

Form **PTO-1595** (Rev. 08/08)
OMB No. 0651-0027 (exp. 9/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

1. Geoffrey B. Hoese
2. Jeffry T. Russell

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 1. 12/22/1997; 2. 12/22/1997

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Crossroads Systems, Inc.

Internal Address: _____

Street Address: 11000 N. Mopac Expressway, Suite 100

City: Austin

State: Texas

Country: US Zip: 78759

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/947,499

(Atty Docket No. CROSS1120-27)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: John L. Adair (Customer No. 44654)

Internal Address: _____

Street Address: 1301 W. 25th Street, Suite 408

City: Austin

State: Texas Zip: 78705

Phone Number: 512-637-9220

Fax Number: _____

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-3183

Authorized User Name John L. Adair

9. Signature:

John L. Adair
Signature

LO-1-08
Date

John L. Adair, Reg. 48,828
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (Including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

700386366

PATENT
REEL: 021620 FRAME: 0298

CH \$40.00 603183 11947499

ATTORNEY DOCKE

064113.0103

ASSIGNMENT

WHEREAS, we, the undersigned inventors of residence as listed, have invented certain new and useful improvements as below entitled, for which application for United States Letters Patent is made, said application having been executed on the date set forth below; and

WHEREAS, Crossroads Systems, Inc. (hereinafter referred to as "Assignee"), a Texas corporation, with its principal address at 9390 Research Blvd., Suite II-300, Austin, Texas 78759, desires to acquire our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we assign to Assignee, all right, title and interest in and to the said invention and in and to the said application and all patents which may be granted therefor, and all divisions, reissues, continuations, continuations-in-part and extensions thereof; and we authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interests are concerned, to Assignee.

We also assign to Assignee, all right, title and interest in and to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such right, title and interest in Assignee.

We will communicate to Assignee any facts known to us respecting any improvements; and, at the expense of Assignee, we will testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make lawful oaths and declarations, and generally do everything possible to vest title in Assignee and to aid Assignee to obtain and enforce proper protection for said invention in all countries.

PATENT

REEL: 021620 FRAME: 0299

ATTORNEY'S DOC

064113.0103

PATENT APPLICATION


2

This Assignment shall be binding on the parties' successors, assigns and legal representatives.

Title of Invention: STORAGE ROUTER AND METHOD FOR PROVIDING
VIRTUAL LOCAL STORAGE

Signature of first Inventor:

Inventor's Name:


Geoffrey B. Hoese

Residence (City, County, State)

Austin, Travis County,
Texas

Date:

12/22/97

Date Application Executed:

12/22/97

Signature of second Inventor:

Inventor's Name:


Jeffrey P. Russell

Residence (City, County, State)

Cibolo, Guadalupe County,
Texas

Date:

December 22, 1997

Date Application Executed:

December 22, 1997

PATENT