

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

CONVEYING PARTY DATA

| Name                 | Execution Date |
|----------------------|----------------|
| Michael James Tully  | 10/22/2003     |
| Virgil Michael Scott | 10/22/2003     |
| Dwayne Oliver Randle | 10/22/2003     |
| Gregory Paul Lembree | 10/22/2003     |

RECEIVING PARTY DATA

|                 |                              |
|-----------------|------------------------------|
| Name:           | Scottrade, Inc.              |
| Street Address: | 12855 Flushing Meadows Drive |
| City:           | St. Louis                    |
| State/Country:  | MISSOURI                     |
| Postal Code:    | 63131-1814                   |

PROPERTY NUMBERS Total: 1

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 12186978 |

CORRESPONDENCE DATA

Fax Number: (314)667-3633

*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*

Phone: 314-552-6000

Email: IPDOCKET@THOMPSONCOBURN.COM

Correspondent Name: THOMPSON COBURN LLP/B. Volk

Address Line 1: ONE US BANK PLAZA

Address Line 2: SUITE 3500

Address Line 4: ST LOUIS, MISSOURI 63101

ATTORNEY DOCKET NUMBER:

36194-76554

NAME OF SUBMITTER:

Benjamin L. Volk, Jr.

Total Attachments: 5

PATENT

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REEL: 021349 FRAME: 0527

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ASSIGNMENT

WHEREAS, we, Michael James Tully of 12136 Wesmeade, Maryland Heights, Missouri 63043; Virgil Michael Scott of 2527 Balaji Court, Wildwood, Missouri 63011; Dwayne Oliver Randle of 11847 Brookington Drive, Bridgeton, Missouri 63044; and Gregory Paul Lembree of 759 Falcon Hill Trail, O'Fallon, Missouri 63366, have invented certain new and useful improvements for a *System And Method For The Automated Brokerage Of Financial Instruments* for which we have this same day executed an application for Letters Patent in the United States identifying us as the only inventors thereof (said application being identified as Thompson Coburn LLP, Docket 36194/42366); and

WHEREAS, Scottrade, Inc., a corporation of the State of Arizona having its principal office and place of business at 12855 Flushing Meadows Drive, St. Louis, Missouri 63131-1814, is desirous of acquiring the entire right, title and interest in and to said invention and improvements as disclosed in said application, in and to said application, and in and to the patent to be obtained thereon.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt and adequacy of all of which are hereby acknowledged, we, Michael James Tully, Virgil Michael Scott, Dwayne Oliver Randle, and Gregory Paul Lembree, have sold, assigned and transferred and do hereby sell, assign and transfer unto said Scottrade, Inc., its successors or assigns, the entire right, title and interest in and to said invention and improvements as the same are disclosed in said application which was executed by us concurrently herewith preparatory to filing for Letters Patent, in and to said application, and in and to any Letters Patent, including divisionals, continuations, reissues, reexaminations and extensions to be obtained therefor in the United States and all foreign countries; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent as the assignee may desire to apply for, but at the expense of said assignee.

The Commissioner of Patents or other proper official is hereby authorized and requested to issue said Letters Patent to said in accordance with this Assignment.

The undersigned hereby authorizes and requests the attorneys of record in said application(s) to insert in this Assignment the serial number, filing date, and date of execution of said application(s).

For said considerations, the undersigned hereby agrees, upon the request and at the expense of said Assignee, to execute any divisional, continuation, continuation-in-part or substitute application for said invention or improvements, and any oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application(s), and, in the event of any application or Letters Patent assigned herein becoming involved in an interference, to cooperate to the best of the ability of the undersigned in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. The

undersigned agrees to perform, upon request, any affirmative acts to obtain said Letters Patent of the United States and vest in said Assignee all rights therein, whereby said Letters Patent will be held and enjoyed by said Assignee, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment has not been made.

And for said consideration, the undersigned hereby assigns to said Assignee the entire right, title and interest in said invention or improvements for all foreign countries, including all priority rights, and agrees to execute, at the request of said Assignee, all documents in connection with any application for foreign Letters Patent therefor.

IN WITNESS WHEREOF, I have hereunto set my hand the 22nd day of October 2003.

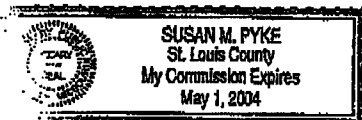
  
Michael James Tully


STATE OF Missouri  
COUNTY OF St Louis

:  
: SS  
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On this 22<sup>nd</sup> day of October 2003 before me, a Notary Public, within and for the County of St. Louis, State of Missouri, personally appeared MICHAEL JAMES TULLY, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.



  
Notary Public

My Commission Expires: 5/1/04

WITNESS:

WITNESS:

By: \_\_\_\_\_

By: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Printed Name: \_\_\_\_\_

IN WITNESS WHEREOF, I have hereunto set my hand the 22nd day of October 2003.

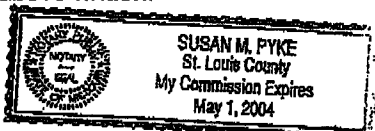
Virgil Michael Scott

STATE OF Missouri  
COUNTY OF St. Louis

SS

On this 22nd day of October 2003 before me, a Notary Public, within and for the County of St. Louis, State of Missouri, personally appeared VIRGIL MICHAEL SCOTT, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.



Susan M Pyke  
Notary Public

My Commission Expires: 5/1/04

WITNESS:

WITNESS:

By: \_\_\_\_\_

By: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Printed Name: \_\_\_\_\_

IN WITNESS WHEREOF, I have hereunto set my hand the 22nd day of October 2003.

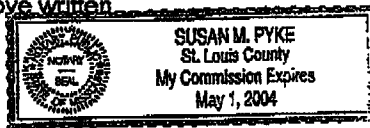
Dwayne Oliver Randle  
Dwayne Oliver Randle

STATE OF Missouri  
COUNTY OF St Louis

SS

On this 22nd day of October 2003 before me, a Notary Public, within and for the County of St. Louis, State of Missouri, personally appeared DWAYNE OLIVER RANDLE, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written



Susan M Pyke  
Notary Public

My Commission Expires: 5/1/04

WITNESS:

WITNESS:

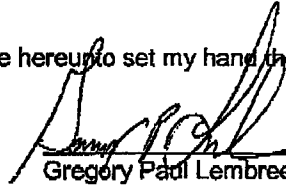
By: \_\_\_\_\_

By: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Printed Name: \_\_\_\_\_

IN WITNESS WHEREOF, I have hereunto set my hand the 22nd day of October 2003.

  
Gregory Paul Lembree


STATE OF Missouri  
COUNTY OF St Louis

SS

On this 22nd day of October 2003 before me, a Notary Public, within and for the County of St. Louis, State of Missouri, personally appeared GREGORY PAUL LEMBREE, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.



  
Notary Public

My Commission Expires: 5/1/04

WITNESS:

WITNESS:

By: \_\_\_\_\_

By: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Printed Name: \_\_\_\_\_