

**PATENT ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT														
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT														
<b>CONVEYING PARTY DATA</b>															
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:70%;">Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Robert G. Wagoner</td> <td>06/23/2008</td> </tr> <tr> <td>Allen M. Ritter</td> <td>06/23/2008</td> </tr> <tr> <td>Anthony M. Klodowski</td> <td>06/23/2008</td> </tr> <tr> <td>Scott C. Frame</td> <td>06/23/2008</td> </tr> <tr> <td>Steven W. Sutherland</td> <td>06/23/2008</td> </tr> <tr> <td>Sidney A. Barker</td> <td>06/23/2008</td> </tr> </tbody> </table>		Name	Execution Date	Robert G. Wagoner	06/23/2008	Allen M. Ritter	06/23/2008	Anthony M. Klodowski	06/23/2008	Scott C. Frame	06/23/2008	Steven W. Sutherland	06/23/2008	Sidney A. Barker	06/23/2008
Name	Execution Date														
Robert G. Wagoner	06/23/2008														
Allen M. Ritter	06/23/2008														
Anthony M. Klodowski	06/23/2008														
Scott C. Frame	06/23/2008														
Steven W. Sutherland	06/23/2008														
Sidney A. Barker	06/23/2008														
<b>RECEIVING PARTY DATA</b>															
<b>Name:</b>	General Electric Company														
<b>Street Address:</b>	1 River Road														
<b>City:</b>	Schenectady														
<b>State/Country:</b>	NEW YORK														
<b>Postal Code:</b>	12345														
<b>PROPERTY NUMBERS Total: 1</b>															
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:30%;">Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>12164662</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	12164662										
Property Type	Number														
Application Number:	12164662														
<b>CORRESPONDENCE DATA</b>															
Fax Number:	(518)385-3821														
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>															
Phone:	518-385-2725														
Email:	Edwardj.smith@GE.COM														
Correspondent Name:	GENERAL ELECTRIC COMPANY														
Address Line 1:	ONE RIVER ROAD, BLD. 43, ROOM 225														
Address Line 4:	SCHENECTADY, NEW YORK 12345														
<b>NAME OF SUBMITTER:</b>	Edward J. Smith														
Total Attachments: 7															

CH \$40.00 12164662

**500580688**

**PATENT  
 REEL: 021171 FRAME: 0567**

source=232233-1\_US\_Assignment\_30June2008#page1.tif  
source=232233-1\_US\_Assignment\_30June2008#page2.tif  
source=232233-1\_US\_Assignment\_30June2008#page3.tif  
source=232233-1\_US\_Assignment\_30June2008#page4.tif  
source=232233-1\_US\_Assignment\_30June2008#page5.tif  
source=232233-1\_US\_Assignment\_30June2008#page6.tif  
source=232233-1\_US\_Assignment\_30June2008#page7.tif

**ASSIGNMENT**

In accordance with my/our obligations under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between the General Electric Company, a New York Corporation, and my employer), and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

<u>Robert G. Wagoner</u>	of	<u>Roanoke, VA</u> (City, State or Country)
<u>Allen M. Ritter</u>	of	<u>Roanoke, VA</u> (City, State or Country)
<u>Anthony M. Klodowski</u>	of	<u>Hardy, VA</u> (City, State or Country)
<u>Scott C. Frame</u>	of	<u>Boones Mill, VA</u> (City, State or Country)
<u>Steven W. Sutherland</u>	of	<u>Roanoke, VA</u> (City, State or Country)
<u>Sidney A. Barker</u>	of	<u>Troutville, VA</u> (City, State or Country)

hereby sell and assign to **General Electric Company, a New York Corporation**, (hereinafter referred to as Assignee) having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my (our) entire respective right(s), title(s) and interest(s) in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

**TITLE: OPTIMIZING CONVERTER PROTECTION FOR WIND TURBINE GENERATORS**

executed concurrently herewith,

filed on \_\_\_\_\_ having Serial Number \_\_\_\_\_

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Assignee, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Assignee, I/we will communicate to said Assignee or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Assignee, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries. Remuneration claims of the inventor(s) potentially arising from the German Law on Employee Inventions (LEI) remain unaffected by this assignment

DOCKET NUMBER: 232233

INVENTOR 1

Signature: Robert G. Wagoner  
Robert G. Wagoner

Date: JUNE 23, 2008

Witnessed by: David Smith  
Signature

Date: 6/23/08

DAVID SMITH  
Printed Name of Witness

Witnessed by: Todd Greenleaf  
Signature

Date: 6/23/08

TODD GREENLEAF  
Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

Robert G. Wagoner

Date: \_\_\_\_\_

STATE OF \_\_\_\_\_

ss.

COUNTY OF \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 2007 before me personally came the above-named \_\_\_\_\_, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)



DOCKET NUMBER: 232233

INVENTOR 3

Signature:

Anthony M. Klodowski  
Anthony M. Klodowski

Date:

6/23/2008

Witnessed by:

Samuel W. Brown  
Signature

Date:

6/23/2008

SAMUEL W. BROWN  
Printed Name of Witness

Witnessed by:

Gary W. Stultz  
Signature

Date:

6/23/2008

GARY W. STULTZ  
Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

Date: \_\_\_\_\_

\_\_\_\_\_  
Anthony M. Klodowski

STATE OF \_\_\_\_\_

ss.

COUNTY OF \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 2007 before me personally came the above-named \_\_\_\_\_, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

DOCKET NUMBER: 232233

INVENTOR 4

Signature: Scott C. Frame  
Scott C. Frame

Date: 6/23/08

Witnessed by: Kelley Andrews  
Signature

Date: 6/23/08

Kelley Andrews  
Printed Name of Witness

Witnessed by: Mark S. Sigurdson  
Signature

Date: 6/23/08

MARK S. Sigurdson  
Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

\_\_\_\_\_  
Scott C. Frame

Date: \_\_\_\_\_

STATE OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_ SS.

This \_\_\_\_\_ day of \_\_\_\_\_, 2007 before me personally came the above-named \_\_\_\_\_, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

DOCKET NUMBER: 232233

INVENTOR 5

Signature: Steven W. Sutherland  
Steven W. Sutherland

Date: June 23, 2008

Witnessed by: Samuel W. Brown  
Signature

Date: 6/23/2008

SAMUEL W. BROWN  
Printed Name of Witness

Witnessed by: Gary W. Stultz  
Signature

Date: 6/23/2008

GARY W. STULTZ  
Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

\_\_\_\_\_  
Steven W. Sutherland

Date: \_\_\_\_\_

STATE OF \_\_\_\_\_

ss.

COUNTY OF \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 2007 before me personally came the above-named \_\_\_\_\_, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)



DOCKET NUMBER: 232233

INVENTOR 6

Signature: Sidney A. Barker  
Sidney A. Barker

Date: June 23, 2008

Witnessed by: A. Smith  
Signature

Date: 6/23/08

DAVID SMITH  
Printed Name of Witness

Witnessed by: Paul Greenleaf  
Signature

Date: 6/23/08

Paul Greenleaf  
Printed Name of Witness

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

\_\_\_\_\_  
Sidney A. Barker

Date: \_\_\_\_\_

STATE OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_  
ss.

This \_\_\_\_\_ day of \_\_\_\_\_, 2007 before me personally came the above-named \_\_\_\_\_, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)