

Form PTO-1595

RECORDATION FORM COVER SHEET

Docket No. 08387/LH

PATENTS ONLY

To the Director U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kiyoshi YAMAUCHI  
Kouji MORI  
Shuzou YAMASHITA

2. Name and address of receiving party(ies):

NEC TOKIN CORPORATION  
7-1, KORIYAMA 6-CHOME  
TAIHAKU-KU, MIYAGI, SENDAI-SHI  
JAPAN

JAPAN STENT TECHNOLOGY CO., LTD.  
5303, HAGA  
OKAYAMA, OKAYAMA-SHI, JAPAN

3. Nature of conveyance: - Corrective Assignment  
To add an omitted Assignee  
Reel/Frame: 016516/0334

Execution Date(s): April 14, 2005, April 21, 2005, April 21, 2005, \_\_\_\_\_,  
\_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_,  
\_\_\_\_\_ respectively.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is as follows:

\_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_,  
\_\_\_\_\_ respectively.

A. Patent Application No. (s)

11/071,516

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be returned:

FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.  
220 FIFTH AVENUE, 16th Floor  
New York, New York 10001-7708  
Fax: (212) 319-5101

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41): \$ 40.00

Credit Card form PTO-2038 enclosed.

Charge Deposit Account No. 06-1378 for any fees not covered by PTO-2038 Form..

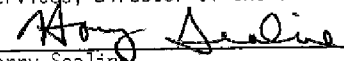
8. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dated: August 27, 2008

By:   
Leonard Holtz, Reg. No. 22,974

I hereby certify that this correspondence is being transmitted via facsimile to No. 1-571-273-0140 to: Assignment Recordation Services, Director of the US Patent and Trademark Office on the date noted below.

  
Harry Sealine

Dated: August 27, 2008

Total number of pages comprising cover sheet: 9

OP \$40.00 11071516

05-06-2005

Form PTO-1595 (Rev. 06/04)  
OMB No. 0651-0027 (exp. 6/30/2005)



U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

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PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Kiyoshi Yamauchi  
Kouji Mori  
Shuzou Yamashita

Execution Date(s) 04/14/2005, 04/21/2005

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: Japan Stent Technology Co., Ltd.

Internal Address: \_\_\_\_\_

Street Address: 5303, Haga

City: Okayama

State: Okayama-shi

Country: Japan

Zip: \_\_\_\_\_

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

11/071,516

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Bradley N. Ruben

Internal Address: Suite 5A

Street Address: 463 First St.

City: Hoboken

State: New Jersey Zip: 07030-1859

Phone Number: 201-239-0707

Fax Number: 201-239-0734

Email Address: mail@rubenpatent.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
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- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
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b. Deposit Account Number 502144

Authorized User Name Bradley N. Ruben

9. Signature:

Bradley N. Ruben  
Signature

2 May 2005  
Date

Bradley N. Ruben

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

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05/05/2005 GTDN11 00000027 11073516

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PATENT  
REEL: 021457 FRAME: 0849

**ASSIGNMENT**

This assignment, by KIYOSHI YAMAUCHI, KOUJI MORI and  
SHUZOU YAMASHITA

(hereinafter collectively the "assignor"), witnesseth:

WHEREAS, the assignor is the sole owner of an invention entitled

BALLOON EXPANDABLE SUPERELASTIC STENT

as set forth in an application for Letters Patent of the United States (1) filed herewith or  
(2) accorded serial number 11/071,516 and filed on March 3, 2005;  
and

WHEREAS, NEC TOKIN Corporation, 7-1, Koriyama 6-chome, Taihaku-ku,  
Sendai-shi, Miyagi, Japan; and

Japan Stent Technology Co., Ltd., 5303, Haga, Okayama-shi, Okayama, Japan

(hereinafter the "assignee"), has agreed to accept an interest in any and all income,  
from sales, licenses, or otherwise, generated from said invention and said patent in  
exchange for its fees for prosecution of the application;

NOW, THEREFORE, for valuable consideration, receipt of which is hereby  
acknowledged, the assignor hereby sells, assigns, transfers, and sets over, unto the  
assignee, its successors, legal representatives, and assigns, the entire right, title, and  
interest in and to the abovementioned inventions, application, and any and all Letters  
Patent or Patents in the United States of America and all foreign countries which may  
be granted therefor and thereon, and in and to any and all divisions, continuations,  
and continuations-in-part of said application, or reissues or extensions thereof, and to  
invoke and claim for any application for patent or other form of protection for said  
invention(s) filed by it or them, the benefit of all rights under the International  
Convention for the Protection of Industrial Property, as amended, or by any

convention which may henceforth be substituted for it, the same to be held and enjoyed by the assignee, for its own use and behoof and that of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor had this transfer not been made.

AND for the same consideration, the said assignor hereby covenants and agrees to and with the said assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the said assignor is the sole and lawful owner of the entire right, title, and interest in and to the said invention(s) and the application(s) and/or patent(s) above mentioned, and that the same are unencumbered and that the said assignor have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignor hereby covenants and agrees to and with the said assignee, its successors, legal representatives, and assigns, whenever counsel of any of the same shall advise that any proceeding in connection with said inventions or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters Patent, or any reissue or extension of any Letters Patent for said inventions in any country to be obtained thereon is lawful and desirable, that they shall sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent for said inventions, without charge to the assignee, its successors, legal representatives, and assigns, but at the cost and expense of the assignee, its successors, legal representatives, and assigns.

AND the said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said invention(s) and the Letters Patent to be issued thereon for the sole use and behoof of the assignee, its successors, legal representatives, and assigns.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefits provided under the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

I covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

Date: 04/14/2005 Signature of Assignor: Kiyoshi Yamachi  
 KIIYOSHI YAMAUCHI

Date: 04/21/2005 Signature of Assignor: Kouji Mori  
 KOUJI MORI

Date: 04/21/2005 Signature of Assignor: Shuzou Yamashita  
 SHUZOU YAMASHITA

Date: \_\_\_\_\_ Signature of Assignor: \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor: \_\_\_\_\_

Date: \_\_\_\_\_ Signature of Assignor: \_\_\_\_\_