### Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
Haoyun AN	09/17/2008
Biao XI	09/17/2008
Yama ABASSI	09/17/2008
Xiaobo WANG	09/17/2008
Xiao XU	09/17/2008

### **RECEIVING PARTY DATA**

Name:	ACEA Biosciences, Inc.
Street Address:	6779 Mesa Ridge Rd.
Internal Address:	Suite 100
City:	San Diego
State/Country:	CALIFORNIA
Postal Code:	92121

### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12175154

### **CORRESPONDENCE DATA**

500662095

Fax Number: (858)720-5125

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: (858) 720-5113 Email: jconen@mofo.com Correspondent Name: Michael G. Smith

Address Line 1: Morrison & Foerster LLP

Address Line 2: 12531 High Bluff Drive, Suite 100 Address Line 4: San Diego, CALIFORNIA 92130

ATTORNEY DOCKET NUMBER: 532712000600

**PATENT** 

**REEL: 021607 FRAME: 0427** 

NAME OF SUBMITTER:	Michael G. Smith
Total Attachments: 9	
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Attorney Docket No.: 532712000600

#### ASSIGNMENT JOINT

THIS ASSIGNMENT, by Haoyun AN, residing at 7864 Paseo Tulipero, Carlsbad, California 92009; Biao XI, residing at 12676 Torrey Bluff Drive, #243, San Diego, California 92130; Yama ABASSI, residing at 31935 Luzon Street, Temecula, California 92591; Xiaobo WANG, residing at 8335 Entreken Way, San Diego, California 92129; and Xiao XU, residing at 14052 Waterford Lane, San Diego, California 92129; (hereinafter referred to as the assignors), witnesseth:

WHEREAS, said assignors have invented certain new and useful inventions in HETEROCYCLIC COMPOUNDS AND USES AS ANTICANCER AGENTS, set forth in a provisional application in the United States Patent and Trademark Office, bearing application number 12/175,154 and filed on July 17, 2008; and

WHEREAS, ACEA Biosciences, Inc., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 6779 Mesa Ridge Rd., Suite 100, San Diego, California 92121 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said provisional application and any application for Letters Patent claiming priority thereto, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, provisional patent application and application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all conversions, divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the provisional patent application above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, provisional patent application, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense

of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

9/17/08	(i	Hachell
Date	Haoyun AN	
State of	. )	
County of	) ss:	SEE ATTACHED FORM FOR NOTARY CERTIFICATE
On	before me,	, personally appeared
Haoyun AN, □ persona the person whose name the same in his authori	ally known to me - OR - $\Box$ proe is subscribed to the within ins	eved to me on the basis of satisfactory evidence to be strument and acknowledged to me that he executed gnature on the instrument the person, or the entity instrument.

WITNESS my hand and official seal.

17/08 Date	Biao XI	Notary Public in and for said County and State
State of	}	•
County of	) ss: )	
	wn to me - OR - □ proved to	, personally appeared me on the basis of satisfactory evidence to be the
same in his authorized cap	scribed to the within instrume, acity, and that by his signature, acted, executed the instrumen	nt and acknowledged to me that he executed the e on the instrument the person, or the entity upon nt.
WITNESS my har	nd and official seal.	SEE ATTACHED FORM FOR NOTARY CERTIFICATE
		Notary Public in and for said County and State

Page 2 of 4

9-17-08	M	-ac
Date	Yama ADASSI	
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On Yama ABASSI, □ person be the person whose name	ally known to me - OR e is subscribed to the wind the control of the wind the control of the con	, personally appeared proved to me on the basis of satisfactory evidence to thin instrument and acknowledged to me that he that by his signature on the instrument the person, or the
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9/17/08	X-	$\mathcal{L}$
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to be the person whose n	ame is subscribed to the authorized capacity, and	, personally appeared a proved to me on the basis of satisfactory evidence within instrument and acknowledged to me that he that by his signature on the instrument the person, or the cuted the instrument.
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Notary Public in and for said County and State

Page 4 of 4

State of California
County of Sin Diego ss
On 9/17/08, Abe Albanne Notary Public before me, personally (Here insert name and title of the officer)
appeared Xiqobo Wong, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
i certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
Signature of Notary Public  (Notary Seal)
OPTIONAL SECTION
Title or Type of Document ASSISMENT
Document Date Number of Pages
CAPACITY(IES) CLAIMED BY SIGNER
Name of Signer
Title(s)
Signer is Representing:
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State of California
County of San Drago ss
On Albana / Alay Public before me, personally appeared / Alay Alay Alay Alay Alay Alay before me, personally appeared / Alay Alay Alay Alay Alay Alay Alay Alay
certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.  OFFICIAL SEAL ABE ALBANNA NOTARY PUBLIC-CALIFORNIA COMM. NO. 1738632 SAN DIEGO COUNTY MY COMM. EXP. MAY. 11, 2011  (Notary Seal)
OPTIONAL SECTION
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Name of Signer
Title(s)
Signer is Representing:

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Otata of Oalifamia
State of California
County of Jan Drego Pss
On 91708, Abe Albana / Notary Public before me, personally (Here insert name and title of the officer)  appeared
i certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.  OFFICIAL SEAL ABE ALBANNA NOTARY PUBLIC-CALIFORNIA COMM. NO. 1738632 SAN DIEGO COUNTY MY COMM. EXP. MAY, 11, 2011  (Notary Seal)
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**PATENT REEL: 021607 FRAME: 0435** 

which

State of California
County of Jan Nego ss
On 917/08 Abe Albanna / Notary Public before me, personally  (Here insert name and title of the officer)
appeared X(AO) X U, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are/subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.  Signature Signature of Notary Public (Notary Seal)  OFFICIAL SEAL ABE ALBANNA NOTARY PUBLIC-CALIFORNIA SAN DIEGO COUNTY MY COMM. EXP. MAY. 11, 2011
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State of California
County of <u>San Dreg</u> o ss
On 9/17/08, Abe Albanna/ Notary Public before me, personally Date (Here insert name and title of the officer)
appeared had un from, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
i certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
Signature Obe Obo Signature of Notary Public (Notary Seal)  OFFICIAL SEAL ABE ALBANNA NOTARY PUBLIC-CALIFORNIA COMM. NO. 1738632 SAN DIEGO COUNTY MY COMM. EXP. MAY. 11, 2011
OPTIONAL SECTION  DESCRIPTION OF ATTACHED DOCUMENT  Title or Type of Document  OPTIONAL SECTION  DESCRIPTION OF ATTACHED DOCUMENT
Document Date Number of Pages
CAPACITY(IES) CLAIMED BY SIGNER
Name of Signer
Title(s)
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PATENT REEL: 021607 FRAME: 0437

**RECORDED: 09/30/2008**