

PATENT ASSIGNMENT

Electronic Version v1.1

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SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
Name		Execution Date
Allan T. Chiulli		09/02/2008
Tom H. Warren		09/02/2008
RECEIVING PARTY DATA		
Name:	UAT, INC.	
Street Address:	7375 South Peoria Street, Bldg. 9	
Internal Address:	Suite 206, Box B-10	
City:	Englewood	
State/Country:	COLORADO	
Postal Code:	80112	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	12140047	
CORRESPONDENCE DATA		
Fax Number:	(202)551-1705	
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>		
Phone:	202 551 1700	
Email:	prosecutiondocketing@paulhastings.com	
Correspondent Name:	PAUL, HASTINGS, JANOFKY & WALKER LLP	
Address Line 1:	875 15th Street, NW	
Address Line 4:	Washington, DISTRICT OF COLUMBIA 20005	
ATTORNEY DOCKET NUMBER:	UAT0003-US-CIP	
NAME OF SUBMITTER:	Michael Bednarek	
<p>Total Attachments: 5</p> <p>source=UAT0003-US-CIP-Assignment#page1.tif</p> <p>source=UAT0003-US-CIP-Assignment#page2.tif</p>		

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ASSIGNMENT

WHEREAS, Allan T. Chiulli, residing at 3926 East Garnet Lane, Highlands Ranch, Colorado 80126; and Tom H. Warren, residing at 4160 E. Linden Circle, Greenwood Village, Colorado 80121; (hereinafter "Assignors") have invented certain new and useful improvements in

**SYSTEM AND METHOD FOR OPTIMIZING THE BROKER SELECTION PROCESS
TO MINIMIZE TOTAL EXECUTION COST OF SECURITIES TRADES**

for a full description of which, reference is here made to an application for Letters Patent of the United States of America

- ☐ Executed concurrently herewith
- ☐ Executed on
- ☒ Serial. No. 12/140,047 filed JUNE 16, 2008

in the U.S. Patent and Trademark Office and to U.S. provisional patent application(s)

- ☒ Serial. No. 60/791,209, filed APRIL 12, 2006
- ☒ Serial. No. 60/899,393, filed FEBRUARY 5, 2007
- ☒ Serial. No. 60/945,196, filed JUNE 20, 2007

in the U.S. Patent and Trademark Office; and

WHEREAS, UAT, Inc., a corporation of the State of Delaware, having its principal office and place of business at 7375 South Peoria Street, Bldg. 9, Suite 206, Box B-10, Englewood, CO 80112 (hereinafter "Assignee") is desirous of acquiring the entire right, title, and interest in, to, and under said invention and application above identified, and in, to, and under any Letters Patent that may be obtained for said invention, together with all foreign rights corresponding thereto, as hereinafter more fully set forth.

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NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that, for good and valuable and legally sufficient consideration, the receipt of which by each Assignor from Assignee is hereby acknowledged, Assignors have agreed to sell, assign, and transfer and by these presents does hereby, sell, assign, and transfer unto Assignee the entire right, title and interest in, to, and under said invention and application above identified and Letters Patent of the United States of America that may be obtained in respect thereof; any corresponding applications for Letters Patent and Letters Patent therefor in all other areas of the world; and any reissues, extensions, substitutions, confirmations, divisions, and continuations of any of the foregoing (hereinafter "Invention Rights"), to have and to hold for the sole and exclusive use and benefit of Assignee forever.

Assignors hereby covenant and agree, for themselves and for their respective legal representatives, to assist and cooperate with Assignee in the preparation and prosecution of any applications included within the Invention Rights and in the prosecution or defense of any interference, opposition, or other proceeding that may arise in connection with any applications or Letters Patent included within the Invention Rights and further to execute and deliver to Assignee any and all additional papers that may be requested by Assignee for the purpose of implementing the terms of this ASSIGNMENT.

Assignors hereby authorize and empower Assignee to invoke and claim for any applications or Letters Patent included within the Invention Rights the benefit of any rights to which Assignors might be entitled under international law or under the laws of any particular country (such as, without limitation, the right of priority provided by the International Convention for the Protection of Industrial Property, as amended) and to invoke and claim said rights without further written or oral authorization from Assignors.

Assignors hereby consent that a copy of this ASSIGNMENT shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document that

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may be required in any particular country for any purpose and more particularly in proof of the right of Assignee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended.

Assignors covenant and agree that this ASSIGNMENT and all of the terms hereof shall inure to the benefit of the successors, assigns, legal representatives, or nominees of Assignee, without further written or oral authorization from Assignors.

Assignors hereby authorize and request Assignee to fill in the following blanks specifying the United States Serial No. and filing date for said application above identified:

U.S. Serial No.: 12/140,047

Filed: JUNE 16, 2008

but Assignee's failure to do so shall have no bearing whatsoever upon the validity or legal effect of this ASSIGNMENT.

Assignors hereby authorize and request the Commissioner of Patents and the United States Patent and Trademark Office to issue Letters Patent to Assignee in accordance with the terms of this ASSIGNMENT.

ASSIGNMENT

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IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand this
2nd day of Sept, 2008

SIGNATURE: _____

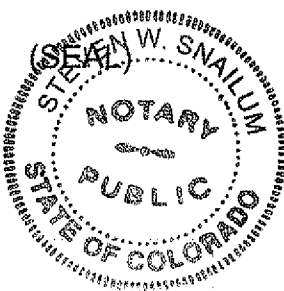
NAME: **ALLAN T. CHIULLI**

STATE OF Colorado

COUNTY OF Douglas

I, Steven W Snailum, a Notary Public in and for the County
and State aforesaid, do hereby certify that **ALLAN T. CHIULLI** personally known to me
to be the same person whose name is subscribed to the foregoing instrument, appeared
before me this day in person and acknowledged that he/she signed, sealed, and
delivered the said instrument as his/her free and voluntary act for the use and purposes
therein set forth.

2nd IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this
day of September, 2008.



My Commission Expires 1/23/2011

Steven W. Snailum
Notary Public

ASSIGNMENT

DOCKET NO. UAT0003-US-CIP

IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand this
2nd day of September, 2008.

SIGNATURE: _____

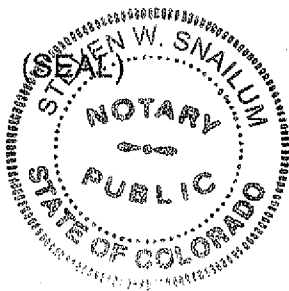
NAME: **TOM H. WARREN**

STATE OF Colorado

COUNTY OF Douglas

I, Steven W. Snailum, a Notary Public in and for the County and State aforesaid, do hereby certify that **TOM H. WARREN**, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he/she signed, sealed, and delivered the said instrument as his/her free and voluntary act for the use and purposes therein set forth.

2nd IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this
day of September, 2008.



Notary Public

My Commission Expires 1/23/2011