

06-23-2008

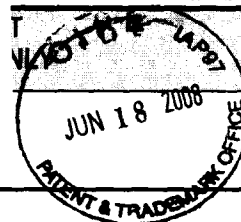


TO THE COMMISSIONER OF THE U.S.

Sir:

103509789

Please record the attached original documents or copy thereof as follows:



1. NAME OF CONVEYING PARTIES [ASSIGNOR(S)]:

a. NeuTec Pharma PLC

b.

c.

d.

e.

f.

g.

h.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTIES [ASSIGNEE(S)] RECEIVING INTEREST:

NAME: NeuTec Pharma Limited

ADDRESS: 200 Frimley Business Park, Frimley, Camberley, Surrey GU16 7SR United Kingdom

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE/DOCUMENT:

- ☐ ASSIGNMENT OF ☒ WHOLE or ☐ PART INTEREST
☐ ORIGINAL ☒ FACSIMILE/PHOTOCOPY
☒ CHANGE OF NAME ☐ VERIFIED TRANSLATION
☐ SECURITY ☐ MERGER ☐ OTHER:

Execution Date(s) on the Conveyance/Document: 28 January 2008

Execution Date(s) on the Declaration (if filed herewith):

4. APPLICATION AND/OR PATENT NUMBER(S):

Additional sheets attached? ☒ YES ☐ NO

a. Application Number(s)	Matter No.	First Inventor	b. Patent Number(s)	Matter No.	First Inventor
(see attached page)			(see attached page)		

5. NAME & ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED:

Customer No. 20583
 Jones Day
 Intellectual Property Group
 222 East 41st Street
 New York, NY 10017-6702

6. NUMBER OF PROPERTIES INVOLVED:

Applns 6 + Patents 13 = Total: 19

7. AMOUNT OF FEE DUE:

Above Total 19 x \$40 = \$ 760 (Fee Code 8021)

8. PLEASE CHARGE TO:

DEPOSIT ACCOUNT NO. 503-013

Under Order No. 692067-600001

Matter No.

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. TOTAL NUMBER OF PAGES (including cover sheet, attachments and documents): 3

Signature

Name of Attorney: Ted J. Ebersole
 Registration No. 51,641

Date: June 18, 2008
 Telephone: (202) 879-3939 / Facsimile: (202) 626-1700

06/20/2008 DRYNE 00000004 503013 10240019
 01 FC:8021 760.00 DA

FILE WITH PTO RETURN RECEIPT (PAT-103A)

Change of Name to NeuTec Pharma Limited**List of Application/Patent Numbers:**

Application No.	Patent No.	Title	Attorney Reference
10/240,819		Treatment of fungal infections with polyene or beta glucan synthase inhibitor anti-fungals combined with anti hsp90 antibodies	011795-0001-999
10/634,914	7,132,512	Medicament for the Treatment of Chlamydial Infection	011795-0002-999
09/091,001	6,039,959	Treatment and Diagnosis of Infections Due to Helicobacter Pylori	011795-0003-999
10/054,968	6,881,410	Treatment of E. Faecium Infection	011795-0004-999
10/110,136	7,037,495	Antimicrobial Compositions	011795-0005-999
10/157,240		Epitopes of Shigella-Like Toxin and Their Use as Vaccine and in Diagnosis	011795-0006-999
08/687,956	5,861,157	Diagnosis and Treatment of Infections Due to Streptococci and Enterococci	011795-0007-999
08/672,514	5,686,248	Fungal Stress Proteins	011795-0008-999
08/387,790	5,777,083	Stress Protein Epitopes	011795-0009-999
08/409,901	6,040,148	Corynebacterial Stress Proteins	011795-0010-999
08/878,844	5,985,277	Antibodies To Bacterial Stress Proteins	011795-0011-999
07/663,897	5,288,639	Fungal Stress Proteins	011795-0012-999
09/889,314		Medicament	011795-0013-999
09/214,307	6,544,516	Treatment And Diagnosis Of Infections Of Gram Positive Cocci	011795-0014-999
09/672,494	6,627,730	Staphylococcal ABC Transporter Protein	011795-0015-999
09/463,129	6,410,024	Epitopes Of Shigella Like Toxin And Their Use As Vaccine And In Diagnosis	011795-0016-999
11/984,177		Treatment of fungal infections with polyene or beta glucan synthase inhibitor anti-fungals combined with anti hsp90 antibodies	011795-0017-999
12/076,643		Treatment of fungal infections with polyene or beta glucan synthase inhibitor anti-fungals combined with anti hsp90 antibodies	011795-0018-999
12/076,705		Treatment of fungal infections with polyene or beta glucan synthase inhibitor anti-fungals combined with anti hsp90 antibodies	011795-0019-999



THE COMPANIES ACT 1985

Company No. 3297187

The Registrar of Companies for England and Wales hereby certifies that

NEUTEC PHARMA LIMITED (originally called HALLCO 125 PLC which name was changed by special resolution on 26th February 1997 to NEUTEC PHARMA PLC) was incorporated under the Companies Act 1985 as a public company on 30th December 1996 and re-registered under the Companies Act 1985 as a private company on 13th October 2006 as NEUTEC PHARMA LIMITED.

The Registrar further certifies that according to the documents on the file of the company, the situation of the registered office is 200 FRIMLEY BUSINESS PARK, FRIMLEY, CAMBERLEY, SURREY GU16 7SR.

The registered office was originally notified as being situated at ST JAMES'S COURT, BROWN STREET, MANCHESTER M2 2JF which was changed to 2ND FLOOR, CLINICAL SCIENCES BUILDING, MANCHESTER ROYAL INFIRMARY, OXFORD ROAD, MANCHESTER M13 9WL which was changed to 2ND FLOOR, CLINICAL SCIENCES BUILDING, CENTRAL MANCHESTER AND MANCHESTER CHILDREN'S HOSPITAL NHS TRUST, OXFORD ROAD, MANCHESTER M13 9WL.*****

Given at Companies House, Cardiff the **28th January 2008**

K Davies.

K DAVIES

for the Registrar of Companies



Companies House
— for the record —