

FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)

RECORDATION FORM COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Attorney Docket No. 110.0003 0101

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

BAYLOR COLLEGE OF MEDICINE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ License
☐ Other _____

Execution Date: January 27, 1994

2. Name and address of receiving party(ies):

Name: **REGENTS OF THE UNIVERSITY OF MINNESOTA**

Street Address: **Morrill Hall
100 Church Street S.E.**

City: MINNEAPOLIS State: MN ZIP: 55455

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s).

B. Patent No(s): 5,741,645

Title: **GENE SEQUENCE FOR SPINOCEREBELLAR ATAXIA TYPE 1 AND METHOD FOR DIAGNOSIS**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DAVID L. PROVENCE

Address: **MUETING, RAASCH & GEBHARDT, P.A.
P.O. Box 581415
Minneapolis, MN 55458-1415**

Customer Number 26813

6. Total number of applications and patents involved: 17. Total fee (37 CFR §3.41): \$40.

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to Deposit account number: 13-4895

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is an original or a true copy of the original document.

David L. Provence (Reg. No. 43,022)

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document(s): 3

Mail documents to be recorded with required cover sheet information to:

**Mail Stop Assignment Recordation Services
Director, United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450**

700374455

**PATENT
REEL: 021076 FRAME: 0919**

CH \$40.00 134895 5741645

ASSIGNMENT

WHEREAS, Baylor College of Medicine, a non-profit organization organized and existing under and by virtue of the laws of the State of Texas, and having an office and place of business at 1 Baylor Plaza, Houston, Texas 77030, (hereinafter "Assignor") holds certain rights, title, and interest in an application for Letters Patent of the United States which has been assigned Serial No. 08/084,365, was filed on June 29, 1993, and which is entitled GENE SEQUENCE FOR SPINOCEREBELLAR ATAXIA TYPE 1 AND METHOD FOR DIAGNOSIS.

AND WHEREAS, Regents of the University of Minnesota, a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at Morrill Hall, 100 Church Street, S.E., Minneapolis, MN 55455 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, said Assignor has sold, assigned, and transferred, and by these presents does sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and said Assignor does hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, said Assignor does hereby agree that it will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore said Assignor covenants and agrees with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by said Assignor and that full right to convey the same as herein expressed is possessed by it.

IN WITNESS WHEREOF, Assignor has executed this Assignment this 27th day of January, 1994.

Baylor College of Medicine ("Assignor")

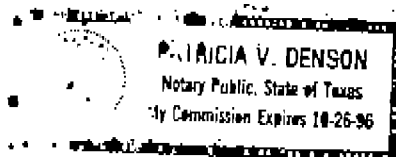
By: C. R. Richardson

Its: C. R. Richardson
President
Finance & Administration

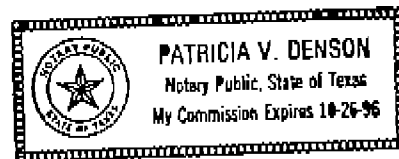
STATE OF Texas)
) ss.
COUNTY OF Harris)

On this 27th day of January, 1994, before me, a Notary Public, within and for the county aforesaid, personally appeared C. R. Richardson who did say that he/she is Vice President of Baylor College of Medicine ("Assignor") and that he/she executed said instrument on behalf of the organization and with full authority to do so.

[SEAL]



Patricia V. Denson
Notary Public



PATENT