

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER
EFFECTIVE DATE:	01/30/2008
CONVEYING PARTY DATA	
Name	Execution Date
YellowJacket Software, Inc.	01/30/2008
RECEIVING PARTY DATA	
Name:	PH Merger Sub, Inc.
Street Address:	2100 RiverEdge Parkway
Internal Address:	5th Floor
City:	Atlanta
State/Country:	GEORGIA
Postal Code:	30328
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	11186651
CORRESPONDENCE DATA	
Fax Number:	(215)656-2498
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	215-656-3300
Email:	ip.phil@dlapiper.com
Correspondent Name:	IP Group of DLA Piper US LLP
Address Line 1:	1650 Market Street
Address Line 2:	One Liberty Place, Suite 4900
Address Line 4:	Philadelphia, PENNSYLVANIA 19103
ATTORNEY DOCKET NUMBER:	ICE-08-1207
NAME OF SUBMITTER:	Paul A. Taufer
Total Attachments: 6	

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PATENT  
REEL: 021395 FRAME: 0852

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**DECLARATION AS TO OWNERSHIP OF U.S. PATENT APPLICATION NO.  
11/186,651 ENTITLED "VIRTUAL OVER-THE-COUNTER FINANCIAL PRODUCT  
EXCHANGE SYSTEM"**

1. The instant application, U.S. Serial No. 11/186,651 entitled "VIRTUAL OVER-THE-COUNTER FINANCIAL PRODUCT EXCHANGE SYSTEM", hereinafter the "Application", was filed on July 21, 2005 and subsequently assigned to YellowJacket Software, Inc. on October 6, 2005, as evidenced by the assignment attached hereto as Exhibit A.

2. On January 30, 2008, YellowJacket Software, Inc. merged with and into an entity called PH Merger Sub, Inc., at which time I was the Secretary of PH Merger Sub, Inc.

3. As a result of the merger, all interest in and to the Application became fully and exclusively vested in PH Merger Sub, Inc.

4. Subsequently, on March 18, 2008, PH Merger Sub, Inc. formally changed its name to "YellowJacket, Inc.", as is evidenced by the Change of Name document attached hereto as Exhibit B.

5. As a result of the name change, all interest in and to the Application became fully and exclusively vested in YellowJacket, Inc., of which I currently serve as the Secretary.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and any patent issuing thereon.

8/13/08  
Date

  
Johnathan Short

# Exhibit A



## United States Patent and Trademark Office

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## Assignments on the Web &gt; Patent Query

## Patent Assignment Details

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For pending or abandoned applications please consult USPTO staff.**

Reel/Frame: 016854/0881

Pages: 5

Recorded: 10/06/2005

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

## Total properties: 1

1      Patent #: NONE      Issue Dt:      Application #: 11186651      Filing Dt: 07/21/2005  
      Publication #: US20070022037      Pub Dt: 01/25/2007  
      Title: Virtual over-the-counter financial product exchange system

## Assignors

- 1    PECHENIK, JACOB
- 2    CAMPBELL, GREGORY

Exec Dt: 10/03/2005

Exec Dt: 09/26/2005

## Assignee

- 1    YELLOW JACKET SOFTWARE, INC.  
      39-25 51ST STREET, #5A  
      WOODSIDE, NEW YORK 11377

## Correspondence name and address

AMSTER, ROTHSTEIN & ESENSTEIN LLP  
ATTN: BENJAMIN M. HALPERN, ESQ  
90 PARK AVENUE  
NEW YORK, NEW YORK 10016

Search Results as of: 08/01/2008 09:56 AM  
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Web interface last modified: April 20, 2007 v.2.0.1

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# Exhibit B

# Delaware

PAGE 1

*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "PH MERGER SUB, INC.", CHANGING ITS NAME FROM "PH MERGER SUB, INC." TO "YELLOWJACKET, INC.", FILED IN THIS OFFICE ON THE TWENTIETH DAY OF MARCH, A.D. 2008, AT 1:22 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

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You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



*Harriet Smith Windsor*  
Harriet Smith Windsor, Secretary of State  
AUTHENTICATION: 6471237

DATE: 03-24-08

PATENT  
REEL: 021395 FRAME: 0858

**STATE OF DELAWARE  
CERTIFICATE OF AMENDMENT  
OF CERTIFICATE OF INCORPORATION**

The corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware does hereby certify:

**FIRST:** That at a meeting of the Board of Directors of  
PH Merger Sub, Inc.

resolutions were duly adopted setting forth a proposed amendment of the Certificate of Incorporation of said corporation, declaring said amendment to be advisable and calling a meeting of the stockholders of said corporation for consideration thereof. The resolution setting forth the proposed amendment is as follows:

**RESOLVED**, that the Certificate of Incorporation of this corporation be amended by changing the Article thereof numbered "Article 1" so that, as amended, said Article shall be and read as follows:

The name of the corporation (hereinafter called the "Corporation") is YellowJacket, Inc.

**SECOND:** That thereafter, pursuant to resolution of its Board of Directors, a special meeting of the stockholders of said corporation was duly called and held upon notice in accordance with Section 222 of the General Corporation Law of the State of Delaware at which meeting the necessary number of shares as required by statute were voted in favor of the amendment.

**THIRD:** That said amendment was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

**IN WITNESS WHEREOF**, said corporation has caused this certificate to be signed this 18th day of March, 2008.

By: 

Authorized Officer

Title: Andrew J. Surdykowski

Name: V.P., Asst. Gen'l. Counsel

Print or Type