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SUBMISSION TYPE:			NEW ASSIGNMENT		
NATURE OF CONVEYANCE:			ASSIGNMENT		
CONVEYING PART	Υ DATA				
N			Name	Execution Date	
Jeffrey A. Ketterling				02/25/2008	
Mary Lizzi				02/25/2008	
RECEIVING PARTY	Ź DATA				
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Street Address:	156 William Street				
Internal Address:		9th. Floor			
City:	New York				
State/Country:		NEW YORK			
Postal Code:	10038				
Property Type			Number		
Application Number: 12102		12102	2517		
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Address Line 1: 2 Wall Street Address Line 4: New York, NEW YORK 10005					
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ATTORNEY DOCKET NUMBER:			RIV09.054		
NAME OF SUBMITTER:			Keith D. Nowak		
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## PATENT REEL: 021605 FRAME: 0427

PATENT REEL: 021605 FRAME: 0428

## ASSIGNMENT

THIS ASSIGNMENT, by Jeffrey A. Ketterling and Mary Lizzi (signing on behalf of Frederic L. Lizzi<sup>1</sup>) (hereinafter referred to as Assignors), residing at <u>21 West St, Apt. 24D, New York, NY 10006;</u> and <u>45 Lawrence Parkway, Tenafly, NJ 07670</u>, respectively;

WHEREAS, Assignors have invented certain new and useful improvements in set forth in a nonprovisional Patent application for Letters Patent of the United States, entitled, SYSTEM AND METHOD FOR DESIGN AND FABRICATION OF A HIGH FREQUENCY TRANSDUCER, filed on May 24, 2005 as U.S. Application No. 11/136,223; and

WHEREAS, Riverside Research Institute of <u>156 William Street</u>, 9<sup>th</sup> Floor, New York, NY 10038 (herein referred to as Assignee, is desirous of acquiring the entire right, title and interest in and to said invention and said Patent Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, Assignors, hereby sell, assign, transfer and set over and by these presents do sell, assign, transfer and set over, unto said Assignee, its successors, legal representatives and assigns, the entire right, title and interest for the United States and all other countries in and to said invention and the aforesaid application for Patent, all original, divisional, continuation, or substitute applications and patents applied for or granted therefore in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, including all rights under the International Convention for the Protection of Industrial Property including all rights of priority, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made;

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to

<sup>&</sup>lt;sup>1</sup> Mrs. Lizzi is the legal representative of deceased inventor Frederic L. Lizzi and is signing on his behalf under 37 CFR 1.42.

Docket No.: RIV09.042

Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable;

AND Assignors hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns;

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made, and we hereby authorize and request our attorney, Keith D. Nowak, of Carter Ledyard & Milburn LLP, 2 Wall Street, New York, NY 10005, to insert here in parentheses (Application number \_\_\_\_\_\_, filed \_\_\_\_\_\_) the filing date and application number of said application when known.

Dated: \_\_\_\_\_2/2.5/08

STATE OF New-York COUNTY OF Richmo

effrey A. Ketterling

This day of <u>Juruan</u>, 2008, before me personally came the above-named instrument, who acknowledged to me that the same was executed by him of his own free will for the purposes therein set forth.

Francine & Laitoloner

Notary Public - Seal

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FRANCINE C. BARTOLOMEO Notary Public, State of New York No. 01BA6086497 **Qualified in Richmond County** Jan. 21, 20// Commis

REEL: 021605 FRAME: 0430

Dated: 2/21/08 STATE OF New York COUNTY OF Richmond )

,

Mary,

on behalf of Frederic L. Lizzi

This <u>25</u> day of <u>Jubuary</u>, 20<u>08</u>, before me personally came the above-named instrument, who acknowledged to me that the same was executed by him of his own free will for the purposes therein set forth.

Francine & Partoloner

Notary Public - Seal

Notary Public, State of New York No. 01BA6088497 Qualified in Richmond County Commission Expires Jan. 21, 20

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PATENT REEL: 021605 FRAME: 0431

## **RECORDED: 09/30/2008**