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1. Name of conveying party(ies)/Execution Date(s):

Mahmoud R. Shahriari

Execution Date(s) July 18, 2008

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Ocean Optics, Inc.

Internal Address: _____

Street Address: 830 Douglas Ave.

City: Dunedin

State: Florida

Country: US Zip: 34698

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

12/220,046

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Dennis L. Cook, Esq.

Internal Address: Law Offices of

Dennis L. Cook, PLLC

Street Address: 10004 Marathon Court

City: Tampa

State: Florida Zip: 333615

Phone Number: 813-814-1141

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6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment information

a. Credit Card Last 4 Numbers 8013

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9. Signature:



Signature

Date

Dennis L. Cook

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
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PATENT
REEL: 021498 FRAME: 0204

Attorney Docket No. DCP0003-D7UT

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

I, the undersigned (each) have agreed and hereby agree to assign for good and valuable consideration, receipt of which is hereby expressly acknowledged, and do hereby sell, assign and transfer unto

OCEAN OPTICS, INC.

a corporation organized under the laws of the State of Florida as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

PATCHES FOR NON-INTRUSIVE MONITORING OF OXYGEN IN PATCHES

described in an application for Letters Patent of the United States, identified as Attorney Docket No. DCP0003-07UT, at the Law Offices of Dennis L. Cook, P.L.L.C., 19004 Marathon Court, Tampa, Florida 33615, and filed on 7-21-2008, as Application Serial No. 12/220,046, and the invention set forth therein, for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. I request that any and all Letters Patent for said invention be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

I agree that, when requested, we will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining a patent for said invention in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

I authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said invention filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

I hereby authorize **Dennis L. Cook, and all attorneys for Assignee**, to insert the filing date and Serial number into the first paragraph of this assignment, after the application for Letters Patent has been filed, and the U.S. Patent Office has assigned such application a Serial Number.

I covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, I have hereunto signed my name on the day and year set forth below.

M. R. Shah
INVENTOR (Signature)

7-18-08
DATE

Mahmoud R. Shahriari

(Inventor's Typed Name)

STATE OF Florida)
) SS
COUNTY OF Pinellas)

On this 18th day of July, 2008, before me personally came the above named Mahmoud R. Shahriari who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



ELLEN HUNTER
MY COMMISSION # DD 588004
EXPIRES: December 9, 2010
Bonded Thru Budget Notary Services

NOTARY PUBLIC

My Commission Expires: 12/9/2010