

10-15-2008

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



IT

103529774

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

S.R. One, Limited  
161 Washington Street/8 Tower Bridge, Ste 500  
Conshohocken PA 19428-2077

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) October 3, 2008

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☒ Other Termination of Security Interest

**2. Name and address of receiving party(ies)**

Name: OctoPlus Sciences B.V.

Internal Address: c/o OctoPlus N.V.

Street Address: Zernikedreed 12

2333 CL Leiden

City: \_\_\_\_\_

State: \_\_\_\_\_

Country: The Netherlands

Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☒ Yes ☐ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/774,107  
10/493,906  
12/180,214

5,980,948  
6,730,721

Additional numbers attached? ☒ Yes ☐ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Michele Azzarello

Internal Address: \_\_\_\_\_

Hughes Hubbard & Reed LLP

Street Address: One Battery Park Plaza

City: New York

State: New York Zip: 10004

Phone Number: 212-837-6405

Fax Number: 212-299-6405

Email Address: azzarell@hugheshubbard.com

**6. Total number of applications and patents involved: 9**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$360.00**

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number

18714/2008 NJAMA1 00000045 11774107

Authorized User Name

360.00 OF

**9. Signature:**

Signature

Date

Ronald Abramson, Reg. No. 34,762

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**Continuation of Item No. 1:**

**Additional Conveying Parties**

LSP III Omni Investment Cooperatief U.A.  
Johannes Vermeerplein 9  
1071 DV Amsterdam  
The Netherlands

**Continuation of Item 2:**

**Additional Receiving Parties**

Chienna B.V.  
c/o OctoPlus N.V.  
Zernikedreed 12  
2333 CL Leiden  
The Netherlands

OctoPlus Development B.V.  
c/o OctoPlus N.V.  
Zernikedreed 12  
2333 CL Leiden  
The Netherlands

OctoPlus Technologies B.V.  
c/o OctoPlus N.V.  
Zernikedreed 12  
2333 CL Leiden  
The Netherlands

**Continuation of Item No. 4:**

**Additional Applications Nos.**

60/985,526  
60/951,879  
60/952,140  
60/978,330

REGISTRANTS/APPLICANTS: OCTOPLUS SCIENCES B.V.  
CHIENNA B.V.  
OCTOPLUS DEVELOPMENT B.V.  
OCTOPLUS TECHNOLOGIES B.V.  
PATENTS: SEE ATTACHED EXHIBIT A

**TERMINATION OF PATENT SECURITY INTEREST**

WHEREAS, OCTOPLUS SCIENCES B.V., CHIENNA B.V., OCTOPLUS DEVELOPMENT B.V. AND OCTOPLUS TECHNOLOGIES B.V., private companies with limited liability (*besloten vennootschappen met beperkte aansprakelijkheid*), incorporated and existing under Dutch law (each a “Grantor” and collectively, the “Grantors”), own the patents and applications listed on the attached **Exhibit A**, now issued or pending in the United States Patent and Trademark Office; and

WHEREAS, the Grantors entered into that certain Intellectual Property Security Agreement dated as of May 21, 2008 (the “*Security Agreement*”) among the Grantors, S.R. One, Limited and LSP III Omni Investment Cooperatief U.A. (each a “*Security Party*” and collectively, “*Secured Parties*”); a true and correct copy of which was recorded by the the U.S. Patent and Trademark Office on May 30, 2008 at Reel Number 021018 and Frame Number 0559; and

NOW, THEREFORE, pursuant to the Notice of Termination and Release of Pledge of Biolex IP dated as of October 3, 2008 among OctoPlus N.V., Grantors, Secured Parties, and Biolex Therapeutics, Inc., for good and valuable consideration, receipt of which is hereby acknowledged, Secured Parties hereby:

1. release and reassign to the Grantors any and all liens, security interests, right, title and interest of Secured Parties pursuant to the Security Agreement in the patents more fully described on **Exhibit A**, without recourse or representation or warranty, express or implied; and
2. authorize and request the Commissioner of Patents and Trademarks of the United States of America to note and record the existence of the release hereby given.

[Signature page follow]

IN WITNESS WHEREOF, Secured Parties have caused this Termination to be signed by their duly authorized representatives as of this 3 day of October 2008.

**SECURED PARTIES:**

**S.R. One, Limited**

By: [Signature]  
Name: KENT GOSSETT  
Title: VICE PRESIDENT  
Address: 1600 WASHINGTON ST  
CONSHOHOCKEN, PA

**LSP III Omni Investment Cooperatief U.A.**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_

IN WITNESS WHEREOF, Secured Parties have caused this Termination to be signed by their duly authorized representatives as of this 3 day of October 2008.

**SECURED PARTIES:**

**S.R. One, Limited**

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

**LSP III Omni Investment Cooperatief U.A.**



By: LSP III MANAGEMENT B.V.

Name: M. KLEIJWEGT AND B. VRESSEN

Title: DIRECTOR AND PROXYHOLDER

Address: JOHANNES VERMEERPLEIN 9

1071 DV AMSTERDAM

THE NETHERLANDS

**EXHIBIT A****PATENTS**

<b>Description</b>	<b>Patent/ Application Number</b>	<b>Owner</b>
Polyetherester copolymers as drug delivery matrices	US5980948	Chienna B.V.
Implant, molding of polymer	US6730721	Chienna B.V.
IFN-alpha depot compositions (Controlled release compositions for interferon based on PEGT/PBT block copolymers)	US11/774,107 (20080008733)	OctoPlus Sciences B.V.
Method for production of purified microparticles	US10/493,906 (20050084536)	OctoPlus Sciences B.V.
Controlled / sustained release IFN drug products and treatment of HCV infection	US60/951879 US60/952140 US60/978330 US60/985526  PCT/US/2008/071191  US12180214	OctoPlus Sciences B.V. (co-owner)