPED FORM

APPLICATION NUMBER: 74273188
REGISTRATION NUMBER: 1798571

FILING DATE: 05/07/1992
ISSUE DATE: 10/12/1993

MARK: PENOP
DRAWING TYPE: STYLIZED WORDS, LETTERS, OR NUMBERS

APPLICATION NUMBER: 75053293
REGISTRATION NUMBER: 2315145

FILING DATE: 02/05/1996
ISSUE DATE: 02/08/2000

MARK:
DRAWING TYPE: MISCELLANEOUS DESIGN

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

10-24-2001

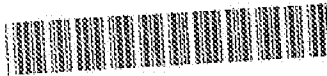
10-9-01

Form PTO-1594

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings



101884519

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Communication Intelligence Corporation
275 Shoreline Drive
Redwood Shores, CA 94065-1413

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State
☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: June 19, 2001

2. Name and address of receiving party(ies)

Name: The Philip S. Sassower 1996
Internal Charitable Remainder Annuity Trust
Address:

Street Address: 135 East 57th Street

City: N.Y. State: N.Y. Zip: 10022

- ☐ Individual(s) citizenship
☐ Association
☐ General Partnership
☐ Limited Partnership
☐ Corporation-State
☒ Other Trust

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No
 (Designations must be a separate document from assignment)
 Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

See attached.

B. Trademark Registration No.(s)

See attached.

Additional number(s) attached ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David Monassebian

Internal Address:

Baer Marks & Upham LLP

Street Address: 805 Third Avenue

20th Floor

City: New York State: N.Y. Zip: 10022

6. Total number of applications and registrations involved:

9

7. Total fee (37 CFR 3.41) \$240.00

- ☒ Enclosed *
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David Y. Monassebian

Name of Person Signing

David Y. Monassebian

Signature

10/8/01

Date

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 Washington, D.C. 20231

* \$25.00 is enclosed herewith. A check in the amount of \$215 was accepted by the USPTO with the original submission.

PATENT

REEL: 021040 FRAME: 0822

10/23/2001 JTM/LME 00000027 2256203

01 FC-402

Recordation Form Cover Sheet
Trademarks Only

CIC Acquisition Corp., as conveying party
The Philip S. Sassower 1996 Charitable Remainder Annuity Trust, as receiving party

4. TRADEMARKS AND APPLICATIONS

Mark	Class	App. No.	Reg. No.	Status
GRAVITY PROMPT	9 & 16		2256203	Registered
PENOP	9		1798571	Registered
Triangle Logo	9 & 16		2315145	Registered
CEREMONY	9 & 16	75/470,328		Application filed 1998/04/20
READY TO SIGN	to be determined	78/007746		Application filed 5/11/2000
DIGITAL EVIDENCE	to be determined	78/008994		Application filed 5/19/2000
Signatureone	9	75/708,790		Pending; application filed May 18, 1999
Signatureone	42	75/708,537		Pending; application filed May 18, 1999
CHEQUEMATCH	9	75/030,621		Pending - status not verified



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(Rev. 03/01)

OMB No. 0651-0027

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U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

CIC Acquisition Corp.

275 Shoreline Drive

Redwood Shores, CA 94065-1413

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State
☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Other

Execution Date: June 19, 2001

2. Name and address of receiving party(ies)

Name: The Philip S. Sassower 1996

Internal Charitable Remainder Annuity Trust

Address:

Street Address: 135 East 57th Street

City: N.Y. State: N.Y. Zip: 10022

- ☐ Individual(s) citizenship
☐ Association
☐ General Partnership
☐ Limited Partnership
☐ Corporation-State
☒ Other Trust

If assignee is not domiciled in the United States, a domestic

representative designation is attached: ☐ Yes ☐ No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

See attached.

B. Trademark Registration No.(s)

See attached.

