

08-15-2008

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Niren Murthy
Michael J. Heffernan
Stephen C. Yang

2. Name and address of receiving party(ies)

Name: Georgia Tech Research Corporation

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) July 7, 2008; July 7, 2008; and August 1, 2008

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

Street Address: 505 Tenth Street NW

City: Atlanta

State: Georgia

Country: USA Zip: 30332

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

12/075,667

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Sarah B. Adriano

Internal Address: Mandel & Adriano

Street Address: 572 E. Green Street, Suite 203

City: Pasadena

State: CA

Zip: 91101

Phone Number: 626-395-7801

Fax Number: 626-395-0694

Email Address: sbadriano@mandeladriano.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card

☐ Authorized to be charged to deposit account

☒ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number _____

08/14/2008 M10M01

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Authorized User Name _____

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9. Signature:

Sarah B. Adriano

Signature

August 11, 2008

Date

Sarah B. Adriano

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 9

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 021400 FRAME: 0751

ASSIGNMENT

GTRC Ref. 3615

M&A Ref. 30845.6US11

For good and valuable consideration, the receipt of which is hereby acknowledged,
ASSIGNOR (S),

1. Niren Murthy
2. Michael J. Heffernan
3. Stephen C. Yang

hereby sell, assign and transfer to ASSIGNEE, Georgia Tech Research Corporation, a Georgia corporation, having its statewide administrative offices located at 505 Tenth Street, NW, Atlanta, GA 30332, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**NOVEL STRATEGIES FOR DELIVERY OF ACTIVE AGENTS USING MICELLES
AND PARTICLES**

and which is found in

- (a) _____ U.S. patent application executed _____, entitled as
above and listing the above-named persons as inventors
- (b) X U.S. application serial no. 12/075,667, filed on March 12, 2008
- (c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters patent and all rights under all International Conventions for the Protection of Industrial Property:

ASSIGNOR (S) hereby covenants that no assignment, sale agreement or encumbrance has been or will be made or entered into which would conflict with his assignment:

ASSIGNOR (S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments, or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

And the ASSIGNOR (S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, Georgia Tech Research Corporation.

executed this

Signature of Inventor (s)

_____ day of _____, 2008

7th day of July, 2008

_____ day of _____, 2008

Niren Murthy



Michael J. Heffernan

Stephen C. Yang

ASSIGNOR (S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments, or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

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Signature of Inventor (s)

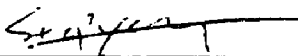
_____ day of _____, 2008

Niren Murthy

_____ day of _____, 2008

Michael J. Heffernan

7th day of July, 2008



Stephen C. Yang

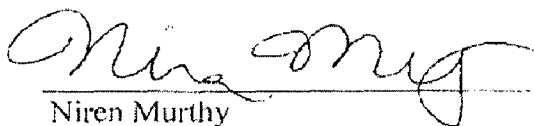
ASSIGNOR (S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments, or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

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executed this

Signature of Inventor (s)

August 11/2008,
_____ day of _____, 2008


Niren Murthy

_____ day of _____, 2008

Michael J. Heffernan

_____ day of _____, 2008

Stephen C. Yang