



103514239

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

7-8-08

**1. Name of conveying party(ies)**

John M. Spangler  
Jonathan Savage  
Gregory Osborn

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: Caterpillar Inc.

Internal Address: \_\_\_\_\_

Street Address: 100 NE Adams St.

City: Peoria

State: Illinois

Country: U.S. Zip: 61629

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 7-3-08; 7-6-08; 7-7-08

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Michael B. McNeil

Internal Address: \_\_\_\_\_

Street Address: 511 S. Madison Street

P.O. Box 2417

City: Bloomington

State: IN Zip: 47403

Phone Number: (812) 333-5355

Fax Number: (812) 333-3173

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved: 1**

**7. Total fee** (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 500226

Authorized User Name Kelly J. Smith

**9. Signature:**

*Kelly J. Smith*  
Signature

7/08/2008  
Date

Kelly J. Smith

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to 12217648  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

01 JUL 2008

46.00 UP

12217640  
070808

ASSIGNMENT

WHEREAS, John M. Spangler, residing at Peoria, Illinois, hereinafter referred to as ASSIGNOR has invented together with Gregory Osborn and Jonathan Savage a new and useful

**METHOD FOR COATING A METALLIC SUBSTRATE WITH A POWDER COATING COMPOSITION AND AN AUTODEPOSITABLE COATING COMPOSITION**

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 7/3/08; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

John M. Spangler  
JOHN M. SPANGLER

July 3, 2008  
Date

IN WITNESS OF the execution hereof by JOHN M. SPANGLER we have hereunto set our hands.

[Signature] 7/3/08  
Witness (1) Date  
Address: 115 Oakbrook Drive  
East Peoria, IL 61611

[Signature] 7/3/08  
Witness (2) Date  
Address: 7321 N. Villa Lake Dr. #3  
Peoria, IL 61614

ASSIGNMENT

WHEREAS, Jonathan Savage, residing at Metamora, Illinois, hereinafter referred to as ASSIGNOR has invented together with John M. Spangler and Gregory Osborn a new and useful

**METHOD FOR COATING A METALLIC SUBSTRATE WITH A POWDER COATING COMPOSITION AND AN AUTODEPOSITABLE COATING COMPOSITION**

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 7/6/08; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

[Signature]  
JONATHAN SAVAGE

7/6/08  
Date

IN WITNESS OF the execution hereof by JONATHAN SAVAGE we have hereunto set our hands.

[Signature] 7/6/08  
Witness (1) Date

Address: 7407 N. Patton Ln  
Peoria, IL 61614

[Signature] 7/7/08  
Witness (2) Date

Address: 206 N. THIRD AVE.  
MORTON, IL 61550

ASSIGNMENT

WHEREAS, Gregory Osborn, residing at Washington, Illinois, hereinafter referred to as ASSIGNOR has invented together with John M. Spangler and Jonathan Savage a new and useful

METHOD FOR COATING A METALLIC SUBSTRATE WITH A POWDER COATING COMPOSITION AND AN AUTODEPOSITABLE COATING COMPOSITION

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 7 JULY 2008; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

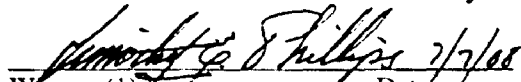
4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.


IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

  
GREGORY OSBORN

7 JULY 2008  
Date

IN WITNESS OF the execution hereof by GREGORY OSBORN we have hereunto set our hands.

 7/7/08  
Witness (1) Date  
Address: 206 N. THIRD AVE.  
MORTON IL 61550

 7/7/08  
Witness (2) Date  
Address: 5325 N Woodview Ave  
Peoria, IL 61614