

10-17-2008

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RECORDATION FORM COVER  
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)  
James A. Dierberger

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
Name: United Technologies Corporation  
Internal Address: United Technologies Building  
Street Address: 1 Financial Plaza  
City: Hartford  
State: Connecticut  
Country: US Zip: 06103

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance/Execution Date(s):  
Execution Date(s) September 30, 2008

Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other \_\_\_\_\_

4. Application or patent number(s):  
A. Patent Application No.(s)  
Additional numbers attached?  Yes  No

This document is being filed together with a new application.  
B. Patent No.(s)

5. Name and address to whom correspondence concerning document should be mailed:  
Name: Colin L. Cini  
Internal Address: Pratt & Whitney  
Street Address: 400 Main Street, Mail Stop 132-13  
City: East Hartford  
State: Connecticut Zip: 06108  
Phone Number: 860-557-1329  
Fax Number: 860-557-8614  
Email Address: colin.cini@pw.utc.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

8. Payment Information  
Deposit Account Number 21-0279  
Authorized User Name Pratt & Whitney

9. Signature: Colin L. Cini Signature  
Date: 10/16/2008  
Colin L. Cini  
Name of Person Signing

01 FC:8021 40.00 DA  
Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

# ASSIGNMENT

WHEREAS, I, James A. Dierberger, residing at 144 Blackman Road, Hebron, Connecticut, has invented certain improvements in STRUCTURES WITH ADAPTIVE COOLING, identified as Attorney Docket No. PA-0007971-US, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.) James A. Dierberger  
James A. Dierberger

9-30-2008  
Date