

06-03-2008

Form PTO-1595
(Rev. 03/01)U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

103506204

OMB No. 0651-0027 (exp. 5/31/2002)
Tab settings =>=>=>

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ROTHMAN, John
SHAHABI, VafaAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Advaxis

Internal Address:

The Technology Center of New Jersey

Street Address: 675 U.S. Route 1, Suite 117

City: North Brunswick State: NJ Zip: 08902

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other Correction of Assignment

Execution Date: May 20, 2008, May 20, 2008

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
11/798,177

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Pearl Cohen Zedek Latzer, LLP

Internal Address:

Street Address: 1500 Broadway
12th Floor

City: New York State: NY Zip: 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50-3355

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached documents are true copies of the original document.

Mark S. Cohen
Name of Person Signing

Signature

May 29, 2008
Date

Total number of pages including cover sheet, attachments, and documents: 1

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): PATERSON, Yvonne et al. Examiner: Not yet known
Serial No.: 11/798,177 Group Art Unit:
Filed: May 10, 2007
Title: COMPOSITIONS AND METHODS COMPRISING KLK3 OR FOLH1
ANTIGEN

**DECLARATION REGARDING ASSIGNMENT FOR UNITED STATES PATENT
APPLICATION SERIAL NUMBER 11/715,497**

Mail Stop Assignment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

On June 12, 2007, John Rothman and June 15, 2007, Vafa Shahabi assigned the rights to the title of United States Patent U.S. Serial No. 11/798,177, filed May 10, 2007, entitled COMPOSITIONS AND METHODS COMPRISING KLK3 OR FOLH1 ANTIGEN (the "Patent Application") to The Trustees of the University of Pennsylvania located at 3160 Chestnut Street- Suite 200, Philadelphia, PA 19104-6283, USA. Attached hereto as Appendix A is a copy of the Assignment, which was recorded at the United States Patent and Trademark Office on July 16, 2007, at Reel 019558, Frame 0473 (the "Original Assignment").

The original assignment was made in error and due to mistake in that John Rothman and Vafa Shahabi should have assigned the rights to the Patent Application to Advaxis, located at The Technology Center of New Jersey, 675 U.S. Route 1, Suite 117, North Brunswick, NJ 08902 USA.

The undersigned hereby declare that the Original Assignment is null, void and of no effect, and is replaced by the Assignment attached hereto as Appendix B (the "Assignment") from the named inventors of the Patent Application.

The undersigned hereby declare that prior to giving effect to the Assignment, the full right to convey the Patent Application as herein expressed by the undersigned named inventor is possessed by them and that no other transfer, conveyance, sale and/or assignment of the Patent Application has been made to others.

Sharon Saranitz
Sharon Saranitz
Witness: John Rothman Signature
JOHN ROTHMAN Name
27 BISSELL RD Address ELIZABETH NJ 07208
675 Route 1 Address
North Brunswick Address
NT 08902 Address
5/20/08 Date
May 20, 2008 Date

Inventor's Signature
John Rothman
ROTHMAN, JOHN

Witness: Sharon Saranitz Signature
Sharon Saranitz Name
675 Route 1 Address
North Brunswick Address
NT 08902 Address
5/20/08 Date

Inventor's Signature
Vafa Shahabi
SHAHABI, Vafa

ASSIGNMENT

In exchange for valuable consideration, and other good and valuable consideration, the Receipt of which is hereby acknowledged, I the undersigned, PATERSON, Yvonne residing at 514 South 46th Street, Philadelphia, Pennsylvania 19143, USA, ; ROTHMAN, John residing at 77 Bissell Road, Lebanon, NJ 08833; SHAHABI, Vafa residing at , 200 Jug Hollow Rd., PO Box 21

Hereby sell, assign and transfer to THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA, located at 3160 Chestnut Street, Suite 200, Philadelphia, PA 19104-6283 its successors, assigns and legal representatives (hereinafter, the "Assignee"), the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and as possessed by the undersigned, any and all inventions which are disclosed but not claimed in the application for United States Patent U.S. Serial No. 11/798,177 filed May 10, 2007 and is entitled