Additional number(s) attached ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David Monassebian

Internal Address:

Baer Marks & Upham LLP

Street Address: 805 Third Avenue

20th Floor

City: New York State: NY Zip: 10021

6. Total number of applications and registrations involved:

8

7. Total fee (37 CFR 3.41): \$ 215

- ☒ Enclosed
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PATENT

REEL: 021040 FRAME: 0824



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CIC Acquisition Corp., as conveying party
The Philip S. Sassower 1996 Charitable Remainder Annuity Trust, as receiving party

4. TRADEMARKS AND APPLICATIONS

Mark	Class	App. No.	Reg. No.	Status
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PENOP	9		1798571	Registered
Triangle Logo	9 & 16		2315145	Registered
CEREMONY	9 & 16	75/470,328		Application filed 1998/04/20
READY TO SIGN	to be determined	78/007746		Application filed 5/11/2000
DIGITAL EVIDENCE	to be determined	78/008994		Application filed 5/19/2000
Signatureone	9 & 42	75/708,790 & 75/708,537		Pending; application filed May 18, 1999
CHEQUEMATCH	9	75/030,621		Pending - status not verified

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OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Communication Intelligence Corporation
275 Shoreline Drive
Redwood Shores, CA 94065-1413

2. Name and address of receiving party(ies)
Name: The Philip S. Sassower 1996
Charitable Remainder Annuity Trust
Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 135 East 57th Street

City: New York State: NY Zip: 10022

Execution Date: June 19, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

See attached.

See attached.

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David Monassebian

Internal Address: _____

Baer Marks & Upham LLP

Street Address: 805 Third Ave.

20th Floor

City: New York State: NY Zip: 10021

6. Total number of applications and patents involved: 6

7. Total fee (37 CFR 3.41).....\$ 240

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Marjorie Bailey, CFO
Name of Person Signing

Marjorie Bailey
Signature

6/20/01
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Communication Intelligence Corporation, as conveying party
The Philip S. Sassower 1996 Charitable Remainder Annuity Trust, as receiving party

4. PATENTS AND APPLICATIONS

<u>Patent</u>	<u>Inventor(s)</u>	<u>Application Issue No.</u>	<u>Application Issue Date</u>
Method for Dynamic Reconstruction of Handwritten Data	John S. Ostrem Norman A. Austin Hewitt D. Crane	5,933,514	08/03/1999
Keyless Flat Panel Portable Computer -- Computer Aided Notebook	James Dao David C. Foyt Jeffrey J. Dao Kenneth R. Allen	5,049,862	09/17/1991
Method for Distinguishing Between Complex Character Sets	Hewitt D. Crane John S. Ostrem Peter K. Edberg	4,531,231	07/23/1985
Confusion Grouping of Strokes in Pattern Recognition Method and System	Hewitt D. Crane John S. Ostrem	4,573,196	02/25/1986
Process and Apparatus Involving Pattern Recognition	Hewitt D. Crane John S. Ostrem	4,718,102	01/05/1988
Complex Pattern Recognition Method and System	Hewitt D. Crane John S. Ostrem	4,561,105	12/24/1985

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1. Name of conveying party(ies):
CIC Acquisition Corp.
275 Shoreline Drive
Redwood Shores, CA 94065-1413

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 19, 2001

2. Name and address of receiving party(ies)

Name: The Philip S. Sassower 1996
Charitable Remainder Annuity Trust
Internal Address: _____

Street Address: 135 East 57th Street

City: New York State: NY Zip: 10022

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

See attached.

B. Patent No.(s)

See attached.

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David Monassebian

Internal Address: _____

Baer Marks & Upham LLP

Street Address: 805 Third Ave.

20th Floor

City: New York State: NY Zip: 10021

6. Total number of applications and patents involved: 7

7. Total fee (37 CFR 3.41) \$ 280

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Marjorie Bailey, CFO
Name of Person Signing

Marjorie Bailey
Signature

6/20/01
Date

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CIC Acquisition Corp., as conveying party
The Philip S. Sassower 1996 Charitable Remainder Annuity Trust, as receiving party

4. PATENTS AND APPLICATIONS

<u>APPLICATION SERIAL NO.</u>	<u>U.S. PATENT NO.</u>	<u>FILING DATE</u>	<u>ISSUE DATE</u>	<u>TITLE</u>	<u>STATUS</u>
08/298,991	5,544,255	August 31, 1994	August 6, 1996	Method and System for the Capture, Storage, Transport and Authentication of Handwritten Signatures	Granted
08/644,084	5,647,017	May 9, 1996	July 8, 1997	Method and System for the Verification of Handwritten Signatures	Granted
08/859,626	5,818,955	May 20, 1997	October 6, 1998	Document and Signature Verification System and Method	Granted
09/024,835	6,091,835	February 17, 1998	July 18, 2000	Method and System for Transcribing Electronic Affirmations	Granted
09/112,224	6,064,751	July 8, 1998	May 16, 2000	Document and Signature Data Capture System and Method	Granted
09/477,462	-	January 4, 2000	-	Method and System for the Capture, Storage, Transport and Authentication of Handwritten Signatures	Pending
09/505,495	-	February 17, 2000	-	Method and System for Transcribing Electronic Affirmations	Pending

