

07-28-2008

U.S. Department of COMMERCE  
United States Patent and Trademark Office

REC



103516265

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies):**

John M. Rosenbaum  
Brent K. Lok  
David C. Kramer  
John O'Brien  
Stephen J. Miller

**2. Name and address of receiving party(ies)**

Name: Chevron U.S.A. Inc.

Internal Address: P.O. Box 6006

San Ramon, CA 94583-2324

Street Address: 6001 Bollinger Canyon Rd

City: San Ramon

State: CA

Country: USA Zip: 94583-2324

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance:**

Execution Date(s) John M. Rosenbaum 3/20/08, Brent K. Lok 3/24/08, David C. Kramer 3/7/08, John O'Brien 3/10/08, and Stephen J. Miller 3/11/08

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**4. Application number(s) or patent number(s):**

A. Patent Application No.(s)  
12/047,887

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address of party to whom correspondence concerning this document should be mailed:**

Name: Chevron Corporation

Internal Address: Law Department

IP Practice Group

Street Address: 6001 Bollinger Canyon Rd

City: San Ramon

State: CA Zip: 94583-2324

Phone Number: 510-242-4314

Fax Number: 510-242-5540

Email Address: smab@chevron.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

07/25/2008 DBYRNE 00000031 031620 12047887  
a. Credit Card Last 4 Numbers 4800 DA  
Expiration Date 01/01/08  
b. Deposit Account Number 03-1620  
Authorized User Name Susan M. Abernathy

**9. Signature:**

Susan M. Abernathy  
Signature

Susan m. Abernathy  
Name of Person Signing

July 22, 2008  
Date

Total number of pages including cover sheet, attachments, and documents 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

**PATENT**  
**REEL: 021320 FRAME: 0318**

O:SUSAN M. ABERNATHY COMPANY 001 BOLLINGER CANYON ROAD

## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1~~03/31/2008~~  
~~500501305~~

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>John M. Rosenbaum</td><td>03/31/2008</td></tr><tr><td>Brent K. Lok</td><td>03/31/2008</td></tr><tr><td>David C. Kramer</td><td>03/31/2008</td></tr><tr><td>John O'Brien</td><td>03/31/2008</td></tr><tr><td>Stephen J. Miller</td><td>03/31/2008</td></tr></tbody></table>		Name	Execution Date	John M. Rosenbaum	03/31/2008	Brent K. Lok	03/31/2008	David C. Kramer	03/31/2008	John O'Brien	03/31/2008	Stephen J. Miller	03/31/2008
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RECEIVING PARTY DATA													
<table border="1"><tr><td>Name:</td><td>Chevron Corporation</td></tr><tr><td>Street Address:</td><td>6001 Bollinger Canyon Road</td></tr><tr><td>City:</td><td>San Ramon</td></tr><tr><td>State/Country:</td><td>CALIFORNIA</td></tr><tr><td>Postal Code:</td><td>94583-2324</td></tr></table>		Name:	Chevron Corporation	Street Address:	6001 Bollinger Canyon Road	City:	San Ramon	State/Country:	CALIFORNIA	Postal Code:	94583-2324		
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<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>12047887</td></tr></tbody></table>		Property Type	Number	Application Number:	12047887								
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Application Number:	12047887												
CORRESPONDENCE DATA													
Fax Number: (510)242-5540 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>													
Phone: 510-242-4314													
Email: SMAB@chevron.com													
Correspondent Name: Susan M. Abernathy													
Address Line 1: 6001 Bollinger Canyon Road													
Address Line 4: San Ramon, CALIFORNIA 94583-2324													
NAME OF SUBMITTER:	Susan M. Abernathy												
Total Attachments: 5 source=G-0144Assignment#page1.tif source=G-0144Assignment#page2.tif													

CH \$40.00 12047887

O:SUSAN M. ABERNATHY COMPANY .001 BOLLINGER CANYON ROAD

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PATENT

REEL: 021320 FRAME: 0320

**ASSIGNMENT**

WHEREAS, we, John M. Rosenbaum, residing in the city of Richmond, County of Contra Costa, State of California, Brent K. Lok, residing in the city of San Francisco, County of San Francisco, State of California, David C. Kramer, residing in the city of San Anselmo, County of Marin, State of California, John O'Brien, residing in the city of Walton on Thames, County of Surrey, United Kingdom, and Stephen J. Miller, residing in the city of San Francisco, County of San Francisco, State of California, have invented new and useful improvements in a **PROCESS FOR IMPROVING LUBRICATING QUALITIES OF LOWER QUALITY BASE OIL** set forth in an application for Letters Patent of the United States,