COMPOSITIONS AND METHODS COMPRISING KLK3 OR FOLH1 ANTIGEN

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions. I hereby authorize and request the attorney of record of the firm Pearl Cohen Zedek Latzer, LLP, 1500 Broadway, 12th Floor, New York, New York 10036, to insert in the parentheses above, the filing date and US Serial No. of said application when known;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the under-signed or the undersigned's executors or administrators will, for the United

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States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

COVENANT with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

COVENANT with said Assignee, its successors, assigns and legal representatives that A written release or promise hereafter made and signed by the person releasing or promising, shall not be invalid or unenforceable for lack of consideration, if the writing also contains an additional express statement, in any form of language, that the signer intends to be legally bound.

June 26, 2007
(month / day / year)

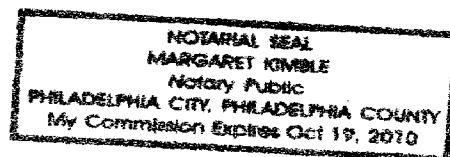
[Signature]
PATERSON, Yvonne

STATE OF: Pennsylvania
COUNTY OF: Philadelphia ss.

Before me, a notary public in and for the State and County aforesaid, on this 26 day of June, 2007, personally appeared PATERSON, Yvonne, who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

[Signature]
Notary Public

• My Commission Expires:



June 12, 2007

(month / day / year)

John Rothman
ROTHMAN, JohnSTATE OF: New Jersey : ss.COUNTY OF: Hudson

Before me, a notary public in and for the State and County aforesaid, on this 12th day of June, 2007, personally appeared ROTHMAN, John, who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Patricia A. Honan
Notary Public

My Commission Expires:

PATRICIA A. HONAN
NOTARY PUBLIC
STATE OF NEW JERSEY
MY COMMISSION EXPIRES MAY 6, 2008

06/15/2007
(month / day / year)Vafa Shahabi
SHAHABI, VafaSTATE OF: New Jersey : ss.COUNTY OF: Middlesex

Before me, a notary public in and for the State and County aforesaid, on this 15 day of June, 2007, personally appeared SHAHABI, Vafa, who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Rosa A. Szeliga
Notary Public

My Commission Expires:

ROSA A. SZELIGA
NOTARY PUBLIC
STATE OF NEW JERSEY
MY COMMISSION EXPIRES JULY 8, 2007

ASSIGNMENT

In exchange for valuable consideration, and other good and valuable consideration, the Receipt of which is hereby acknowledged, I the undersigned, ROTHMAN, John residing at 77 Bissell Road, Lebanon, NJ 08833; SHAHABI, Vafa residing at , 200 Jug Hollow Rd., PO Box 21.

Hereby sell, assign and transfer to Advaxis, located at The Technology Center of New Jersey 675 U.S. Route 1, Suite 117, North Brunswick, NJ 08902, its successors, assigns and legal representatives (hereinafter, the "Assignee"), the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and as possessed by the undersigned, any and all inventions which are disclosed but not claimed in the application for United States Patent U.S. Serial No. 11/798,177 filed May 10, 2007 and is entitled

COMPOSITIONS AND METHODS COMPRISING KLK3 OR FOLH1 ANTIGEN

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions. I hereby authorize and request the attorney of record of the firm Pearl Cohen Zedek Latzer, LLP, 1500 Broadway, 12th Floor, New York, New York 10036, to insert in the parentheses above, the filing date and US Serial No. of said application when known;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the under-signed or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and

all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

COVENANT with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

COVENANT with said Assignee, its successors, assigns and legal representatives that A written release or promise hereafter made and signed by the person releasing or promising, shall not be invalid or unenforceable for lack of consideration, if the writing also contains an additional express statement, in any form of language, that the signer intends to be legally bound.

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(month / day / year)

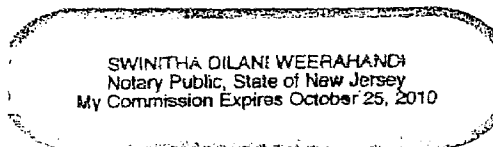
John Rothman
ROTHMAN, John

STATE OF: New Jersey :
COUNTY OF: Hunterdon : ss.

