

## PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Security Agreement
CONVEYING PARTY DATA	
Name	Execution Date
Noetix Corporation	09/11/2007
RECEIVING PARTY DATA	
Name:	SILICON VALLEY BANK
Street Address:	3003 Tasman Drive
City:	Santa Clara
State/Country:	CALIFORNIA
Postal Code:	95054
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	09123729
Application Number:	10421532
CORRESPONDENCE DATA	
Fax Number:	(866)459-2899
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	202-783-2700
Email:	Oleh.Hereliuk@federalresearch.com
Correspondent Name:	CBCInnovis dba Federal Research
Address Line 1:	1023 Fifteenth Street, NW, Ste 401
Address Line 2:	attn: Oleh Hereliuk
Address Line 4:	Washington, DISTRICT OF COLUMBIA 20005
ATTORNEY DOCKET NUMBER:	421190
NAME OF SUBMITTER:	Oleh Hereliuk
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**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Noetix Corporation

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) September 11, 2007

- ☐ Assignment ☐ Merger  
☒ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: SILICON VALLEY BANK

Internal Address: \_\_\_\_\_

Street Address: 3003 TASMAN DRIVE

City: SANTA CLARA

State: CALIFORNIA

Country: USA Zip: 95054

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

09/123,729; 10/421,532

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Mr. Oleh Hereliuk

Internal Address: c/o FEDERAL RESEARCH CORPORATION

Street Address: 1023 Fifteenth Street NW

SUITE 401

City: WASHINGTON

State: DC Zip: 20005

Phone Number: 202-783-2700

Fax Number: 202-783-0145

Email Address: Oleh.Hereliuk@federalresearch.com

**6. Total number of applications and patents involved:** Two

**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ 80.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Catherine C. Fisk  
Signature

August 28, 2008

Date

Catherine C. Fisk  
Name of Person Signing

Total number of pages including cover  
sheet, attachments, and documents:

15

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

## INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement dated September 11, 2007 is between SILICON VALLEY BANK ("Bank") and NOETIX CORPORATION ("Grantor").

### RECITALS

A. Bank will make advances to Grantor ("Loans") as described in the Loan and Security Agreement of approximate date herewith (the "Loan Agreement"), subject to, among other things, Grantor granting to Bank a security interest in its Copyrights, Trademarks, Patents, and Mask Works (as each of such terms is defined on Schedule I attached hereto).

B. Grantor has granted Bank a security interest in all of its right, title and interest, presently existing or later acquired to all the Collateral.

### AGREEMENT

1. Grantor grants Bank a security interest in all of its right, title and interest in its intellectual property collateral (such as the Copyrights, Patents, Trademarks and Mask Works, (as specifically listed on Schedules A, B, C, and D hereto), and all proceeds (such as license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements rights throughout the world and all re-issues, divisions continuations, renewals, extensions and continuations-in-part.

2. This security interest is granted in conjunction with the security interest granted under the Loan Agreement. Bank's rights and remedies in the security interest are in addition to those in the Loan Agreement and the other Loan Documents, and those available in law or equity. Bank's rights, powers and interests are cumulative with every right, power or remedy provided here. Bank's exercise of its rights, powers or remedies in this Agreement, the Loan Agreement or any other Loan Document, does not preclude the simultaneous or later exercise of any or all other right, power or remedy.

Grantor:

NOETIX CORPORATION

By 

Title:

CFO

Bank:

SILICON VALLEY BANK

By 

Title:

Relationship Manager

Schedule I

"**Copyrights**" are all copyright rights, applications or registrations and like protections in each work or authorship or derivative work, whether published or not (whether or not it is a trade secret) now or later existing, created, acquired or held.

"**Patents**" are patents, patent applications and like protections, including improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same.

"**Trademarks**" are trademark and service mark rights, registered or not, applications to register and registrations and like protections, and the entire goodwill of the business of Assignor connected with the trademarks.

"**Mask Works**" are all mask works or similar rights available for the protection of semiconductor chips, now owned or later acquired.

EXHIBIT A

Copyrights

Description

Registration/  
Application  
Number

Registration/  
Application  
Date

EXHIBIT B

Patents/Patent Applications

Description	Registration/ Application <u>Number</u>	Registration/ Application <u>Date</u>
<u>Distributed Data Warehouse Query and Resource Management System</u>	09/123,729	Registration date 7/28/98
<u>Computer Implemented System and Method for the Generation of Data Access Applications</u>	10/421,532	Application date 4/22/03

EXHIBIT C

Trademarks

Description

Registration/  
Application  
Number

Registration/  
Application  
Date



Thursday, August 23, 2007

## Trademark List by Country

Page: 1

Country: Australia

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
NOETIX	352513-910302/AU/	Registered 09,42	925219 29-Aug-2002	925219 14-Apr-2003

*Owner Name:* Noetix Corporation

*Goods:* Class 9: Computer software including software used to create business intelligence infrastructure for access to computer databases.

Class 42: Computer consultancy services; computer and computer systems designs services; computer programming; computer system integration services.

