Form PTO-1595 (Rev. 09-08) OMB No. 0651-0027 (exp. 10/31/2008)

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

| RECORDATION FORM COVER SHEET PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. | |
|---|---|
| | |
| Richard E. Lee | Name: University of Tennessee Research Foundation |
| Julian Gregston Hurdle Raghunandan Yendapally | Internal Address: |
| Additional name(s) of conveying party(les) attached? Yes X No | |
| 3. Nature of conveyance/Execution Date(s): | Street Address: 1534 White Avenue, Sulte 403 |
| Execution Date(s) September 4, 2008 | |
| Assignment Merger | City Kannalia |
| Security Agreement Change of Name | City: Knoxville |
| Joint Research Agreement | State: Tennessee |
| Government Interest Assignment | Country: <u>us</u> Zip: 37996-1527 |
| Executive Order 9424, Confirmatory License | |
| Other | Additional name(s) & address(es) attached? Yes X No |
| 4. Application or patent number(s): A. Patent Application No.(s) | document is being filed together with a new application. B. Patent No.(s) |
| 12/231,915 Additional numbers att | ached? Yes XNo |
| 5. Name and address to whom correspondence | 6. Total number of applications and patents |
| concerning document should be mailed: | Involved: 1 |
| Name: Howard Eisenberg | 7. Total fee (37 CFR 1.21(h) & 3.41) \$_40 |
| Internal Address: | |
| | Authorized to be charged to deposit account |
| Street Address: 1220 LimberJost Lane | |
| | None required (government interest not affecting title) |
| City: Gladwyne | 8. Payment Information |
| State: Pennsylvania Zip; 19035 | |
| Phone Number: 484-412-8419 | |
| Fax Number: _215-701-0803 | Deposit Account Number |
| Email Address: <u>patents@howardelsenberg.com</u> | Authorized User Name |
| 9. Signature: | |
| Signature | October 8, 2008 Date |
| Name of Person Signing | Total number of pages including cover sheet, attachments, and documents: 4 |

cuments to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

> **PATENT REEL: 021649 FRAME: 0750**

Attorney Docket No. 757,0104

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, Richard E. Lee, residing at 8233 Byre Hollow Cove, Cordova, Tennessee 38108, Julian Gregston Hurdle, residing at 1276 Sundance Cove, Apt. 303, Germantown, Tennessee 38138, and Raghunandan Yendapaily, 425 S. Hubbards Lane, Apt. 131, Louisville, Kentucky 40207, intending to be legally bound;

HEREBY SELL, assign and transfer to University of Tennessee Research Foundation ("Assignee"), having a place of business at 1534 White Avenue, Suite 403, Knoxville, TN 37996-1527, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent which is entitled

ANALOGS OF TETRAMIC ACID

and in and to said application and all divisional, continuation, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements, including the right to claim in other countries the right of priority of any of said applications under the (Paris) International Convention; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

AGREE THAT said Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuation, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, Assignments, Powers of Attorney and other papers, communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns and legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives;

COVENANT WITH said Assignee, its successors, assigns and legal representatives that no Assignment, grant, mortgage, license or other Agreement affecting the

Page 1 of 3

rights and property herein conveyed have been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

9(412008 Date

Richard E. Lee

Before me this day of ______, 2008 personally appeared the person above-identified, to me personally known to be the person of that name who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her free will for the purpose therein set forth.

Witness

(Print or Type Name)

9/4/2008 Date

Julian Gregston Hurdle

Witness

(Print or Type Name)

9 4 2008 Date

Raghunandan Yendapally

Before me this <u>O * day of Screens</u>, 2008 personally appeared the person above-identified, to me personally known to be the person of that name who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her free will for the purpose therein set forth.

Witness No.

HIEL TRUNG TRAN
(Print or Type Name)

Page 3 of 3