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1. Name of conveying party(ies):
Communication Intelligence Corporation

**275 Shoreline Drive
Redwood Shores, CA 94065-1413**

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State
☐ Other _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 19, 2001

2. Name and address of receiving party(ies)

Name: **The Philip S. Sassower 1996**

Internal **Charitable Remainder Annuity Trust**

Address: _____

Street Address: **135 East 57th Street**

City: **N.Y.** State: **N.Y.** Zip: **10022**

☐ Individual(s) citizenship _____

☐ Association _____

☐ General Partnership _____

☐ Limited Partnership _____

☐ Corporation-State _____

☒ Other **Trust**

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from assignment)
Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

See attached.

B. Trademark Registration No.(s)

See attached.

Additional number(s) attached ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **David Monassebian**

Internal Address: _____

Baer Marks & Upham LLP

Street Address: **805 Third Avenue**

20th Floor

City: **New York** State: **N.Y.** Zip: **10021**

6. Total number of applications and registrations involved: **23**

7. Total fee (37 CFR 3.41).....\$ **590**

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Marjorie Bailey, CFO

Name of Person Signing

Marjorie Bailey

Signature

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Communication Intelligence Corporation, as conveying party
The Philip S. Sassower 1996 Charitable Remainder Annuity Trust, as receiving party

4. TRADEMARKS AND APPLICATIONS

TRADEMARK	CLASS(ES)	APPL. NO FILING DATE	REG NO. ISSUE DATE
CIC DESIGN	009;	456805 12/12/83	1306886 11/27/84
HAND-WRITER	009;		1251563 09/20/83
HANDWRITER	009;	455588 12/05/83	1308756 12/11/84
INKSENTRY	009;	75/114460 06/05/96	2073729 06/24/97
INKSHRINK	009;	607828 12/07/94	1931730 10/31/95
INKSNAP	009;	76/227340 03/20/01	
INKTOOLS	009;	75/114459 06/05/96	2152968 04/21/98
ISIGN	009;	75/923089 02/18/00	
JOT	009;	75/157916 08/29/96	2219350 01/19/99
MACHANDWRITER	009;	74/136449 02/05/91	1721067 09/29/92
PENDOS	009;	75/267373 04/01/97	2149333 04/07/98
PENMAC	009;	606623 12/05/94	1930050 10/24/95
PROFICIENT	009;	682379 09/03/87	1486811 05/03/88
QUICKNOTES	009;	75/206691 12/02/96	
RECOECHO	009;	76/227341 03/20/01	
SIGCHECK	009;	75/114457 06/05/96	
SIGN-IT	009;	75/122341 06/19/96	2319643 02/15/00
SIGN-ON	009;	75/778488 08/16/99	
SIGVIEW	009;	75/114458 06/05/96	2152967 04/21/98
THE CREATIVITY TOOL	009;	440887 09/27/93	1951744 01/23/96

TRADEMARK	CLASS(ES)	APPL. NO FILING DATE	REG NO. ISSUE DATE
THE POWER TO SIGN ONLINE	009;	76/181986 12/14/00	
WORDCOMPLETE	009;	75/777613 08/13/99	2422343 01/16/01
YPAD	009;	75/178202 05/29/96	2065304 05/27/97

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275 Shoreline Drive
Redwood Shores, CA 94065-1413

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State
☐ Other _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

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☐ Other _____

Execution Date: June 19, 2001

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Address: _____Street Address: 135 East 57th StreetCity: N.Y. State: N.Y. Zip: 10022

- ☐ Individual(s) citizenship _____
☐ Association _____
☐ General Partnership _____
☐ Limited Partnership _____
☐ Corporation-State _____
☒ Other Trust

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No
 (Designations must be a separate document from assignment)
 Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)

See attached.

B. Trademark Registration No.(s)

See attached.

Additional number(s) attached ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David Monassebian

Internal Address: _____

Baer Marks & Upham LLPStreet Address: 805 Third Avenue
20th FloorCity: New York State: NY Zip: 100216. Total number of applications and registrations involved: 87. Total fee (37 CFR 3.41).....\$ 215

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PATENT

RECORDED: 06/04/2008

REEL: 021040 FRAME: 0833