☒ having an oath or declaration executed on even date herewith;

☐ bearing U.S. Serial No. 11/400,570 and filed on April 7, 2006; and

WHEREAS, CHEVRON U.S.A. INC., a corporation organized and existing under and by virtue of the laws of the Commonwealth of Pennsylvania, and having a regular and established place of business at San Ramon, California, is desirous of acquiring the entire right, title and interest in and to said invention, and in and to the Letters Patent to be granted and issued therefor:

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I, the said JOHN M. ROSENBAUM, do hereby sell, assign, transfer and set over unto said CHEVRON U.S.A. INC., its successors and assigns, the entire right, title and interest in and to said invention, and in and to all Letters Patent to be granted and issued thereof, not only for, to and in the United States of America, but for, to and in all other countries; and I hereby authorize and request the Commissioner for Patents to issue said Letters Patent in accordance with this Assignment.

SIGNED my hand at Richmond, CA, this 20 day of March, 2008.

  
JOHN M. ROSENBAUM

**STATEMENT BY WITNESS**

I, PATRICIA FORWARD, whose full post office address is 100 Chevron Way, Richmond, CA 94802, was personally present and did see JOHN M. ROSENBAUM, who is known to me, execute the above assignment on this 20th day of MARCH, 2008.

  
Signature of Witness

**ASSIGNMENT**

WHEREAS, we, John M. Rosenbaum, residing in the city of Richmond, County of Contra Costa, State of California, Brent K. Lok, residing in the city of San Francisco, County of San Francisco, State of California, David C. Kramer, residing in the city of San Anselmo, County of Marin, State of California, John O'Brien, residing in the city of Walton on Thames, County of Surrey, United Kingdom, and Stephen J. Miller, residing in the city of San Francisco, County of San Francisco, State of California, have invented new and useful improvements in a **PROCESS FOR IMPROVING LUBRICATING QUALITIES OF LOWER QUALITY BASE OIL** set forth in an application for Letters Patent of the United States,

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WHEREAS, CHEVRON U.S.A. INC., a corporation organized and existing under and by virtue of the laws of the Commonwealth of Pennsylvania, and having a regular and established place of business at San Ramon, California, is desirous of acquiring the entire right, title and interest in and to said invention, and in and to the Letters Patent to be granted and issued therefor:

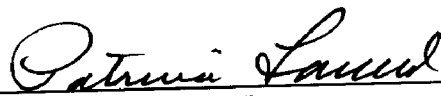
NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I, the said BRENT K. LOK, do hereby sell, assign, transfer and set over unto said CHEVRON U.S.A. INC., its successors and assigns, the entire right, title and interest in and to said invention, and in and to all Letters Patent to be granted and issued thereof, not only for, to and in the United States of America, but for, to and in all other countries; and I hereby authorize and request the Commissioner for Patents to issue said Letters Patent in accordance with this Assignment.

SIGNED my hand at Richmond, CA, this 24<sup>th</sup> day of March, 2008.

  
BRENT K. LOK

**STATEMENT BY WITNESS**

I, PATRICIA FORWARD, whose full post office address is 100 Chevron Way, Richmond, CA 94802, was personally present and did see BRENT K. LOK, who is known to me, execute the above assignment on this 24<sup>th</sup> day of MARCH, 2008.

  
Signature of Witness

**ASSIGNMENT**

WHEREAS, we, John M. Rosenbaum, residing in the city of Richmond, County of Contra Costa, State of California, Brent K. Lok, residing in the city of San Francisco, County of San Francisco, State of California, David C. Kramer, residing in the city of San Anselmo, County of Marin, State of California, John O'Brien, residing in the city of Walton on Thames, County of Surrey, United Kingdom, and Stephen J. Miller, residing in the city of San Francisco, County of San Francisco, State of California, have invented new and useful improvements in a **PROCESS FOR IMPROVING LUBRICATING QUALITIES OF LOWER QUALITY BASE OIL** set forth in an application for Letters Patent of the United States,

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☐ bearing U.S. Serial No. 11/400,570 and filed on April 7, 2006; and

WHEREAS, CHEVRON U.S.A. INC., a corporation organized and existing under and by virtue of the laws of the Commonwealth of Pennsylvania, and having a regular and established place of business at San Ramon, California, is desirous of acquiring the entire right, title and interest in and to said invention, and in and to the Letters Patent to be granted and issued therefor:

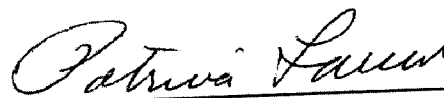
NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I, the said DAVID C. KRAMER, do hereby sell, assign, transfer and set over unto said CHEVRON U.S.A. INC., its successors and assigns, the entire right, title and interest in and to said invention, and in and to all Letters Patent to be granted and issued thereof, not only for, to and in the United States of America, but for, to and in all other countries; and I hereby authorize and request the Commissioner for Patents to issue said Letters Patent in accordance with this Assignment.

