# Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE:      | NEW ASSIGNMENT |  |
|-----------------------|----------------|--|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |  |

# **CONVEYING PARTY DATA**

| Name                 | Execution Date |
|----------------------|----------------|
| MARIO R. MAUTINO     | 11/05/2007     |
| NICHOLAS N. VAHANIAN | 11/06/2007     |
| WON-BIN YOUNG        | 11/10/2007     |
| GABRIELA ROSSI       | 11/06/2007     |
| CHARLES J. LINK JR   | 11/05/2007     |
| FIROZ JAIPURI        | 11/06/2007     |

# **RECEIVING PARTY DATA**

| Name:             | NEWLINK GENETICS                                |  |
|-------------------|---|--|
| Street Address:   | 2901 South Loop Drive, Bldg 3,                  |  |
| Internal Address: | lowa State University Research Park, Suite 3900 |  |
| City:             | Ames  |  |
| State/Country:    | IOWA  |  |
| Postal Code:      | 50010   |  |

### PROPERTY NUMBERS Total: 1

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 11977203 |

# **CORRESPONDENCE DATA**

Fax Number: (515)288-1338

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 515-288-3667
Email: patatty@ipmvs.com

Correspondent Name: McKee, Voorhees & Sease, PLC

Address Line 1: 801 Grand Avenue

Address Line 2: Suite 3200

Address Line 4: Des Moines, IOWA 50309-2721

ATTORNEY DOCKET NUMBER: P07704US01; N299-46

REEL: 021581 FRAME: 0167

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\$40,00

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# Total Attachments: 7 source=MAUTINO\_P07704US01 ASSIGN E-FILE 9-24-08jlb#page1.tif source=MAUTINO\_P07704US01 ASSIGN E-FILE 9-24-08jlb#page2.tif source=MAUTINO\_P07704US01 ASSIGN E-FILE 9-24-08jlb#page3.tif source=MAUTINO\_P07704US01 ASSIGN E-FILE 9-24-08jlb#page4.tif source=MAUTINO\_P07704US01 ASSIGN E-FILE 9-24-08jlb#page5.tif source=MAUTINO\_P07704US01 ASSIGN E-FILE 9-24-08jlb#page5.tif source=MAUTINO\_P07704US01 ASSIGN E-FILE 9-24-08jlb#page6.tif

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PATENT REEL: 021581 FRAME: 0168

### ASSIGNMENT

In consideration of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to **NEWLINK GENETICS**, an Iowa corporation, having an address of Iowa State University Research Park, 2901 South Loop Dr., Building 3, Suite 3900, Ames, Iowa 50010 its successors and assigns the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, Serial No. 11/977,203, filed in the United States Patent and Trademark Office on the 24th day of October , 2007, entitled **ENHANCED IMMUNOGENICITY OF TUMOR ASSOCIATED ANTIGENS BY ADDITION OF αGAL EPITOPES**, and in said application and any and all other applications for United States Letters Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent, applications, and in any reissue or extension thereof.

The undersigned hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to said NewLink Genetics.

For said considerations the undersigned hereby agrees, upon the request, and at the expense of said assignee, its successors and assigns, to execute any and all divisional continuation and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and for the said considerations the undersigned further agrees upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all

PATENT REEL: 021581 FRAME: 0169 affirmative acts to obtain said Letters Patent of the United States and vest all rights therein hereby conveying in the said assignee, its successors and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

And for said considerations the undersigned hereby assigns to said assignee, its successors and assigns, the entire right, title and interest in said invention or improvements for any and all foreign countries and agrees upon the request of assignee, its successors and assigns to execute any and all documents that undersigned shall be requested to execute in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to vest title in said foreign applications and patents in assignee.

| سرا ا       |       |
|-------------|-------|
| MARIO R. MA | UTINO |
|             |       |

STATE OF IOWA **COUNTY OF** 

On this 5 day of november, 2007, before me, a Notary Public in and for the County and State aforesaid, appeared MARIO R. MAUTINO, to me personally known to be the person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act for the uses and purposes therein expressed.

Witness my hand and seal the day and year last above give

STEPHANIE JOHNSON Commission Number 732487 My Commission Expires January 20, 2008

Suphanu Johnson
Notary Public

Commission Expires Jan. 20,2008

Value N. Value

STATE OF IOWA ) ss. **COUNTY OF** 

On this 6 day of November, 2007, before me, a Notary Public in and for the County and State aforesaid, appeared NICHOLAS N. VAHANIAN, to me personally known to be the person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act for the uses and purposes therein expressed.

Witness my hand and seal the day and year last above given.

Notary Public
Commission Expires March 28, 2009

| <b>70</b>                | (Now) Young    |
|--------------------------|----------------|
| STATE OF HOWA )          | WON-BIN YOUNG/ |
| COUNTY OF Alleghens) ss. |                |

On this 6th day of Woven between, 2007, before me, a Notary Public in and for the County and State aforesaid, appeared WON-BIN YOUNG, to me personally known to be the person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act for the uses and purposes therein expressed.

Witness my hand and seal the day and year last above given.

Notary Public

Commission Expires 09/02/2010

NOTARIALSEAL

LEE DOUGLASS, Notary Public City of Pittaburgh, Allegherry County My Commission Expires 9/2/2010

**GABRIELA ROSSI** STATE OF IOWA ) ss. **COUNTY OF** 

On this \_\_\_\_\_\_\_, day of \_\_\_\_\_\_\_, 2007, before me, a Notary Public in and for the County and State aforesaid, appeared GABRIELA ROSSI, to me personally known to be the person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act for the uses and purposes therein expressed.

Witness my hand and seal the day and year last above given.

Notary Public

Commission Expires March 28, 2009

|               |       | ( / C                |
|---------------|-------|----------------------|
|               |       | CHARLES J. LINK, JR. |
| STATE OF IOWA | )     |                      |
|               | ) ss. |                      |
| COUNTY OF     | )     |                      |

On this 5th day of November, 2007, before me, a Notary Public in and for the County and State aforesaid, appeared CHARLES J. LINK, JR., to me personally known to be the person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act for the uses and purposes therein expressed.

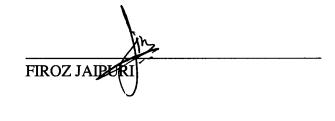
Witness my hand and seal the day and year last above given.

STEPHANIE JOHNSON Commission Number 732487 My Commission Expires January 20, 2008

Stynany Johnson

Notary Public

Commission Expires Jan .20,2008



STATE OF IOWA ) ss.
COUNTY OF )

Witness my hand and seal the day and year last above given.

SANDRA CARROLL
Notatiol Sect - Igno
Commission # 739893
My Commission Expires <u>D3-28-09</u>

**RECORDED: 09/24/2008** 

Notary Public

Commission Expires March 28, 2009