

PATENT ASSIGNMENT

Electronic Version v1.1

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SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Wenyuan Shi</td><td>06/14/2008</td></tr><tr><td>Fengxia Qi</td><td>06/13/2008</td></tr></tbody></table>	Name	Execution Date	Wenyuan Shi	06/14/2008	Fengxia Qi	06/13/2008							
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RECEIVING PARTY DATA													
<table border="1"><tr><td>Name:</td><td>The Regents of the University of California</td></tr><tr><td>Street Address:</td><td>1111 Franklin Street</td></tr><tr><td>Internal Address:</td><td>5th Floor</td></tr><tr><td>City:</td><td>Oakland</td></tr><tr><td>State/Country:</td><td>CALIFORNIA</td></tr><tr><td>Postal Code:</td><td>94607-5200</td></tr></table>	Name:	The Regents of the University of California	Street Address:	1111 Franklin Street	Internal Address:	5th Floor	City:	Oakland	State/Country:	CALIFORNIA	Postal Code:	94607-5200	
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PROPERTY NUMBERS Total: 1													
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>11561275</td></tr></tbody></table>	Property Type	Number	Application Number:	11561275									
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Application Number:	11561275												
CORRESPONDENCE DATA													
Fax Number: (310)785-4601 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>													
Email: mcclure@hhlaw.com													
Correspondent Name: Lawrence J. McClure, Ph.D.													
Address Line 1: Hogan & Hartson L.L.P.													
Address Line 2: 1999 Avenue of the Stars, Suite 1400													
Address Line 4: Los Angeles, CALIFORNIA 90067													
ATTORNEY DOCKET NUMBER:	89188.0167												
NAME OF SUBMITTER:	Lawrence J. McClure												
Total Attachments: 3 source=89188_0167_REC_FORM_COVER_SHEET_ASSIGNMENT#page1.tif source=89188_0167_REC_FORM_COVER_SHEET_ASSIGNMENT#page2.tif													

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PATENT

REEL: 021152 FRAME: 0031

500577167

RECORDATION FORM COVER LETTER
PATENTS ONLY

Attorney Docket No.: 89188.0167

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Wenyuan Shi
Fengxia Qi

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

The Regents of the University of California
1111 Franklin Street, 5th Floor
Oakland, CA 94607-5200

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 14, 2008, June 13, 2008

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) **11/561,275**

B. Patent No.(s) [PATENT #(s)]

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Lawrence J. McClure, Ph.D.
HOGAN & HARTSON L.L.P.
1999 Avenue of the Stars, Suite 1400
Los Angeles, CA 90067

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

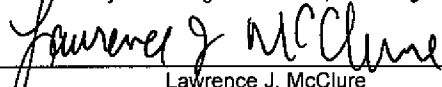
50-1314

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.


Lawrence J. McClure

Date: June 25, 2008

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

ASSIGNMENT

U.C. Case No. 2008-089-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Wenyuan Shi and Fengxia Qi

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

Method of Inhibiting Bacterial Growth and Biofilm Formation with Natural-Quorum Sensing Peptides

and which is found in:

_____ U.S. Provisional application filed herewith and listing the above named persons as inventors

_____ U.S. Patent application filed herewith and listing the above named persons as inventors

X U.S. Patent application serial no. 11/561,275, filed November 17, 2006

_____ U.S. Patent No.: _____, issued _____

_____ International Patent Application filed herewith and listing the above named persons as inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

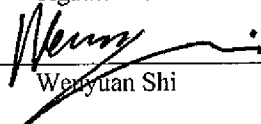
AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

14 day of June, 2008

_____ day of _____, 2008

Signature of Inventor(s)


Wenyuan Shi

Fengxia Qi

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U.C. Case No. 2008-089-1

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and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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executed this

Signature of Inventor(s)

_____ day of _____, 2008

13 day of June, 2008

Wenyuan Shi

Fengxia Qi