

PATENT ASSIGNMENT

Electronic Version v1.1

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
NOVARTIS VACCINES AND DIAGNOSTICS, INC.	09/18/2008
RECEIVING PARTY DATA	
Name:	NOVARTIS AG
Street Address:	Lichtstrasse 35
City:	4056 Basel
State/Country:	SWITZERLAND
PROPERTY NUMBERS Total: 2	
Property Type	Number
PCT Number:	US0707115
Application Number:	60785234
CORRESPONDENCE DATA	
Fax Number:	(510)923-3542
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	(510)923-2192
Email:	kara.shure@novartis.com
Correspondent Name:	Helen Lee
Address Line 1:	4560 Horton Street
Address Line 2:	Corporate Intellectual Property - X100B
Address Line 4:	Emeryville, CALIFORNIA 94662-8097
ATTORNEY DOCKET NUMBER:	PP028055.0003
NAME OF SUBMITTER:	Kara Shure
Total Attachments: 3 source=ASSG Cov Sheet - NVD INC to AG#page1.tif source=ASSG NVD INC to NVD AG#page1.tif source=ASSG NVD INC to NVD AG#page2.tif	

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PATENT

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RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Atty Docket No.: PP028055.0003

To the Director of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

NOVARTIS VACCINES AND DIAGNOSTICS, INC.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)
Name:

NOVARTIS AG
Lichtstrasse 35
4056 Basel
Switzerland

☐ Other

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement ☐ Other _____
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License

Execution Date(s): 09/18/2008

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____.

A. Patent Application No.(s)

US 60/785,234

PCT/US2007/007115

B. Patent No.(s)

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

NOVARTIS VACCINES AND DIAGNOSTICS, INC.
Corporate Intellectual Property - X 100B
P.O. Box 8097
Emeryville, CA 94662-8097
US

6. Total number of applications and patents involved 2

7. Total fee (37 CFR 3.41)..... \$80.00

☐ Enclosed

☒ Authorized to be charged to deposit account,

8. Deposit account number: 03-1664

(Attach duplicate copy of this page if paying by deposit account)

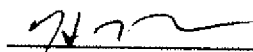
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Helen Lee, Reg. No. 39,270

Name of Person Signing



Signature

18 September 2008

Date

Total number of pages including cover sheet, attachments, and document: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT

WHEREAS, NOVARTIS VACCINES AND DIAGNOSTICS, INC., with a place of business at 4560 Horton Street, Emeryville, California, USA, a corporation of the State of Delaware, hereinafter referred to as *ASSIGNOR*, has rights by assignment to the invention described and set forth in the below-identified application for United States Letters Patent, hereinafter referred to as the *APPLICATION*:

Title of Invention: REGIMENS FOR IMMUNISATION WITH
MENINGOCOCCAL CONJUGATES

Date of Execution:

Priority Data:	<u>Application No.</u>	<u>Filing Date</u>
	US 60/785,234	March 22, 2006
	PCT/US2007/007115	March 22, 2007
	US 11/726,656	March 22, 2007

WHEREAS, NOVARTIS AG, with a place of business at Lichtstrasse 35, CH-4056 Basel, Switzerland, which along with its successors and assigns shall be hereinafter referred to as *ASSIGNEE*, is desirous of acquiring an equal and undivided interest of the entire right, title and interest in and to the said *APPLICATION*, (including rights under the Paris Convention for the Protection of Industrial Property) which may exist or be granted on the same throughout the world, hereinafter referred to as the *PROPERTY*;

NOW, THEREFORE, ASSIGNOR sells and assigns all its right, title and interest in and to the said *PROPERTY* to the said *ASSIGNEE*.

ASSIGNOR further agrees to execute any further instruments necessary to effect this assignment.

IN TESTIMONY WHEREOF, **ASSIGNOR'S** Representative has hereunto
signed to this assignment on the date indicated below.

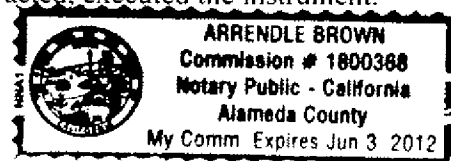
NOVARTIS VACCINES AND DIAGNOSTICS, INC.

Date: 18 September 2008 By: [Signature]
Helen Lee
Assistant Secretary

State of California)
)
County of Alameda)

On 9/16/08 before me, ARRENDLE BROWN, **Notary Public**,
personally appeared **Helen Lee**, personally known to me to be the person whose name
is subscribed to the within instrument and acknowledged to me that she executed the
same in her authorized capacity, and that by her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



[Signature]
Signature of Notary Public

Place Notary Seal Above