

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the the fourth Assignor listed on the Notice of Recordation of Assignment Document previously recorded on Reel 014561 Frame 0961. Assignor(s) hereby confirms the fourth Assignor is Alex Gordon-Brander, not John Lopez.

CONVEYING PARTY DATA

Name	Execution Date
Barry Goldenberg	06/23/2003
Christopher Tuohy	09/26/2003
John Lopez	09/26/2003
Alex Gordon-Brander	09/26/2003

RECEIVING PARTY DATA

Name:	Market Axess, Inc.
Street Address:	140 Broadway, 42nd Floor
City:	New York
State/Country:	NEW YORK
Postal Code:	10005

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10672379

CORRESPONDENCE DATA

Fax Number: (212)230-8888
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.
 Phone: 2122308800
 Email: michael.mathewson@wilmerhale.com
 Correspondent Name: Irah H. Donner
 Address Line 1: 399 Park Avenue
 Address Line 2: Wilmer Cutler Pickering Hale and Dorr
 Address Line 4: New York, NEW YORK 10022

ATTORNEY DOCKET NUMBER: 1497210.125 US3

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NAME OF SUBMITTER:

Irah H. Donner

Total Attachments: 5

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ER SHEET

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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Barry Goldenberg, Christopher Tuohy, John Lopez, John Lopez

2. Name and address of receiving party(ies):

Name: Market Axxess, Inc
Address: 140 Broadway, 42nd Floor

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

City: New York Country: USA ZIP: 10005

Additional name(s) & address(es) attached? Yes No

Execution Dates: September 26, 2003

4. Application number(s) or patent number(s)

101692379

If this document is being filed together with a new application, the execution date of the application is: September 26, 2003

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

BROWN RAYSMAN MILLSTEIN FELDER & STEINER LLP
900 Third Avenue
New York, New York 10022
(212) 895-2000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$

- Check enclosed
- Authorized to be charged to deposit account

8. Deposit account number: 02-4270
(Attach duplicate copy of this page by deposit account)

Please charge any additional fees required, or credit any overpayment, to the above deposit account

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing Robert Bauer, Reg. No. 34,487

Signature

Date: September 26, 2003

Total number of pages including cover sheet, attachments and document: 5

Mail documents to be recorded with required cover sheet information to:

Mail Stop: Assignment Recordation Services

Commissioner for Patents
Alexandria, VA 22313-1450

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Docket No. 4652/14

ASSIGNMENT

WHEREAS, we, Barry Goldenberg, a citizen of USA residing at 40 Saldo Circle New Rochelle, NY; and Christopher Tuohy, a citizen of USA, residing at 325 Woodbury Rd. Cold Spring Harbor, NY; and John Lopez, a citizen of USA, residing at 19 Rozmus Ct. Allendale NJ 07401 and Alex Gordon-Brander, a citizen of USA, residing at 689 Degraw St. #1 Brooklyn NY 11217 have invented one or more inventions (hereinafter referred to as "said invention(s)") disclosed in an application for Letters Patent of the United States titled "ELECTRONIC INQUIRY LISTS FOR FINANCIAL PRODUCTS" (hereinafter referred to as "said application"), said application having been filed on even date herewith;

WHEREAS, Market Axess, Inc. (hereinafter together with its successors and assigns referred to as "the Assignee"), a New York corporation, having a place of business at 140 Broadway, 42nd Floor, New York, NY 10005, is desirous of obtaining all right, title and interest in, to and under said invention(s) and said application; and