Before me, a notary public in and for the State and County aforesaid, on this 29 day of April, 2008, personally appeared ROTHMAN, John, who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Sueh el-Wei
Notary Public

My Commission Expires:



ASSIGNMENT

In exchange for valuable consideration, and other good and valuable consideration, the Receipt of which is hereby acknowledged, I the undersigned, ROTHMAN, John residing at 77 Bissell Road, Lebanon, NJ 08833; SHAHABI, Vafa residing at , 200 Jug Hollow Rd., PO Box 21.

Hereby sell, assign and transfer to Advaxis, located at The Technology Center of New Jersey 675 U.S. Route 1, Suite 117, North Brunswick, NJ 08902, its successors, assigns and legal representatives (hereinafter, the "Assignee"), the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and as possessed by the undersigned, any and all inventions which are disclosed but not claimed in the application for United States Patent U.S. Serial No. 11/798,177 filed May 10, 2007 and is entitled

COMPOSITIONS AND METHODS COMPRISING KLK3 OR FOLH1 ANTIGEN

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions. I hereby authorize and request the attorney of record of the firm Pearl Cohen Zedek Latzer, LLP, 1500 Broadway, 12th Floor, New York, New York 10036, to insert in the parentheses above, the filing date and US Serial No. of said application when known;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the under-signed or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and

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Advaxis, Inc. Apr 08 2008 4:58PM

April / 8th / 2008
(month / day / year)

Vafa Shahabi
SHAHABI, Vafa

STATE OF: New Jersey :
: ss.
COUNTY OF: Middlesex :

Before me, a notary public in and for the State and County aforesaid, on this 8th day of April, 2008, personally appeared SHAHABI, Vafa, who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

Rosa A. Szeliga
Notary Public

My Commission Expires:

ROSA A. SZELIGA
NOTARY PUBLIC
STATE OF NEW JERSEY
MY COMMISSION EXPIRES JULY 8, 2012

ASSIGNMENT

In exchange for valuable consideration, and other good and valuable consideration, the Receipt of which is hereby acknowledged, I the undersigned, PATERSON, Yvonne residing at 514 South 46th Street, Philadelphia, Pennsylvania 19143, USA.

Hereby sell, assign and transfer to THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA, located at 3160 Chestnut Street, Suite 200, Philadelphia, PA 19104-6283 its successors, assigns and legal representatives (hereinafter, the "Assignee"), the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and as possessed by the undersigned, any and all inventions which are disclosed but not claimed in the application for United States Patent U.S. Serial No. 11/798,177 filed May 10, 2007 and is entitled

COMPOSITIONS AND METHODS COMPRISING KLK3 OR FOLH1 ANTIGEN

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions. I hereby authorize and request the attorney of record of the firm Pearl Cohen Zedek Latzer, LLP, 1500 Broadway, 12th Floor, New York, New York 10036, to insert in the parentheses above, the filing date and US Serial No. of said application when known;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

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- 1 -

all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

COVENANT with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

COVENANT with said Assignee, its successors, assigns and legal representatives that A written release or promise hereafter made and signed by the person releasing or promising, shall not be invalid or unenforceable for lack of consideration, if the writing also contains an additional express statement, in any form of language, that the signer intends to be legally bound

04/07/08
(month / day / year)

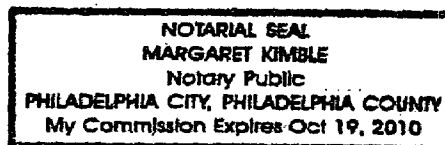
[Signature]
PATERSON, Yvonne

STATE OF: Pennsylvania :
COUNTY OF: Philadelphia : ss.

Before me, a notary public in and for the State and County aforesaid, on this 7th day of April, 2007, personally appeared PATERSON, Yvonne, who being to me personally known, and who having first executed the foregoing instrument in my presence and having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be legally bound thereby and intending that said instrument be recorded.

[Signature]
Notary Public

My Commission Expires:



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