

07-29-2008



103516464

Docket No.: 12881016CON

FEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Director of the United States Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):
Christopher Forrest Lumpkin

7-28-08

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): July 14, 2008

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies):

Name: Peregrine Surgical, Ltd.

Internal Address:

Street Address: 51 Britain Drive

City: New Britain

State: PA

Country: US ZIP: 18901

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent numbers(s):

A. Patent Application No.(s)

10/435,100

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Kenneth Watov, Esq.

Internal Address:

Street Address: WATOV & KIPNES, P.C.

P.O. Box 247

City: Princeton Junction

State: NJ ZIP: 08550

Phone Number: (609) 243-0330, ext. 100

Fax Number: (609) 275-1010

Email Address:

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 1.21(h) & 3.41): \$40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information:

a. Credit Card Last 4 Numbers

07/28/2008, AT&T 00000070 10435100

Expiration Date

01 FC:0021

b. Deposit Account Number 23-0510

40.00 00

Authorized User Name

9. Signature:

Kenneth Watov
Signature

July 24, 2008

Date

Kenneth Watov

Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

4

**Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)**

Docket No.
12881016CON

This is an Assignment of the following rights, title and interest: (check all that apply):

- ☐ *United States of America rights, title and interest in the invention*
☐ *Foreign rights, title and interest in the invention*
☒ *United States Patent Application Serial No.* 10/435,100

Date of Execution: July 14, 2008

Date of Filing: May 9, 2003

- ☐ *United States Provisional Patent Application Serial No.* _____
☐ *United States Patent No(s).* _____
☐ *International (PCT) Patent Application Serial No.* _____
☐ *Other (specify)* _____

Title of the Invention

OPHTHALMIC SURGERY LIGHT TRANSMITTING APPARATUS

Inventors (assignors)

<i>Name</i>	<i>Address</i>
Christopher Forrest Lumpkin	1780 Saddle Creek Court, Steamboat Springs, CO 80487

Assignee

<i>Name</i>	<i>Address</i>
Peregrine Surgical, Ltd.	51 Britain Drive New Britain, PA 18901

Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)

Docket No.
12881016CON

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged:

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

[illegible]

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
12881016CON

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 14th day of July in the year 2008

at

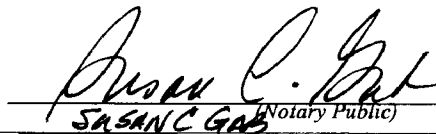

(Signature of Inventor)

State of COLORADO

County of ROUTT

Before me personally appeared Christopher Forrest Lumpkin

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 14th day of July in the year 2008


SUSAN C. GALT (Notary Public)

Comm Exp 8/8/09

Executed this _____ day of _____ in the year _____

at

(Signature of Inventor)

State of _____

County of _____

Before me personally appeared _____

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this _____ day of _____ in the year _____

(Notary Public)

Executed this _____ day of _____ in the year _____

at

(Signature of Inventor)

State of _____

County of _____

Before me personally appeared _____

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this _____ day of _____ in the year _____

(Notary Public)