

ASSIGNMENT RECORDATION FORM COVER SHEET *Patents Only*

To: Commissioner for Patents:

Date: October 20, 2008
Attorney Docket No. 9616.3

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- [1.] Simon Jonathon Spence
[2.] Richard Alexander Grant
[3.] William Samuel Hunter
[4.] Ashraf F. Abdelmoteleb
[5.] David Thomas Kneen
[6.] Robert Alister Neil
[7.] Simon Harris

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other

Execution Date: [1.] March 1, 2007
[2.] February 1, 2007
[3.] February 6, 2007
[4.] February 2, 2007
[5.] February 6, 2007
[6.] February 1, 2007
[7.] February 1, 2007

2. Name and address of receiving party(ies):

Argos Therapeutics, Inc.
4233 Technology Drive
Durham, North Carolina 27704

And

Kirin Pharma Kabushiki Kaisha
26-1, Jingumae 6-chome
Shibuya-ku
Tokyo 150-8011, Japan

Additional name(s) & address(es) attached? Yes ☒ No**NOTE:**

Kirin Pharma Kabushiki Kaisha formerly doing
business as **Kirin Beer Kabushiki Kaisha**

4. Application Serial No. 12/159,748 filed June 30, 2008
corresponding to PCT/US07/01170 with an International filing date January 17, 2007

If this document is being filed together with a new application, the execution date of the application
is: _____

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

Julie H. Richardson
Myers Bigel Sibley & Sajovec
P. O. Box 37428
Raleigh NC 27627

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0220

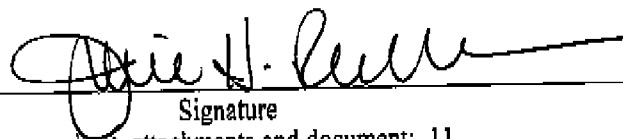
DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Julie H. Richardson

Name of Person Signing



Signature

October 20, 2008
Date

Total number of pages including cover sheet, attachments and document: 11

CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and
Trademark Office via facsimile number 571-273-0140 on October 20, 2008.

Cara L. Rose


PATENT

ATTORNEY DOCKET NO. 9616.3.WO (ARG036WO)

ASSIGNMENT

THIS ASSIGNMENT, made by us, **Simon Jonathon Spence** a citizen of Australia, residing at 1/1 Muir Street, Hawthorn, Victoria, 3122, Australia; **Richard Alexander Grant**, a citizen of Great Britain, residing at 56 Park Street, St. Kilda, Victoria, Australia; **William Samuel Hunter**, a citizen of Australia, residing at 14 Ocean Boulevard, Jan Juc, Victoria 3228, Australia; **Ashraf F. Abdelmoteleb**, a citizen of Australia and Egypt, residing at Unit 1, 37 Austin Street, Ferntree Gully, Victoria 3156, Australia; **David Thomas Kneen**, a citizen of Australia, residing at 3 Cole Street, Richmond, Victoria 3121, Australia; **Robert Alister Neil**, a citizen of Australia, residing at 4A Haig Street, Box Hill South 3128, Victoria, Australia; and **Simon Harris**, a citizen of Australia, residing at 10 Latrobe Avenue, Keysborough, Victoria 3173, Australia;

WITNESSETH: That,

WHEREAS, we are inventors of certain new and useful improvements and inventions in **SYSTEMS AND METHODS FOR PROCESSING SAMPLES IN A CLOSED CONTAINER, AND RELATED DEVICES** for which an international patent application ("the PCT Application") has been filed with the United States Patent and Trademark Office as the International Receiving Office on January 17, 2007, and for which U.S. Provisional application Serial No. 60/760,087 was filed January 18, 2006. We hereby authorize and request Myers Bigel Sibley & Sajovec, P.A., to insert here in parentheses (the PCT Application No. _____, filed _____) the filing date and application number of the PCT Application when known; and

WHEREAS, **Argos Therapeutics, Inc.**, a North Carolina corporation having a principal place of business at 4233 Technology Drive, Durham, North Carolina 27704, and **Kirin Beer Kabushiki Kaisha**, a Japanese corporation having a principal place of business at 6-26-1 Jingumae, Shibuya-ku, Tokyo, 150-8011, Japan, hereinafter referred to as Assignees, are desirous of acquiring the entire right, title and interest in and to the invention(s) and in the PCT Application, and in and to any and all Letters Patent which shall be granted for applications that claim priority thereto in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer, and convey jointly unto the said