SIGNED my hand at Richmond, CA, this 7th day of March, 2008.

  
DAVID C. KRAMER

**STATEMENT BY WITNESS**

I, PATRICIA FORWARD, whose full post office address is 100 Chevron Way, Richmond, CA 94802, was personally present and did see DAVID C. KRAMER, who is known to me, execute the above assignment on this 7th day of MARCH, 2008.

  
Signature of Witness

**ASSIGNMENT**

WHEREAS, we, John M. Rosenbaum, residing in the city of Richmond, County of Contra Costa, State of California, Brent K. Lok, residing in the city of San Francisco, County of San Francisco, State of California, David C. Kramer, residing in the city of San Anselmo, County of Marin, State of California, John O'Brien, residing in the city of Walton on Thames, County of Surrey, United Kingdom, and Stephen J. Miller, residing in the city of San Francisco, County of San Francisco, State of California, have invented new and useful improvements in a **PROCESS FOR IMPROVING LUBRICATING QUALITIES OF LOWER QUALITY BASE OIL** set forth in an application for Letters Patent of the United States,

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WHEREAS, CHEVRON U.S.A. INC., a corporation organized and existing under and by virtue of the laws of the Commonwealth of Pennsylvania, and having a regular and established place of business at San Ramon, California, is desirous of acquiring the entire right, title and interest in and to said invention, and in and to the Letters Patent to be granted and issued therefor:

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I, the said JOHN O'BRIEN, do hereby sell, assign, transfer and set over unto said CHEVRON U.S.A. INC., its successors and assigns, the entire right, title and interest in and to said invention, and in and to all Letters Patent to be granted and issued thereof, not only for, to and in the United States of America, but for, to and in all other countries; and I hereby authorize and request the Commissioner for Patents to issue said Letters Patent in accordance with this Assignment.

SIGNED my hand at London, this 10 day of March, 2008.

  
\_\_\_\_\_  
JOHN O'BRIEN

**STATEMENT BY WITNESS**

I, Wade S. Lamson, whose full post office address is 101 Wigmore Street, 6<sup>th</sup> Floor  
LONDON W1U 1QY, was personally present and did see JOHN O'BRIEN, who is known to me, execute the above assignment on this 10<sup>th</sup> day of March, 2008.

  
\_\_\_\_\_  
Signature of Witness

**ASSIGNMENT**

WHEREAS, we, John M. Rosenbaum, residing in the city of Richmond, County of Contra Costa, State of California, Brent K. Lok, residing in the city of San Francisco, County of San Francisco, State of California, David C. Kramer, residing in the city of San Anselmo, County of Marin, State of California, John O'Brien, residing in the city of Walton on Thames, County of Surrey, United Kingdom, and Stephen J. Miller, residing in the city of San Francisco, County of San Francisco, State of California, have invented new and useful improvements in a **PROCESS FOR IMPROVING LUBRICATING QUALITIES OF LOWER QUALITY BASE OIL** set forth in an application for Letters Patent of the United States,

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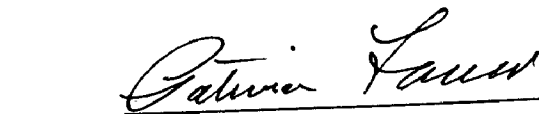
NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I, the said STEPHEN J. MILLER, do hereby sell, assign, transfer and set over unto said CHEVRON U.S.A. INC., its successors and assigns, the entire right, title and interest in and to said invention, and in and to all Letters Patent to be granted and issued thereof, not only for, to and in the United States of America, but for, to and in all other countries; and I hereby authorize and request the Commissioner for Patents to issue said Letters Patent in accordance with this Assignment.

SIGNED my hand at Richmond, CA, this 11th day of March, 2008.

  
STEPHEN J. MILLER

**STATEMENT BY WITNESS**

I, PATRICIA FORWARD, whose full post office address is 100 Chevron Way, Richmond, CA 94802, was personally present and did see STEPHEN J. MILLER, who is known to me, execute the above assignment on this 11th day of MARCH, 2008.

  
Signature of Witness

**PATENT**