

Form PTO-1598 (Rev. 08/04)
Omb NO. 0851-0027 (EXP. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address (es) below.

1. Name of conveying party(ies)/Execution Date(s):
Jason C. Harper, Ronen Polsky, Shawn M. Dirk, David R. Wheeler, Dulce C. Arango, and Susan M. Brozik

Execution Dates) 10/30/07; 10/29/07; 11/01/07
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Name SANDIA CORPORATION, OPERATOR OF SANDIA NATIONAL LABORATORIES
Internal Address: P.O. BOX 5800 MS-0161

Street Address: _____

City: Albuquerque
State: NM
Country: USA Zip: 87185-0161

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other _____

4. Application or patent number(s):
A. Patent Application No.(s)
11/930,267

Additional numbers attached? Yes No

This document is being filed together with a new application.
B. Patent No.(s)

5. Name and address to whom correspondence concerning document should be mailed:
Name: Russell D. Elliott
Internal Address: Sandia National Laboratories
Intellectual Property Center, 11500
Mail Stop 0161

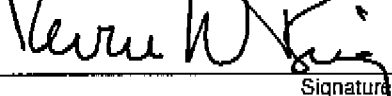
Street Address: _____

City: Albuquerque
State: NM Zip: 87185-0161
Phone Number: 505-844-5626
Fax Number: 505-844-1418
Email Address: rusty@sandia.gov

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
 Authorized to be charged by credit card
 Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Information
a. Credit Card Last 4 Numbers _____
Expiration Date _____
b. Deposit Account Number 19-0131
Authorized User Name _____

9. Signature:  8/13/2008
Signature Date
Kevin W. Bieg
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents 6

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 190131 11930267

SD10580S111175

ASSIGNMENT

WHEREAS, **Jason C. Harper of Rio Rancho, NM , and Ronen Polsky, Shawn M. Dirk, David R. Wheeler, Dulce C. Arango, and Susan M. Brozik of Albuquerque, NM;** has(have) made an invention relating to **Method for the Electro-Addressable Selective Functionalization of Electrode Arrays** in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket Nos: **S-111,175 and S114,048** duly executed by said inventors and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

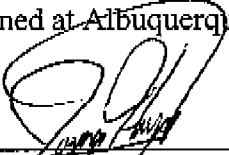
WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents, domestic and foreign,

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

SD10580S111175

Signed at Albuquerque, New Mexico.



Jason C. Harper

10/30/07
Date



Ronen Polsky

10/29/07
Date



Shawn M. Dirk

10/29/2007
Date



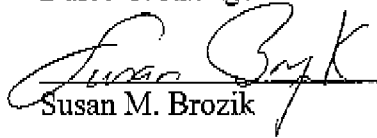
David R. Wheeler

10/29/07
Date



Dulce C. Arango

10/29/07
Date

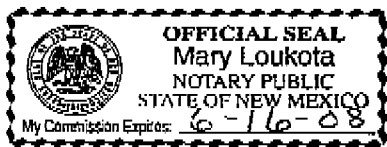


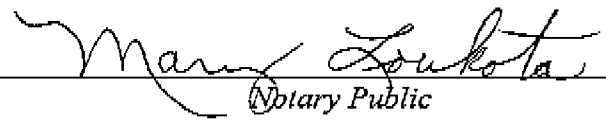
Susan M. Brozik

10/29/2007
Date

STATE OF NEW MEXICO)
) ss:
COUNTY OF BERNALILLO)

On the dates entered above, personally appeared before me, Mary Loukota, Notary Public, Jason C. Harper, Ronen Polsky, Shawn M. Dirk, David R. Wheeler, Dulce C. Arango, and Susan M. Brozik, known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.



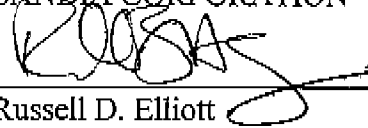


Notary Public

SD10580S111175

Approved and consented to this 19TH day of NOVEMBER, 2007.

SANDIA CORPORATION



Russell D. Elliott
Chief IP Counsel
Intellectual Property Center, MS-0161

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ASSIGNMENT

WHEREAS **Ronen Polsky** of Albuquerque; has(have) made an invention relating to **Method for the Electro-Addressable Selective Functionalization of Electrode Arrays** in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-111,175 and S114,048 duly executed by said inventor(s); and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation; and

WHEREAS, **Ronen Polsky**, being Inventor(s) of said invention and employee(s) of **Comforce Technical Services** (hereafter **Comforce**) at the time said invention was made, the Parties signing below, in consideration of *pro rata* share to be taken from twenty percent (20%) of royalties obtained by Sandia Corporation in any future licensing of said invention and to be paid annually to **Comforce** for distribution to the above **Comforce** Inventor as **Comforce** sees fit, and with said *pro rata* share further being limited to a maximum of \$150,000 annually per **Comforce** Inventor, have agreed, among other things, to assign to Sandia Corporation its successors and assigns, their entire right, title, and interest, if any, in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

The above-named **Comforce** Inventor(s) further agree, at the request of Sandia Corporation, to make, execute and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all act as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may be involved.