ATTORNEY DOCKET NO. 96163.WO (ARG036WO)

Assignees, their successors and assigns, the entire right, title, and interest in and to the invention(s) and the PCT Application, in and to and all Letters Patent of the United States of America and all foreign countries or reissues or other forms of protection thereof which may be granted based therefor or thereon, for the full end of the term for which said Letters Patent may be granted along with any term extensions thereon or therefor, and in and to any and all continuations, continuations-in-part, or divisions thereof, as well as to the provisional application that the PCT Application claims priority to, and together with the right to claim the priority of the provisional and PCT Application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said Assignees, their successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess our full title to the invention(s) and applications above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to promptly communicate to said Assignees or their representatives any facts known to us relating to said invention(s), to testify in any interference or legal proceedings involving said invention(s), to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention(s) in all countries and to vest in the Assignees complete title to the said invention(s) and Letters Patent, without further compensation, but at the expense of said Assignees, their successors, assigns, and other legal representatives; and we hereby instruct, and further covenant and agree to bind our heirs, legal representatives, and assigns, to do same, without further compensation, but at the expense of said Assignees or their representatives.

WHEREAS, Invetech Pty Ltd., an Australian corporation having a principal place of business at 495 Blackburn Road, Mt. Waverley, Victoria 3149, Australia, is the employer of the above-named inventors and hereby agrees and consents to the validity and enforceability of this assignment for good and valuable consideration as represented by the signature of the individual signing on behalf of Invetech Pty Ltd. below. The undersigned warrants and represents that he/she has the authority to bind Invetech Pty Ltd. to this Agreement.

ATTORNEY DOCKET NO. 9616.3.WO (ARG036WO)

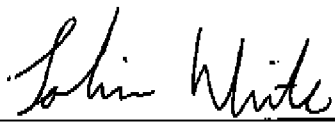
For Invetech Pty Ltd.


By:  (SEAL)
Signature

DR FRED DAVIS
Printed Name

Date: 6 MAR 2007

Title: MANAGING DIRECTOR


Witness
6/3/07
Date



Witness
6-March-2007
Date

ATTORNEY DOCKET NO. 9616.3.WO (ARG036WO)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 1st day
of March, 2007.

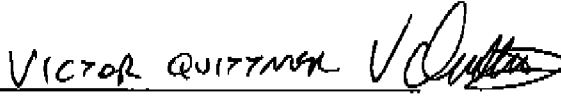


Simon Jonathon Spence (SEAL)



Witness
1st March 2007

Date



Witness
1st MARCH 2007

Date

ATTORNEY DOCKET NO. 9616.3.WO (ARG036WO)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 1st day
of February, 2007.

Richard Grant (SEAL)
Richard Alexander Grant

Brian V.

Witness

11 FEB 2007

Date

V. Dutton

Witness

1 - Feb - 2007


Date

ATTORNEY DOCKET NO. 9616.3.WO (ARG036WO)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 6th day
of February, 2007.



William Samuel Hunter (SEAL)



Witness

6 - FEB - 2007

Date



Witness

6 Feb 2007


Date

ATTORNEY DOCKET NO. 9616.3.WO (ARG036WO)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 02 day
of FEB, 2007.



Ashraf F. Abdelmoteleb (SEAL)



Witness

2-FEB-2007

Date



Witness

2/2/07

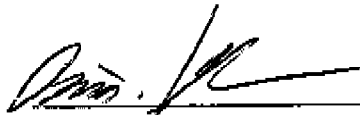
Date

ATTORNEY DOCKET NO. 9616.3.WO (ARG036WO)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 6TH day
of FEBRUARY, 2007.




(SEAL)
David Thomas Kneen



Witness
6th Feb 2007

Date




Witness
6th Feb 2007


Date

ATTORNEY DOCKET NO. 9616.3.WO (ARG036WO)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 1st day
of February, 2007.



Robert Alister Neil (SEAL)



Witness

1/02/07

Date



Witness

1/2/07

Date

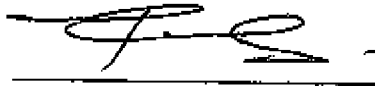
ATTORNEY DOCKET NO. 9616.3.WO (ARG036WO)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 1ST day
of FEB., 2007.



Simon Harris

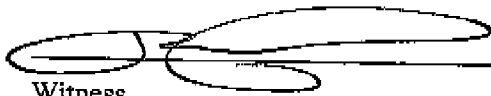
(SEAL)



Witness

Date

01/02/07



Witness

Date

01/FEB/07