NOW, THEREFORE, for good and valuable considerations, the receipt and sufficiency of which we hereby acknowledge, we have sold, assigned, transferred and set over, and by these presents hereby sell, assign, transfer and set over to the Assignee all right, title and interest in, to and under said invention(s) and said application, including the right to apply for any Letters Patent of the United States of America and in any and all foreign countries on said invention(s), and any and all other applications for Letters Patent on said invention(s) in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-in-part and convention applications based in whole or in part upon said invention(s) or upon said application, and any and all Letters Patent which may issue thereon in the United States and foreign countries, and any and all reissues, extensions, renewals, divisions, continuations or continuations-in-part of Letters Patent granted for said invention(s) or upon said applications, to the full term or terms for which said Letters Patent may be issued, and every priority right that is or may be predicated upon or arise from said invention(s), said application and said Letters Patent, the same to be held and enjoyed by the Assignee for its own use and benefit fully and entirely as if the same would have been held and enjoyed by us had this Assignment not been made. We hereby authorize the Assignee to file patent applications in any and all countries on any or all of said invention(s) in our names, or in its name, or otherwise as the Assignee may deem advisable, under the International Convention or otherwise.

We hereby authorize the Commissioner of Patents and Trademarks of the United States, and any official of any other country empowered to issue patents, to record this Assignment, and to issue or transfer all said Letters Patent on said invention(s) to the Assignee as owner of all right, title and interest therein, or otherwise as the Assignee may direct, in accordance with the terms of this Assignment.

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Docket No. 4652/14

We hereby represent and warrant that we have the full right to convey the entire right and interest herein assigned, that there are no rights or interests outstanding inconsistent with the rights and interests granted herein, and that we will not execute any instrument or grant or transfer any rights or interests inconsistent with the rights and interests granted herein. We hereby covenant and agree that we will, upon request of the Assignee, communicate to the Assignee any facts known to us relating to said invention(s) and the history thereof, testify in any legal proceeding, execute all lawful papers including without limitation all divisional, continuing and reissue applications and all rightful oaths and declarations, and generally do all further acts which may be deemed necessary by the Assignee to obtain and enforce proper patent protection for said invention(s) in all countries.

IN TESTIMONY WHEREOF, we have executed this document on the date indicated below.

Date: 9/26/2003

Barry N Goldenberg
(Signature)
Printed Name: Barry Goldenberg

Date: 9/26/03

Christopher Tuohy
(Signature)
Printed Name: CHRISTOPHER TUOHY

Date: 9/26/2003

John A Lopez
(Signature)
Printed Name: JOHN A. LOPEZ

Date: 9/26/2003

Alex Gordon-Brander
(Signature)
Printed Name: ALEX GORDON-BRANDER

Docket No. 4652/14

STATE OF New York)
COUNTY OF New York) ss.:

On this 26th day of September, 2003, before me came MARRY GOLDENBERT, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he/she has acknowledged to me that he/she has executed the same.

Doreen A. D'astoli
Notary Public

DOREEN A. D'ASTOLI
Notary Public, State of New York
No. 01DA6060500
Qualified in Kings County
Commission Expires June 25, 2007

STATE OF New York)
COUNTY OF New York) ss.:

On this 26th day of September, 2003, before me came CHRISTOPHER TUGHY, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he/she has acknowledged to me that he/she has executed the same.

Doreen A. D'astoli
Notary Public

DOREEN A. D'ASTOLI
Notary Public, State of New York
No. 01DA6060500
Qualified in Kings County
Commission Expires June 25, 2007

STATE OF New York)
COUNTY OF New York) ss.:

On this 26th day of September, 2003, before me came JOHN A. LOPEZ, to me known and known to me to be the individual described in, and who executed the foregoing instrument, and he/she has acknowledged to me that he/she has executed the same.

Doreen A. D'astoli
Notary Public

DOREEN A. D'ASTOLI
Notary Public, State of New York
No. 01DA6060500
Qualified in Kings County
Commission Expires June 25, 2007

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Docket No. 4652/14

STATE OF New York)
COUNTY OF New York) ss.:

On this 26th day of September, 2003, before me came ALEX GORDON-BRANDER,
to me known and known to me to be the individual described in, and who executed the foregoing
instrument, and he/she has acknowledged to me that he/she has executed the same.

Doreen A. Dastoli
Notary Public

DOREEN A. DASTOLI
Notary Public, State of New York
No. 01DA6060500
Qualified in Kings County
Commission Expires June 25, 2007