

07-24-2008

Case CLV-32674/CVE 86



To the Honorable Commissioner of

103515775

ached original documents or copy thereof.

1. Name of conveying party(ies):

Achim Müller
Roland Schmieder
Katharina Schmid

2. Name and address of receiving party(ies)

Name: Novartis AG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: February 19, 2004

Street Address: Lichtstrasse 35

City: Basel State: Switzerland ZIP: 4056

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/653,866

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CIBA Vision Corporation

Internal Address: Patent Department

Street Address: 11460 Johns Creek Parkway

City: Duluth State: GA ZIP: 30097-1518

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41)

\$ 40

☐ Enclosed

☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

50-2965 (in the name of Ciba Vision)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jian Zhou

Name of Person Signing
Reg. No. 41,422

Signature

July 18, 2008

Date

☒ Certificate of Mailing below

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Director of the US Patent and Trademark Office, PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

Martha Martin
Type or print name

Signature

July 18, 2008
Date

PATENT

REEL: 021289 FRAME: 0888

ASSIGNMENT

I / We **Achim MÜLLER, Auf der Stichel 10A, 63762 Grossostheim, Germany, citizen of Germany**
Roland SCHMIEDER, Bayernstr. 22, 63739 Aschaffenburg, Germany, citizen of Germany
Katharina SCHMID, Nürnbergerstr. 13, 63110 Rodgau, Germany, citizen of Germany

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG, a Company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

Process for analyzing the tear fluid

invented by me/us and described in the application for United States Letters Patent therefor,

Serial No. **10/653,866** filed **03/09/2003**

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Novartis AG**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Novartis AG**.

Signed on



Achim MÜLLER

19. Feb. 2004



Roland SCHMIEDER

19. Feb. 2004



Katharina SCHMID

19. Feb 2004