

Attorney Docket No. GE230315

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
USPTO-Assignment Branch Fax: 571-273-0140

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)
 Daniel Vern Jones
 James Harvey Lafien
 Richard William Albrecht
 Dustin Alfred Placke
 Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
 Name: General Electric Company
 Internal Address: _____
 Street Address: _____
One River Road
 City: Schenectady
 State: NY
 Country: US Zip: 12345
 Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):
 Execution Date(s) 09/18/2008
 Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other _____


4. Application or patent number(s): This document is being filed together with a new application.
 A. Patent Application No.(s)
12/233,847
 Additional numbers attached? Yes No

B. Patent No.(s)

5. Name and address to whom correspondence concerning document should be mailed:
 Name: General Electric Company
 Internal Address: Attn: Andrew C. Hess
 Street Address: M/D H-17
One Neumann Way
 City: Cincinnati
 State: OH Zip: 45215-6301
 Phone Number: 513-243-9869
 Fax Number: 513-243-9824
 Email Address: Andrew.Hess@GE.com

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
 Authorized to be charged by credit card
 Authorized to be charged to deposit account
 Enclosed PTO/SB/17
 None required (government interest not affecting title)

8. Payment Information
 a. Credit Card Last 4 Numbers _____
 Expiration Date _____
 b. Deposit Account Number 07-0865
 Authorized User Name William S. Andes

9. Signature:  09/19/2008
 Signature Date
Francis L. Conte
 Name of Person Signing
 Total number of pages including cover sheet, attachments, and documents: **9**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 070865 12233847

Attorney Docket No. GE230315

Form PTO-1595
(Rev. 03/01)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

PATENTS ONLY

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ching-Pang Lee

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address:

Street Address: One River Road

City: Schenectady State: NY Zip: 12345

Additional name(s) & address(es) attached? Yes No

DOCKET NUMBER: GE230315

ASSIGNMENT

In accordance with my/our obligations under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between the General Electric Company, a New York Corporation, and my employer), and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

Daniel Vern	Jones	of	2826 Resor Road	Fairfield	OH	45014
James Harvey	Laflen	of	9853 Tollgate Lane	Cincinnati	OH	45242
Richard William	Albrecht	of	5 Park Meadows Court	Fairfield	OH	45014
Dustin Alfred	Placke	of	3527 Kroger Avenue	Cincinnati	OH	45226
Ching-Pang	Lee	of	12 Camargo Pines Lane	Cincinnati	OH	45243
		of				

hereby sell and assign to **General Electric Company, a New York Corporation**, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns my (our) entire respective right(s), title(s) and interest(s) in and to the invention and improvements invented and originated by me/us and described in provisional U.S. Patent Application No. _____; and in the non-provisional application for United States Patent currently entitled:

Dual Stage Turbine Shroud

executed concurrently herewith, or

filed on _____ having Application Serial Number _____

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

Assignment


Page 1 of 6

For use by GE and GE Preferred Providers

© 1998-2005, 2006 General Electric Company Effective 1 January 2006 [Rev. 9 July 2007]

PATENT
REEL: 021560 FRAME: 0864

DOCKET NO: GE230315


Daniel Vern Jones

Date: 9-16-08

First Inventor

STATE OF OHIO

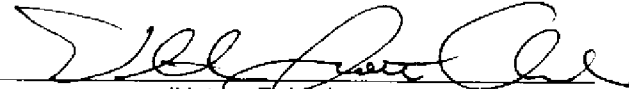
ss.

COUNTY OF HAMILTON

This 16th day of SEPTEMBER 2008 before me personally came the above-named

DANIEL VERN JONES, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal


(Notary Public)

WILLIAM SCOTT ANDES, Attorney at Law
Notary Public, State of Ohio
My Commission Has No Expiration Date
Section 147.03 O.R.C.

Assignment

Page 2 of 6

For use by GE and GE Preferred Providers

© 1998-2005, 2006 General Electric Company Effective 1 January 2006 [Rev. 9 July 2007]

DOCKET NO: GE230315

James Harvey Lafien
James Harvey Lafien
Second Inventor

Date: 9/18/08

STATE OF Ohio

ss.

COUNTY OF Hamilton

This 18th day of September, 2008 before me personally came the above-named James Harvey Lafien, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



Kimberly Howard
KIMBERLY HOWARD
Notary Public, State of Ohio
(Notary Public)
My Commission Expires October 24, 2011

Assignment
Page 3 of 6

DOCKET NO: GE230315

Richard William Albrecht
Richard William Albrecht

Date: 9-16-08

Third Inventor

STATE OF OHIO
COUNTY OF HAMILTON ss.

This 16th day of SEPTEMBER 2008 before me personally came the above-named RICHARD WILLIAM ALBRECHT, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

William Scott Andes
(Notary Public)

WILLIAM SCOTT ANDES, Attorney at Law
Notary Public, State of Ohio
My Commission Has No Expiration Date
Section 147.03 O.R.C.

Assignment
Page 4 of 6

DOCKET NO: GE230315

Dustin A. Placke

Dustin Alfred Placke

Date: 9-16-08

Fourth Inventor

STATE OF OHIO

ss.

COUNTY OF HAMILTON

This 16th day of SEPTEMBER, 2008 before me personally came the above-named

DUSTIN ALFRED PLACKE, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal


(Notary Public)

WILLIAM SCOTT ANDES, Attorney at Law
Notary Public, State of Ohio
My Commission Has No Expiration Date
Section 147.03 O.R.C.

Assignment
Page 5 of 6

DOCKET NO: GE230315

Ching-Pang Lee
Ching-Pang Lee

Date: 9-16-08

Fifth Inventor

STATE OF OHIO

ss.

COUNTY OF HAMILTON

This 16th day of SEPTEMBER, 2008 before me personally came the above-named

CHING-PANG LEE, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

William Scott Andes
(Notary Public)

WILLIAM SCOTT ANDES, Attorney at Law
Notary Public, State of Ohio
My Commission Has No Expiration Date
Section 147.03 O.R.C.

Assignment

Page 6 of 6

For use by GE and GE Preferred Providers

© 1998-2005, 2006 General Electric Company Effective 1 January 2006 [Rev. 9 July 2007]