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The Regents of the University of California

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 27 September 2007

- ☐ Assignment
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☐ Joint Research Agreement  
☐ Government Interest Assignment  
☒ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: Secretary of the United States Navy

Internal Address: Office of Naval Research

Street Address: One Liberty Center

875 North Randolph Street, Suite 1425

City: Arlington

State: VA

Country: USA Zip 22203-1995

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

#### A. Patent Application No.(s)

09/501,773    09/828,627    09/938,408    10/052,162  
 09/927,760    10/635,333    10/722,200    10/721,678  
 10/807,614    10/802,099    10/889,935    11/317,411

#### B. Patent No.(s)

6,723,497  
6,770,478

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Commanding Officer

Internal Address: Space and Naval Warfare Center,

San Diego, Office of Patent Counsel, Code 360012

Street Address: 53510 Silvergate Ave., Room 103

City: San Diego

State: CA Zip: 92152-5765

Phone Number: (619) 553-3001

Fax Number: (619) 553-3821

Email Address: vandy.lehman@navy.mil

### 6. Total number of applications and patents involved: 14

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ \_\_\_\_\_

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☐ Authorized to be charged to deposit account  
☐ Enclosed  
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### 9. Signature:

Kyle Eppele  
Signature

10-7-2008  
Date

KYLE EPPELE, PATENT COUNSEL  
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Total number of pages including cover sheet, attachments, and documents:

3

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PATENT  
REEL: 021691 FRAME: 0832

**Department of the Navy  
CONFIRMATORY INSTRUMENT**

Application for : METHOD FOR FREEZE-DRYING BLOOD PLATELETS  
(Title of Invention)

UC Case No. Status	Appl/Patent	Filing/Issue	Application Title	Inventors
2000-054-1 ABANDONED	09/501,773	2/10/2000	THERAPEUTIC PLATELETS AND METHODS	Fern Tablin, John H. Crowe, Naomi J. Walker, Ann E. Oliver, Willem Wolkers
2000-054-2 (cont of -1) ISSUED	09/828,627 6,723,497	4/5/01 4/20/04	THERAPEUTIC PLATELETS AND METHODS	Fern Tablin, John H. Crowe, Naomi J. Walker, Ann E. Oliver, Willem Wolkers, Nelly Tsyetkova
2000-054-3 (cont of -2) ABANDONED	09/938,408	8/23/01	THERAPEUTIC PLATELETS AND METHODS	Fern Tablin, John H. Crowe, Nelly Tsyetkova
2000-054-4 (cip of -3) ISSUED	10/052,162 6,770,478	1/16/02 8/3/04	ERYTHROCYTIC CELLS AND METHODS FOR PRESERVING CELLS	John H. Crowe, Fern Tablin, Nelly Tsyetkova, Lois Crowe, Anne E. Oliver, Willem Wolkers
2000-054-5 (cip of -2) ABANDONED	09/927,760	8/9/01	ERYTHROCYTIC CELLS AND METHODS FOR PRESERVING CELLS	Fern Tablin, Naomi J. Walker, Willem Wolkers, Ann E. Oliver, John H. Crowe, Thuriel Htoo, Jamil Kamran
2000-054-6 (cip of -4) ABANDONED	10/635,333	8/6/03	ERYTHROCYTIC CELLS AND METHODS FOR PRESERVING CELLS	John H. Crowe, Fern Tablin, Willem Wolkers, Naomi J. Walker
2000-054-7 (cip of -2) ABANDONED	10/722,200	11/25/03	THERAPEUTIC PLATELETS AND METHODS	John H. Crowe, Fern Tablin, Willem Wolkers, Thuriel Htoo, Ann E. Oliver, Jamil Kamran, Joong-Hyuck Ahn
2000-054-8 (cip of -5) ABANDONED	10/721,678	11/25/03	ERYTHROCYTIC CELLS AND METHODS FOR PRESERVING CELLS	John H. Crowe, Fern Tablin, Jamil Kamran, Ann E. Oliver
2000-054-9 (cip of -2) ABANDONED	10/807,614	3/24/04	THERAPEUTIC PLATELETS AND METHODS	John H. Crowe, Fern Tablin, Nelly Tsyetkova
2000-054-A (cip of -3) ABANDONED	10/802,099	3/16/04	METHODS FOR PRESERVING CELLS	Fern Tablin, John H. Crowe, Willem Wolkers, Naomi J. Walker, Nelly Tsyetkova
2000-054-B (cip of -2) APPL. NEVER FILED				
2000-054-C (con of -4) ABANDONED	10/889,935	7/12/04	ERYTHROCYTIC CELLS AND METHODS FOR PRESERVING CELLS	Lois Crowe, Fern Tablin, Willem Wolkers, Ann E. Oliver, John H. Crowe, Nelly Tsyetkova
2000-054-D (con of -9) PUBLISHED	11/317,411	12/22/05	THERAPEUTIC PLATELETS AND METHODS	Fern Tablin, John H. Crowe, Willem Wolkers, Naomi J. Walker, Ann E. Oliver

**RELATED FOREIGN FILINGS**

2000-054-1 ALL FOREIGN ABANDONED	PCT/US01/04224- Nat'l Phase: AU CA EPO & JP	Filed: 2/8/01
2000-054-4 ALL FOREIGN ABANDONED	PCT/US02/24773 Nat'l Phase: EPO, JP CA KR & AU	Filed: 8/5/02
2000-054-5 ALL FOREIGN ABANDONED	PCT/US02/24772 Nat'l Phase: EPO, JP, CA KR & AU	Filed: 8/5/02
2000-054-7 ALL FOREIGN ABANDONED	PCT/US04/52653 Nat'l Phase: proceed in US only	Filed: 8/6/04

Contractor : The Regents of the University of California

Contract No. : Unknown

The Invention identified above is a "Subject Invention" under Small Business Firm/Nonprofit Organization Patent Rights Clause (1981 JUL) DAR 7-302.23(h) included in Contract Nos. N66001-00-C-8048 and N66001-03-1-8927 with Department of the Navy, which clause does not include the communications satellite paragraph of ASPR 7-302.23(g).

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Signed this 27th day of September, 2007

THE REGENTS OF THE UNIVERSITY  
OF CALIFORNIA,  
Contractor

By:

  
John Shih  
Patent Prosecution Manager