Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:		NEW ASSIGNMENT		
NATURE OF CONVEYANCE:		ASSIGNMENT		
CONVEYING PARTY I	DATA			
		Name	Execution Date	
Alice Liu			12/09/2003	
Pamela Shiell Trammel			06/26/2006	
RECEIVING PARTY D	ATA			
Name:	LIGHTWAVE MICROSYSTEMS CORPORATION			
Street Address:	2911 Zanker Road			
City:	San Jose			
State/Country:	CALIFORNIA			
Postal Code: 95134				
PROPERTY NUMBER	S Total: 1			
Property Type				
Property Ty	rpe	Number		<u> </u>
Property Ty Application Number:		Number 181905		81905
	12			 12181905
Application Number:	12	181905		
Application Number: CORRESPONDENCE Fax Number:	DATA (216)696-8 be sent via US Ma	181905 731 nil when the fax attempt is unsuccessful		\$40.00 12181905
Application Number: CORRESPONDENCE Fax Number: <i>Correspondence will b</i> Phone:	DATA (216)696-8 <i>e sent via US Ma</i> 216696873	181905 731 <i>nil when the fax attempt is unsuccessful</i> 0		\$40.00
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Application Number: CORRESPONDENCE Fax Number: <i>Correspondence will b</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4:	DATA (216)696-8 e sent via US Ma 216696873 nreadence(GREGORY 1900 East cleveland, 0	181905 731 <i>ail when the fax attempt is unsuccessful</i> 0 @thepatentattorneys.com 7 TUROCY 9th Street OHIO 44114		\$40.00

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PATENT REEL: 021312 FRAME: 0080

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For good and valuable consideration received of the hereinafter named assignee, receipt of which is hereby acknowledged, the undersigned

> Alice Liu 1561 Woodmeadow Court San Jose, CA 95131

Pamela Shiell Trammel 1325 Cordelia Avenue San Jose, CA 95129

hereby sell and assign to LIGHTWAVE MICROSYSTEMS CORPORATION, a corporation of California, having a place of business at 2911 Zanker Road, San Jose, California 95134, U.S.A., its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries, in and to the inventions entitled

METHODS TO REDUCE POLARIZATION DEPENDENT LOSS IN PLANAR LIGHTWAVE CIRCUITS

for which application for United States Letters Patent has been filed on_ _____, or was executed by the undersigned on the date below and under Serial No.__ is being filed concurrently herewith, said application for United States Letters Patent, any and all other applications for Letters Patent on said inventions in countries foreign to the United States, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said applications and said Letters Patent; said assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said assignee or otherwise as said assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments being hereby authorized to issue or transfer all said Letters Patent to said assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right heretofore given, and that the undersigned will do all acts reasonably serving to assure that said inventions, patent applications and Letters Patent shall be held and enjoyed by said assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, and lawful affidavits in

form and substance which may be requested by said assignee, to furnish said assignee with all facts relating to said inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may be useful for establishing the facts of conception, disclosure and reduction to practice of said inventions, and to testify in any proceedings relating to said inventions, patent applications and Letters Patent.

ASSIGNMENT

Signed: Alice Liu

Date: 12/09/03

CERTIFICATE OF ACKNOWLEDGMENT

State of

County of_____

On ______ before me,

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared <u>Alice Liu</u>. Name(s) of Signer(s)

> ____personally known to me - OR - ___proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public

A100

Page 2 of 3

Signed: ____

Date: _

Pamela Shiell Trammel

CERTIFICATE OF ACKNOWLEDGMENT

State of_____

County of_____

On ______ before me, _____

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared <u>Pamela Shiell Trammel</u>. Name(s) of Signer(s)

> ____personally known to me - OR - ___proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public

Page 3 of 3

For good and valuable consideration received of the hereinafter named assignee, receipt of which is hereby acknowledged, the undersigned

> Alice Liu 1561 Woodmeadow Court San Jose, CA 95131

Pamela Shiell Trammel 1325 Cordelia Avenue San Jose, CA 95129

hereby sell and assign to LIGHTWAVE MICROSYSTEMS CORPORATION, a corporation of California, having a place of business at 2911 Zanker Road, San Jose, California 95134, U.S.A., its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries, in and to the inventions entitled

METHODS TO REDUCE POLARIZATION DEPENDENT LOSS IN PLANAR LIGHTWAVE CIRCUITS

for which application for United States Letters Patent has been filed on February 9, 2006 under Serial No. <u>11/351,031</u>, or was executed by the undersigned on the date below and is being filed concurrently herewith, said application for United States Letters Patent, any and all other applications for Letters Patent on said inventions in countries foreign to the United States, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said applications and said Letters Patent; said assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said assignee or otherwise as said assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments being hereby authorized to issue or transfer all said Letters Patent to said assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right heretofore given, and that the undersigned will do all acts reasonably serving to assure that said inventions, patent applications and Letters Patent shall be held and enjoyed by said assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, and lawful affidavits in

form and substance which may be requested by said assignee, to furnish said assignee with all facts relating to said inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may be useful for establishing the facts of conception, disclosure and reduction to practice of said inventions, and to testify in any proceedings relating to said inventions, patent applications and Letters Patent.

ASSIGNMENT

Signed: ______ Alice Liu Date:

CERTIFICATE OF ACKNOWLEDGMENT

State of

County of_____

On ______ before me, _____

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared <u>Alice Liu</u>. Name(s) of Signer(s)

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WITNESS my hand and official seal.

Signature of Notary Public

Page 2 of 3

6/26/06 Signed: Date: Pamela Shiell Trammel

CERTIFICATE OF ACKNOWLEDGMENT

State of_____

County of_____

On _____ before me,

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared <u>Pamela Shiell Trammel</u>. Name(s) of Signer(s)

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WITNESS my hand and official seal.

Signature of Notary Public

PATENT REEL: 021312 FRAME: 0086

Page 3 of 3

RECORDED: 07/30/2008