

U.S. PTO

12/215392

06/27/2008

REGISTRATION FORM COVER SHEET

07-09-2008

U.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 09107.0015-00

To the Director of the U.S. Patent and Trademark Office
Please record the attached original



103512898

Mail Stop Assignment Recordation Service

1. Name of conveying party(ies):

Dr. Anna C. FRIDRICH

2. Name and address of receiving party(ies):

Name: UBS AG

Additional name(s) of conveying party(ies) attached? Yes No

Internal Address:

3. Nature of conveyance:

Street Address: Bahnhofstrasse 45

Assignment

Merger

City: Zurich

Security Agreement

Change of Name

Country: Switzerland Postal Code: 8001

Joint Research Agreement

Government Interest Assignment

Additional name(s) & Address(es) attached?

Executive Order 9494, Confirmatory License

Other:

Yes No

Execution Date: June 12, 2008

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application: June 12, 2008

A. Patent Application Number(s):

61/064,524 filed March 10, 2008

B. Patent Number(s):

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: C. Gregory Gramenopoulos (202) 408-4263

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 901 New York Avenue, N.W.

City: Washington, D.C.

State: Zip: 20001-4413

6. Total number of applications and patents involved

7. Total fee (37 CFR 1.21(h) and 3.41): \$80

Enclosed (Please charge deficiency or credit overpayment to deposit account 06-0916)

Authorized to be charged to deposit account

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signed:

C. Gregory Gramenopoulos

6/27/2008

Date

Total number of pages including cover sheet, attachments and documents: 2

6/2007

06/30/2008 WASFAM1 00000048 12213392
06 FC:8021 80.00 OP

PATENT
REEL: 021226 FRAME: 0236

U.S. PTO
12/215392
06/27/2008

SOLE/JOINT INVENTION
(Worldwide Rights)
Attorney Docket No. 09107.0015-00
UBS Reference No. P00173

ASSIGNMENT

WHEREAS I/WE, the below named inventor(s) [hereinafter referred to as Assignor(s)], have made an invention entitled:

METHODS AND SYSTEMS FOR GROUP DATA MANAGEMENT AND CLASSIFICATION

for which I/WE executed an application for United States Letters Patent concurrently herewith; and

WHEREAS, UBS AG, a corporation of Switzerland, whose post office address is Bahnhofstrasse 45, 8001 Zurich, Switzerland [hereinafter referred to as Assignee], is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, U.S. Provisional Application No. 61/064,524, filed March 10, 2008, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above-noted provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/WE have hereunto set our hands.

Name: Dr. Anna C. FRIDRICH
Address: Spalenring 89,
4055 Basel, Switzerland

Signature: *Anna Fridrich*

Date: 12.6.08

WITNESSED BY,
Name: Susy Peter
(Printed)

Signature: *Susy Peter*

Date: 12.6.08