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# Trademark List by Country

Page: 2

Country: Canada

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
NOETIX	352513-910502/CA/	Registered	1115864 18-Sep-2001	TMA619478 14-Sep-2004

**Owner Name:** Noetix Corporation

**Goods:** Computer software used to create business intelligence infrastructure for access to computer databases.

Computer consultancy services; computer and computer systems design services; computer programming;  
computer system integration services.

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## Trademark List by Country

Page: 3

Country: European Community

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
NOETIX	352513-910102/EM/	Registered 09,42	2369809 10-Sep-2001	2369809 14-May-2003

**Owner Name:** Noetix Corporation

**Goods:** Class 9: Computer software used to create business intelligence infrastructure for access to computer databases.

Class 42: Computer consultancy services; computer and computer systems designs services; computer programming; computer system integration services.

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## Trademark List by Country

Page: 4

Country: India

Trademark	Client-Matter/Sub Case	Status Class(es)	Application Number/Date	Registration Number/Date
NOETIX	352513-910702/IN/	ALLOWED 09,42	1240229 29-Sep-2003	

*Owner Name:* Noetix Corporation

*Goods:* Class 9: Computer software.

Class 42: Computer consulting services; computer and computer systems design services; computer programming; computer system integration services.

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# Trademark List by Country

Page: 5

Country: New Zealand

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
NOETIX	352513-910202/NZ/	Published 09,42	769364 30-May-2007	

*Owner Name:* Noetix Corporation

*Goods:* Class 9: Computer software programs.

Class 42: Computer consultation and system integration services.

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# Trademark List by Country

Page: 6

Country: Singapore

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
NOETIX	352513-910402/SG/	Registered 42	T02/136101 04-Sep-2002	T02/136101 04-Sep-2002
<i>Owner Name:</i> Noetix Corporation				
<i>Goods:</i> Class 42: Computer consultancy services; computer and computer systems designs services; computer programming; computer system integration services.				
NOETIX	352513-910403/SG/	Registered 09	T02/13609E 04-Sep-2002	T02/13609E 04-Sep-2002
<i>Owner Name:</i> Noetix Corporation				
<i>Goods:</i> Class 9: Computer software.				

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# Trademark List by Country

Page: 7

Country: United Arab Emirates

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
NOETIX	352513-910602/AE/	Pending 09	76246 25-Dec-2005	

*Owner Name:* Noetix Corporation

*Goods:* Class 9: Computer software.

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## Trademark List by Country

Page: 8

Country: United States of America

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
NOETIX	352513-900113/US/	Pending 42	77/196959 04-Jun-2007	
<i>Owner Name:</i> Noetix Corporation				
<i>Goods:</i> Class 42: Computer consultation and system integration services.				
NOETIX	352513-900112/US/	Registered 09	75/279965 23-Apr-1997	2430186 20-Feb-2001
<i>Owner Name:</i> Noetix Corporation				
<i>Goods:</i> Class 9: Computer software, namely, programs that enhance performance and usability of databases, and software manuals sold as a unit therewith.				
NOETIX & DESIGN	352513-900107/US/	Registered 09	76/129588 15-Sep-2000	2590758 09-Jul-2002
<i>Owner Name:</i> Noetix Corporation				
<i>Goods:</i> Class 9: Computer software programs for use in extraction, manipulation and presentation of data from database management systems, including definition and description of physical data (meta-data), connectivity to multiple and disparate database management systems and presentation of data using various delivery formats such as XML, WAP, WML and other standard formats.				
NOETIX QUERY SERVER	352513-900109/US/	Registered 09	76/064769 07-Jun-2000	2558047 09-Apr-2002
<i>Owner Name:</i> Noetix Corporation				
<i>Goods:</i> Class 9: Computer software, namely, programs that enhance performance and usability of databases by integrating data from multiple servers, managing access to multiple databases and simplifying reporting in a corporate computer environment.				
NOETIX WEB QUERY	352513-900110/US/	Registered 09	76/080104 29-Jun-2000	2558095 09-Apr-2002
<i>Owner Name:</i> Noetix Corporation				
<i>Goods:</i> Class 9: Computer software, namely, software that provides users with secure reports retrieved from data from multiple databases, and that runs and views live reports over the worldwide interactive computer network.				
NOETIXDW	352513-900108/US/	Registered 09	76/064768 07-Jun-2000	2558046 09-Apr-2002
<i>Owner Name:</i> Noetix Corporation				
<i>Goods:</i> Class 9: Computer software, namely, software that manages data warehouse design and reporting, and corporate storage of information on computers.				



Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
NOETIXVIEWS	352513-900105/US/	Registered 09	75/279963 23-Apr-1997	2172653 14-Jul-1998

*Owner Name:* Noetix Corporation

*Goods:* Class 9: Computer software, namely, programs that enhance performance and usability of databases, and software sold as a unit therewith.

EXHIBIT D

Mask Works

Description

Registration/  
Application  
Number

Registration/  
Application  
Date

none