



07-21-2008



Docket No.: 050212-0710

103514852

To the Director of the U. S. Patent and T.

documents or the new address(es) below.

7-16-08

1. Name of Conveying Party(ies)  
Kenichiro OHTSUKA, Kazuhito SAITO, Wataru SAKURAI,  
Masaki OHMURA, Yuko MASUNAGA

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of Conveyance/Execution Date(s)

Execution Date(s): April 20, 2006; April 17, 2006; April 12,  
2006; April 12, 2006 and April 13, 2006  
respectively

- Corrected Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other

2. Name and address of receiving party(ies)  
Name: SUMITOMO ELECTRIC INDUSTRIES, LTD.

Internal Address:

Address: 5-33, Kitahama 4-chome, Chuo-ku, Osaka-shi,  
Osaka 541-0041 JAPAN

Additional name(s) & address(es) attached?  Yes  No

4. Application or patent number(s):

A. Application No. 11/404,094 filed on April 14, 2006

This document is being filed together with a new application.

B. Patent No(s).

Additional numbers attached?  Yes  No

5. Name and address to whom correspondence concerning  
document should be mailed:

Name: MCDERMOTT WILL & EMERY LLP

Internal Address:

Street Address: 600 13th Street, N.W.

City: Washington State: D. C. Zip: 20005-3096

Phone Number: 202.756.8000

Fax Number: 202.756.8087

Email Address:

6. Total number of applications and patents  
involved:

7. Total fee (37 CFR 1.21(h) & 3.41) \$0.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information:

- a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_
- b. Deposit Account Number 500417  
Authorized User Name \_\_\_\_\_

9. Signature.

Brian K. Seidleck 51,321

July 16, 2008

Name and Registration No. of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and documents: 8



07-20-2006



103278130

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

FORM PTO-1595  
(Rev. 10/02)

Docket No.: 050212-0710

PATENTS ONLY

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto:

7.17.06

1. Name of Conveying Party(ies):  
Kenichiro OHTSUKA, Kazuhito SAITO, Wataru SAKURAI,  
Masaki OHMURA, Yuko MASUNAGA

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of Conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other

Execution Date: April 20, 2006 April 17, 2006  
April 12, 2006 April 12, 2006 (respectively)

2. Name and address of receiving party(ies):  
Name: SUMITOMO ELECTRIC INDUSTRIES, LTD.  
Address: 5-33, Kitahama 4-chome,  
Chuo-ku, Osaka-shi  
Osaka, 541-0041 JAPAN

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):  
If the document is being filed together with a new application, the execution date of the application is:  
April 20, 2006  
April 17, 2006  
April 12, 2006  
April 12, 2006  
(respectively)

A. Patent Application No(s).

B. Patent No(s).

11/404,094 filed April 14, 2006  
~~07/19/2006 DATE 0000072 500417 11404094~~

01 FC:0021 (40.00 DA)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: MCDERMOTT WILL & EMERY LLP  
Internal Address:  
Street Address: 600 13th Street, N.W.  
City: Washington State: D.C. Zip: 20005-3096

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR 3.41) \$40.00  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit account number:  
500417

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Arthur J. Steiner 26,106

July 17, 2006

Name and Registration No. of Person Signing

Signature

Date

Total number of pages including cover sheet: 3

OMB No. 0651-0027 (exp. 6/30/2005)

**ASSIGNMENT**

Whereas, I/we,

NameAddress1) **Kenichiro OHTSUKA**

c/o Yokohama Works of Sumitomo Electric Industries, Ltd.,

1, Taya-cho, Sakae-ku,  
Yokohama-shi, Kanagawa 244-8588 Japan

c/o Yokohama Works of Sumitomo Electric Industries, Ltd.,

2) **Kazuhito SAITO**1, Taya-cho, Sakae-ku,  
Yokohama-shi, Kanagawa 244-8588 Japan

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3) **Wataru SAKURAI**1, Taya-cho, Sakae-ku,  
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4) **Masaki OHMURA**1, Taya-cho, Sakae-ku,  
Yokohama-shi, Kanagawa 244-8588 Japan

c/o Yokohama Works of Sumitomo Electric Industries, Ltd.,

5) **Yuko MASUNAGA**1, Taya-cho, Sakae-ku,  
Yokohama-shi, Kanagawa 244-8588 Japan

hereinafter called assignor(s), have invented certain improvements in  
**Optical Connector and Optical Connector Assembling Method**

and executed an application for Letters Patent of the United States of America therefor on even date herewith unless otherwise indicated below:

filed on April 14, 2006, Serial No. 11/404094; and

Whereas

**SUMITOMO ELECTRIC INDUSTRIES, LTD.**  
5-33, Kitahama 4-chome, Chuo-ku,  
Osaka-shi, Osaka 541-0041 Japan

(assignee), desires to acquire the entire right, title and interest in the application and invention, and to any United States patents to be obtained therefor;

NOW THEREFORE, be it known that, for good and valuable consideration from assignee, the receipt of which is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

INVENTORSDATE SIGNED

1): Kenichiro Ohtsuka  
Name: Kenichiro OHTSUKA

Apr. 20, 2006

2): Kazuhito  
Name: Kazuhito SAITO

Apr. 17, 2006

3): W. Sakurai  
Name: Wataru SAKURAI

Apr. 12, 2006

4): M. Ohmura  
Name: Masaki OHMURA

Apr. 12, 2006

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. § 261)

5):  
Name:

y. Masunaga  
Yuko MASUNAGA

Apr. 13. 2006

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. § 261)

Page 2 of 2

RECORDED: 07/16/2008

PATENT  
REEL: 021297 FRAME: 0983