Substitute Form PTO-1595 Attorney Docket No.: 23238-0029001 Client's Ref. No.: NANO 02-40122

## RECORDATION FORM COVER SHEET **PATENTS ONLY**

| Commissioner for Patents: Please record the attached original document(s) or copy(ies).  |  |
|--|--|
| Name of conveying party(ies):  | Name and address of receiving party(les):  |
| Jian Jim Wang  | NanoOpto Corporation   |
| Additional name(s) attached? ☐ Yes 図 No  | 1600 Cottontail Lane   |
| 3. Nature of conveyance:   | Somerset, NJ 08873-5117  |
| <ul> <li>☑ Assignment</li> <li>☑ Merger</li> <li>☑ Security Agreement</li> <li>☑ Change of Name</li> <li>☑ Other:</li> </ul>   |  |
| Execution Date: 08/18/2008   | Additional names/addresses attached? ☐ Yes ☑ No  |
| 4. Application number(s) or patent number(s):  |  |
| If this document is being filed with a new application, the execution date of the application is:  |  |
| A. Patent Application No(s).:  | B: Patent No(s).:  |
| 10/463,279   | 7,386,205  |
| Additional numbers attached? ☐ Yes ☑ No  |  |
| <ol><li>Name/address of party to whom correspondence concerning<br/>document should be mailed:</li></ol>   | 6. Total number of applications/patents involved: 1                                      |
| CHRIS C. BOWLEY  | 7. Total fee (37 CFR §3.41): \$40  |
| Fish & Rìchardson P.C.<br>Citigroup Center   | ☐ Enclosed ☑ Authorized to charge Deposit Account.                                       |
| 52nd Floor   | 8. Deposit Account No.: 06-1050  |
| 153 East 53rd Street<br>New York, New York 10022-4611  | Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050. |
| DO NOT USE THIS SPACE  |  |
|  |  |
| 9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. |  |
| Chris C. Bowley Reg. No. 55,016 Name of Person Signing  Signature  Signature  Date   |  |
| Total number of pages including coversheet, attachments and document: 3  |  |
|  |  |

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## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Fatent and Trademark Office on the date indicated below.

August 20, 2008
Date of Transmission

Susan Powell
Typed Name of Person Signing Certificate

**PATENT** 

**REEL: 021420 FRAME: 0636** 

700381928

Attorney Docket No.: 23238-029001

## CONFIRMATORY ASSIGNMENT

This assignment is effective July 16, 2007.

For valuable consideration, I, JIAN JIM WANG, of 5923 Derick Drive, Orefield, PA 18069, hereby assigned to NANOOPTO CORPORATION, a corporation of Delaware, having a place of business at 1600 Cottontail Lane, Somerset, NJ 08873-5117, and its successors and assigns (collectively hereinafter called "the Assignee"), my entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled OPTICAL DEVICE AND METHOD FOR MAKING THE SAME, filed June 17, 2003, and assigned U.S. Serial No. 10/463,279, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said applications under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorized the Assignee to apply in all countries in my name or in its own name for patents, utility models. design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agreed for myself and my heirs, legal representatives and assigns, without further compensation (other than payment of expenses) to sign such further applications, assignments and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Assignment Page 1 of 2

PATENT REEL: 021420 FRAME: 0637

Attorney Docket No.: 23238-029001

DATE: 8 (8/2008 JIAN JIM

STATE OF NUNTUSE/
COUNTY OF Some Set

On August 18th 2008, before me, the undersigned, a notary public for the State of Now Jacky, there personally appeared Jian Warp personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to this Assignment, who acknowledged having executed the same in his authorized capacity and that by his signature on this Assignment, the person or the entity upon behalf of which he acted, executed this Assignment.

WITNESS my hand and official seal.

Notary Public

MATTHEW SCHORR NOTARY PUBLIC OF NEW JERSEY MY COMMISSION EXPIRES NOV 07 2011

23238-029001 wang assignment.doc

RECORDED: 08/20/2008

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