

ASSIGNMENT RECORDATION FORM COVER SHEET *Patents Only*

To: Commissioner for Patents:

Date: October 20, 2008
Attorney Docket No. 9616.3

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

[1.] Timothy Doyle PeeleAdditional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other

Execution Date: [1.] January 22, 2007

2. Name and address of receiving party(ies):

**Argos Therapeutics, Inc.
4233 Technology Drive
Durham, North Carolina 27704**

And

**Kirin Pharma Kabushiki Kaisha
26-1, Jingumae 6-chome
Shibuya-ku
Tokyo 150-8011, Japan**Additional name(s) & address(es) attached? Yes ☒ No**Kirin Pharma Kabushiki Kaisha formerly doing
business as Kirin Beer Kabushiki Kaisha**4. Application Serial No. 12/159,748 filed June 30, 2008;corresponding to PCT/US07/01170 with an International filing date January 17, 2007

If this document is being filed together with a new application, the execution date of the application is: _____

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

**Julie H. Richardson
Myers Bigel Sibley & Sajovec
P. O. Box 37428
Raleigh NC 27627**6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0220

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Julie H. Richardson

Name of Person Signing

Signature

October 20, 2008

Date

Total number of pages including cover sheet, attachments and document: 3**CERTIFICATION OF FACSIMILE TRANSMISSION****UNDER 37 CFR § 1.8**I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office via facsimile number **571-273-0140** on October 20, 2008.Cara L. Rose**PATENT****REEL: 021703 FRAME: 0853****700388357**

CH \$40.00 500220 12159748

ATTORNEY DOCKET NO. 9616.3WO (ARG0360)

ASSIGNMENT

THIS ASSIGNMENT, made by me, **Timothy Doyle Peele**, a citizen of the United States of America, residing at 1957 Castle Pines Drive, Raleigh, North Carolina 27604;

WITNESSETH: That,

WHEREAS, I am one of the inventors of certain new and useful improvements in **SYSTEMS AND METHODS FOR PROCESSING SAMPLES IN A CLOSED CONTAINER, AND RELATED DEVICES** for which an international patent application ("the PCT Application") has been filed with the United States Patent and Trademark Office as the International Receiving Office on January 17, 2007, and for which U.S. Provisional application Serial No. 60/760,087 was filed January 18, 2006. I hereby authorize and request Myers Bigel Sibley & Sajovec, P.A., to insert here in parentheses (the PCT Application No. _____, filed _____) the filing date and application number of the PCT Application when known; and

WHEREAS, **Argos Therapeutics, Inc.**, a North Carolina corporation having a principal place of business at 4233 Technology Drive, Durham, North Carolina 27704, and **Kirin Beer Kabushiki Kaisha**, a Japanese corporation having a principal place of business at 6-26-1 Jingumae, Shibuya-ku, Tokyo, 150-8011, Japan, hereinafter referred to as Assignees, are desirous of acquiring the entire right, title and interest in and to the invention(s) and in the PCT Application, and in and to any and all Letters Patent which shall be granted for applications that claim priority thereto in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I have sold and by these presents do hereby sell, assign, transfer, and convey unto the said Assignees, their successors and assigns, the entire right, title, and interest in and to the said invention(s) and the PCT Application, in and to and all Letters Patent of the United States of America and all foreign countries or reissues or other forms of protection thereof which may be granted based therefor or thereon, for the full end of the term for which said Letters Patent may be granted along with any term extensions thereon or therefor, and in and to any and all continuations, continuations-in-part, or divisions thereof, as well as to the provisional application that the PCT Application claims priority to, and together with the right to claim the priority of the provisional and PCT Application in all foreign countries in accordance with

ATTORNEY DOCKET NO. 9616.3WO (ARG0360)

the International Convention, the same to be held and enjoyed by said Assignees, their successors and assigns, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

I hereby request that said Letters Patent be issued in accordance with this assignment.

I further covenant and agree that, at the time of the execution and delivery of these presents, I possess full title to the invention and the PCT application above-mentioned, and that I have the unencumbered right and authority to make this assignment.

I further covenant and agree to communicate to said Assignees or their representatives any facts known to me relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the Assignees, their representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the Assignees complete title to the said invention and Letters Patent, without further compensation, but at the expense of said Assignees, their successors, assigns, and other legal representatives; and I hereby instruct, and further covenant and agree to bind my heirs, legal representatives, and assigns, to do same, without further compensation, but at the expense of said Assignees or their representatives.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 22 day of JAN, 2007.

 (SEAL)
Timothy Doyle Peele

STATE OF North Carolina
COUNTY OF Wake } ss:

Before me personally appeared the person described in and who executed the foregoing instrument, and s/he acknowledged to me that s/he executed the same for the purposes therein stated, this 22 day of January, 2007.


Notary Public



My Commission Expires:

June 30, 2008

PATENT COOPERATION TREATY

PCT/US2007/001170

From the INTERNATIONAL BUREAU

PCTNOTIFICATION OF THE RECORDING
OF A CHANGE(PCT Rule 92bis.1 and
Administrative Instructions, Section 422)

To:

MYERS BIGEL SIBLEY & SAJOVEC, P.A.
P.O. Box 37428
Raleigh, NC 27627
ETATS-UNIS D'AMERIQUE
06-16-08 A09:34 IN

IMPORTANT NOTIFICATION

International filing date (day/month/year)
17 January 2007 (17.01.2007)Date of mailing (day/month/year)
28 May 2008 (28.05.2008)Applicant's or agent's file reference
9616.3.WOInternational application No.
PCT/US2007/001170

1. The following indications appeared on record concerning:

- ☒
- the applicant
- ☐
- the inventor
- ☐
- the agent
- ☐
- the common representative

Name and Address

KIRIN BEER KABUSHIKI KAISHA
10-1 Shinkawa 2-chome
Chuo-ku
Tokyo, 104-8288
Japan

State of Nationality

JP

State of Residence

JP

Telephone No.

Facsimile No.

Teleprinter No.

2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

- ☐
- the person
- ☒
- the name
- ☒
- the address
- ☐
- the nationality
- ☐
- the residence

Name and Address

KIRIN PHARMA KABUSHIKI KAISHA
26-1, Jingumae 6-chome
Shibuya-ku
Tokyo, 150-8011
Japan

State of Nationality

JP

State of Residence

JP

Telephone No.

Facsimile No.

Teleprinter No.

3. Further observations, if necessary:

4. A copy of this notification has been sent to:

- ☒
- the receiving Office
- ☒
- the designated Offices concerned
-
- ☒
- the International Searching Authority
- ☐
- the elected Offices concerned
-
- ☐
- the International Preliminary Examining Authority
- ☐
- other:

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Authorized officer

Fahy Laurence

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PATENT

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Form PCT/IB/306 (October 2005)

REEL: 021703 FRAME: 0856

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**TELECOPIER TRANSMISSION
COVER SHEET**

Date: October 20, 2008
File Number: 9616-3
Telecopier No.: 571-273-0140
To: Assignment Recordation Services
Commissioner for Patents
Company: U.S. Patent and Trademark Office
From: Julie H. Richardson
Number of Pages: (including cover sheet) 4
Return fax to: Cara L. Rose

If there is a problem with this transmission, please call (919) 854-1400. Our fax number is (919) 854-1401.

Re: Spence et al.;

Application No. 12/159,748;

Filed June 30, 2008

Corresponding to PCT/US07/01170 with an International filing date January 17, 2007

Confidentiality Note

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RECORDED: 10/20/2008

**PATENT
REEL: 021703 FRAME: 0857**