

10-20-2008

Docket No. 60240-A/JPW/BJA/LM

FORM PTO-1595 (Substitute)



U.S. DEPARTMENT OF COMMERCE

(Rev. 8-96)

103530576

Patent and Trademark Office

To the Honorable Commissioner of Patents

al documents or copy thereof.

1. Name of conveying party(ies):

Ilya Trakht, Robert Canfield, Gary Kalantarov, and Sergei Rudchenko

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: The Trustees of Columbia University in the City of New York

Internal Address:

Street Address: West 116th and Broadway

City/State/Zip: New York, NY, 10027

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Assignment ☐ Change of Name
☐ Other

Execution Date(s):

October 2, 2008, October 3, 2008, October 2, 2008, and October 2, 2008.

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is (are):

A. Patent Application No.(s) U.S. Serial No.
 11/453,186, filed
 June 13, 2006

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White

Internal Address:

Street Address: Cooper & Dunham LLP
1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41):\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John P. White

Name of Person Signing
Reg. No. 28, 678

Signature

October 14, 2008

Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:
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John P. White 10/14/08
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PATENT
 REEL: 021704 FRAME: 0941

Assignment of Non-Provisional Application

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, Ilya Trakht residing at 3555 Oxford Ave., Apt. 4J, Bronx, N.Y. 10463, U.S.A.; Robert Canfield residing at 99 Rockwald Road, Cold Spring, N.Y. 10516, U.S.A.; Gary Kalantarov residing at 2185 Lemoine Avenue, Apt. 8M, Fort Lee, N.J. 07024; U.S.A., Sergei Rudchenko residing at 5615 Netherland Avenue, Apt. 1E, Bronx, N.Y. 10471, U.S.A.

Hereby sell, assign and transfer to The Trustees of Columbia University in the City of New York, a not for profit corporation of the State of New York, having a place of business at West 116th Street and Broadway, New York, NY 10027, U.S.A., in the County of New York and State of New York, its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned on June 12, 2001, June 13, 2001, June 13, 2001, and June 12, 2001, respectively, and is entitled

NOVEL TUMOR-ASSOCIATED MARKER

U.S. Serial No.: 11/453,186, filed June 13, 2006, a continuation and claiming priority of U.S. SERIAL NO. 09/664,958, FILED SEPTEMBER 18, 2000 (NOW U.S. PATENT NO. 7,060,802 ISSUED JUNE 13, 2006).

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

Authorize and request the Commissioner for Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

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Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 10/2/08
 Witness: Wm [signature] [signature]
LONG APT UNIT [printed name]
545W 236R St Apt 11 [address]
BRONX NY 10463

Ilya Trakht [signature] [LS]
 Ilya Trakht

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Date: 10/3/2008
 Witness: Robert Canfield (signature)
Robert Canfield (printed name)
99 Rock Waco Rd (address)
Cold Spring, NY 10516

R.E. Canfield (LS)
 Robert Canfield

Date: _____
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

 Gary Kalantarov (LS)

Date: _____
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

 Sergei Rudchenko (LS)

Date: _____
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

 (LS)

Date: _____
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

 (LS)

JPW Rev. April 19, 2007

TOTAL P. 01

Page 3

Date: _____
Witness: _____ (signature)
_____ (printed name)
_____ (address)

Robert Canfield [LS]

Date: 10/2/08
Witness: [Signature] (signature)
LONG A TON PHAT (printed name)
545 W 236th St Apt 11 (address)
BRONX, NY 10463

[Signature]
Gary Kalantarov [LS]

Date: _____
Witness: _____ (signature)
_____ (printed name)
_____ (address)

Sergei Rudchenko [LS]

Date: _____
Witness: _____ (signature)
_____ (printed name)
_____ (address)

_____ [LS]

Date: _____
Witness: _____ (signature)
_____ (printed name)
_____ (address)

_____ [LS]

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TOTAL P.03

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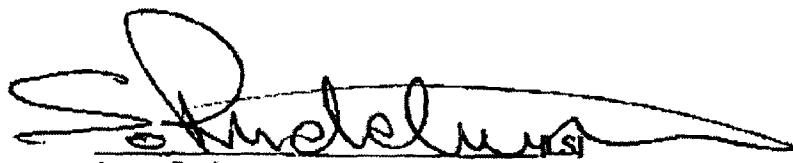
Date: _____
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

 Robert Canfield [LS]

Date: _____
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

 Gary Kalantarov [LS]

Date: 10.2.08
 Witness: C. Bryant (signature)
 Claudette Bryant (printed name)
 535 E. 70th St (address)
 NY NY 10021


 Sergei Rudchenko

Date: _____
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

 [LS]

Date: _____
 Witness: _____ (signature)
 _____ (printed name)
 _____ (address)

 [LS]

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